Optimal Semi-Partitioned Scheduling in Soft Real-Time Systems *

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Abstract

Semi-partitioned real-time scheduling algorithms extend partitioned ones by allowing a (usually small) subset of tasks to migrate. The first such algorithm to be proposed was directed at soft real-time (SRT) sporadic task systems where bounded deadline tardiness is acceptable. That algorithm, called EDF-fm, is able to fully utilize the underlying hardware platform's available capacity. Moreover, it has the desirable practical property that migrations are boundary-limited, i.e., they can only occur at job boundaries. Unfortunately, EDF-fm requires restrictions on pertask utilizations, and thus is not optimal. In this paper, a new boundary-limited, semi-partitioned algorithm is presented for SRT systems that is the first such algorithm to be optimal. This algorithm, called EDF-os, is similar to EDF-fm but utilizes several new mechanisms that obviate the need for per-task utilization restrictions. Prior overhead-aware schedulability experiments are augmented herein to include EDF-os. In these experiments, EDF-os proved to be better than all other tested alternatives in terms schedulability in almost all considered scenarios. It also proved capable of ensuring very low tardiness bounds. In fact, in most considered scenarios, tardiness bounds under it were near zero.

1 Introduction

Multiprocessor real-time scheduling algorithms may follow a *partitioned* or *global* approach or some hybrid of the two. Under partitioned scheduling, tasks are statically assigned to processors, while under global scheduling, they are scheduled from a single run queue and hence may migrate. When comparing different scheduling approaches, one criterion is *optimality*, i.e., the ability to correctly schedule (without timing constraint violations) any task system for which a correct schedule exists. In the case of implicit-deadline (see Sec. 2) sporadic task systems, optimality can be achieved via global scheduling, but not partitioning; however, global scheduling entails higher runtime overheads. When designing a hybrid approach, the goal is usually to attain optimal or near-optimal behavior but with less overhead than a truly global approach.

One such hybrid approach is *semi-partitioned* scheduling, which extends partitioned scheduling by allowing those

tasks that cannot be feasibly assigned to processors to migrate. Semi-partitioned scheduling was first proposed for supporting soft real-time (SRT) sporadic task systems for which bounded deadline tardiness is allowed [1]. Subsequently, several semi-partitioned algorithms were proposed for hard real-time (HRT) systems [3, 4, 9, 10, 11, 15, 18, 19, 20, 21, 22, 23, 24, 25]. When the impacts of overheads are considered, semi-partitioned algorithms have a key advantage over global ones as the former use *push migrations*, which are pre-planned, while the latter use *pull migrations*, which are reactive in nature and thus more difficult to predict, account for, and implement efficiently [8].

The original SRT algorithm proposed in [1], called EDF-fm, is able to fully utilize the underlying hardware platform's available capacity. Moreover, it is *boundary-limited*: a migrating task may only migrate between job boundaries (i.e., between successive invocations). Unfortunately, EDF-fm requires restrictions on per-task utilizations, and thus is not optimal. In their simplest form, these restrictions preclude any task utilization from exceeding 0.5, though they can be relaxed somewhat, as discussed below.

Of the HRT algorithms cited above, one is optimal, at least in theory, namely EKG [4]. However, it is optimal only for implicit deadline periodic task systems, and it becomes optimal (for periodic systems) only when a configurable parameter k becomes arbitrarily close to the number of processors, which unrealistically increases preemption frequency. Additionally, EKG is not boundary-limited. Thus, it allows jobs to migrate, which can be expensive in practice if jobs maintain much cached state.

Contributions. In this paper, we present the first boundary-limited, semi-partitioned scheduling algorithm that is optimal for SRT sporadic task systems. This algorithm, which we call EDF-os (earliest-deadline-first-based optimal semi-partitioned scheduling), is based on EDF-fm.

EDF-fm was designed with implicit-deadline sporadic task systems in mind. It functions in two phases: an offline assignment phase, where tasks are assigned to processors and fixed tasks (which do not migrate) are distinguished from migrating ones (which do); and an online execution phase, where invoked tasks are scheduled via rules that extend earliest-deadline-first (EDF) scheduling to account for fixed and migrating tasks. Each migrating task executes on two processors, and for each processor, at most two specific migrating tasks may execute upon it. The tardiness bound proof for EDF-fm relies crucially on the fact that migrating

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tasks never miss deadlines. To ensure this, migrating tasks are statically prioritized over fixed ones, jobs of migrating tasks are prioritized against each other on a EDF basis, and two migrating tasks that may execute on the same processor are limited to have a combined utilization of at most 1.0. This last requirement restricts per-task utilizations.

In EDF-os, we eliminate such utilization restrictions by modifying both phases of EDF-fm. In particular, we modify the assignment phase by considering tasks in a certain order and by allowing a migrating task to execute on any number of processors (instead of just two). We modify the scheduling phase by statically prioritizing jobs of certain migrating tasks over those of others (instead of prioritizing them against each other on an EDF basis). As a result of our modifications, migrating tasks can miss deadlines. However, we show that tardiness bounds can be derived by leveraging certain properties pertaining to our modified assignment phase and the more predictable nature of the static prioritizations we introduce. These properties enable us to apply a novel reduction method that enables a given system to be converted to a simpler form that can be more easily analyzed. This analysis shows that EDF-os is optimal: deadline tardiness is bounded provided total utilization is at most the system's capacity and per-task utilizations are at most 1.0. Moreover, this claim of optimality holds regardless of whether deadlines are implicit, constrained, or unrestricted (see Sec. 2).

Organization. We present our optimality proof (Sec. 4) after first providing needed background (Sec. 2) and describing EDF-os in detail (Sec. 3). We then present an overhead-aware experimental evaluation of EDF-os (Sec. 5) and conclude (Sec. 6). Our experimental evaluation expands prior evaluations involving EDF-fm and other algorithms to also include EDF-os. In this evaluation, the effects of measured overheads from actual scheduler implementations were factored into schedulability and tardiness analysis. From a schedulability standpoint, EDF-os proved to be the best algorithm among those considered in almost all considered scenarios. It also proved capable of ensuring very low tardiness bounds. In fact, in most considered scenarios, tardiness bounds under it were near zero.

2 Background

We consider the scheduling of a sporadic task system $\tau = \{\tau_1, \tau_2, \dots, \tau_N\}$ on M identical processors—we assume familiarity with the sporadic task model [28]. Task τ_i is specified by (C_i, T_i) , where C_i is its maximum per-job execution requirement and T_i is its period. The j^{th} job of τ_i , denoted $\tau_{i,j}$, has release time $r_{i,j}$ and deadline $d_{i,j}$. We initially restrict attention to implicit deadlines $(d_{i,j} = r_{i,j} + T_i)$ but in an appendix we consider both constrained deadlines $(d_{i,j} \leq r_{i,j} + T_i)$ and unrestricted deadlines (no relationship between $d_{i,j}$ and $r_{i,j} + T_i$ assumed). We denote the utilization of τ_i by $U_i = C_i/T_i$, and the p^{th} processor as P_p . We assume that time is discrete.

In the scheduling algorithms we consider, each task is allocated a non-zero fraction, or *share*, of the available utilization of 1.0 on certain processors. Task τ_i 's share (potentially

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\begin{split} & \textbf{initially} \ s_{i,p} = 0 \ \text{and} \ \sigma_p = 0 \ \text{for all} \ i \ \text{and} \ p \\ & p := 1; \\ & \textbf{for} \ i := 1 \ \textbf{to} \ N \ \textbf{do} \\ & \textbf{if} \ U_i \leq 1 - \sigma_p \ \textbf{then} \ / * \ \tau_i \ \text{is fixed} \ * / \\ & s_{i,p} := U_i; \\ & \sigma_p := \sigma_p + U_i \\ & \textbf{else} \ / * \ \tau_i \ \text{is migrating} \ * / \\ & s_{i,p}, \ s_{i,p+1} := (1 - \sigma_p), \ U_i - (1 - \sigma_p); \\ & \sigma_p, \ \sigma_{p+1} := 1, \ s_{i,p+1} \\ & \textbf{fi}; \\ & \textbf{if} \ \sigma_p = 1 \ \textbf{then} \ p := p+1 \ \textbf{fi} \\ & \textbf{od} \end{split}
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Figure 1: EDF-fm assignment phase.

zero) on P_p is denoted $s_{i,p}$. The total share allocation on P_p is denoted $\sigma_p \triangleq \sum_{\tau_i \in \tau} s_{i,p}$. We require that $\sigma_p \leq 1.0$ and that each task's total share allocation matches its utilization: $U_i = \sum_{k=1}^M s_{i,k}$. If τ_i has non-zero shares on multiple (only one) processor, then it is a *migrating* (*fixed*) task.

The scheduling algorithms we consider have the additional property that each job of each task τ_i executes on a specific processor. The *fraction* of τ_i 's jobs (potentially zero) that execute on processor P_p is denoted $f_{i,p}$. Such fractions are commensurate with τ_i 's share allocations:

$$f_{i,p} = \frac{s_{i,p}}{U_i}. (1)$$

The lowest-indexed processor to which migrating task τ_i assigns jobs is called its *first processor*.

If a job $au_{i,j}$ completes at time t, then its lateness is $t-d_{i,j}$ and its tardiness is $max(0,t-d_{i,j})$. Observe that if a job's lateness is negative, then its tardiness is zero, and otherwise its lateness and tardiness are identical. We seek scheduling algorithms that ensure bounded tardiness: for each task, there is a constant upper bound on the tardiness of any of its jobs. We consider only feasible task systems that satisfy the following conditions.

$$\forall \tau_i \in \tau, U_i \le 1,\tag{2}$$

$$\sum_{\tau \in \tau} U_i \le M. \tag{3}$$

EDF-fm. The EDF-os algorithm presented herein extends the EDF-fm algorithm proposed by Anderson et al. [1]. EDF-fm consists of *assignment* and *execution* phases. During the assignment phase, tasks are allocated shares offline via the procedure in Fig. 1. This procedure allocates processor utilization (as shares) to tasks by considering each processor and task in turn. If the currently considered processor P_p has sufficient unallocated utilization, then the currently considered task τ_i is assigned to it as a fixed task; otherwise, τ_i exhausts the remaining unallocated utilization of P_p and receives the rest of its needed allocation from P_{p+1} .

In the execution phase, released jobs are scheduled online without migration (i.e., each job executes on only one processor). The following prioritizations are used on each processor: migrating tasks are prioritized over fixed ones,

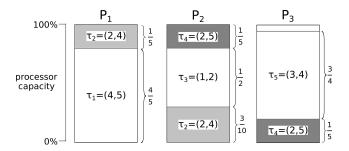


Figure 2: EDF-fm task assignment for Ex. 1. Shares of migrating tasks are shown in a darker shade. $\tau_1=(4,5)$ is a fixed task on $P_1,\,\tau_2=(2,4)$ migrates between P_1 and P_2 with with $s_{2,1}=\frac{1}{5}$ and $s_{2,2}=\frac{3}{10}$, and so on. After assignment, $\frac{1}{20}$ of P_3 is unused.

and jobs of a given type (fixed or migrating) are prioritized against each other on an EDF basis. By the assignment procedure in Fig. 1, at most two migrating tasks can have nonzero shares on a given processor. It is required that for any two such tasks, their combined utilization is at most 1.0. This ensures that such tasks do not miss deadlines (which is a crucial property in the tardiness analysis of EDF-fm).

To ensure that fixed tasks have bounded tardiness, it is important that no processor be overloaded in the long run. This can be ensured by employing a mechanism that ensures that, in the long run, each migrating task τ_i submits an appropriate fraction of its jobs to each of the two processors on which it executes. Such fractions are given by (1). In EDF-fm, the exact allocation of such jobs to processors is done by leveraging results from work on Pfair scheduling. We illustrate this with an example below.

Ex. 1. Consider the task system $\tau=\{(4,5),(2,4),(1,2),(2,5),(3,4)\}$, with $\sum_{\tau_i\in\tau}U_i=\frac{59}{20}\leq 3$, to be scheduled on three processors. The assignment phase of EDF-fm will produce the share allocations in Fig. 2 when applied to the tasks in τ in the listed order. Note that τ_2 has a share of $\frac{1}{5}$ on P_1 and $\frac{3}{10}$ on P_2 . Thus, by (1), $f_{1,1}=(\frac{1}{5})/(\frac{1}{2})=\frac{2}{5}$ of its jobs should execute on P_1 in the long run, and $f_{1,2}=$ $(\frac{3}{10})/(\frac{1}{2}) = \frac{3}{5}$ of its jobs should execute on P_2 . At runtime, EDF-fm determines which processor to allocate to a newly released job of such a migrating task by applying a formula that is derived by considering a Pfair schedule [5] of certain fictitious periodic tasks. To avoid confusion, we will call these fictitious tasks "processes" (instead of tasks). For the case of τ_2 in Ex. 1, two Pfair processes T and Uare considered with utilizations $\frac{2}{5}$ and $\frac{3}{5}$, respectively. These utilizations match the fractions $\frac{2}{5}$ and $\frac{3}{5}$ as computed using (1) above. Job-to-processor allocations are made for τ_2 by conceptually maintaining a single-processor Pfair schedule of T and U assuming each is always available for execution. Whenever a new job $\tau_{2,j}$ of τ_2 is released, the Pfair schedule is extended by one quantum. If process T is scheduled during that quantum, then $\tau_{2,j}$ is scheduled on P_1 (τ_2 's first processor); if process U is instead scheduled, then $\tau_{2,j}$ is scheduled on P_2 . This is illustrated in Fig. 4, where the key in Fig. 3 is assumed. By the definition of a Pfair schedule, by

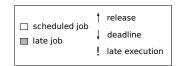


Figure 3: Key for Figures 4–6, 9 and 11.

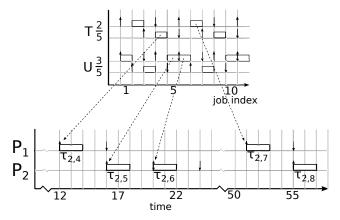


Figure 4: Using a fictitious Pfair schedule to assign jobs of τ_2 from Ex. 1. The upper part of the figure shows a Pfair schedule for two processes $T=\frac{2}{5}$ and $U=\frac{3}{5}$. (In such a schedule, each quantum of a process's execution has a release time and deadline.) The lower part shows how released jobs of τ_2 are distributed between P_1 and P_2 based on the Pfair schedule, starting with the fourth job of τ_2 (for clarity, no jobs of other tasks are depicted in the lower part). Since job releases are sporadic, consecutive jobs of τ_2 may be separated by more than T_2 , as seen for jobs $\tau_{2,6}$ and $\tau_{2,7}$.

any integral point in time t in the Pfair schedule under consideration (where each integral time unit is one quantum), process T will have received approximately $\frac{2}{5} \cdot t$ quanta, and U will have received approximately $\frac{3}{5} \cdot t$ quanta. Thus, by any point in time in the EDF-fm schedule, approximately $\frac{2}{5}$ of the released jobs of τ_2 will have been assigned to P_1 and approximately $\frac{3}{5}$ to P_2 , as desired.

More specifically, in a Pfair schedule of a periodic system of processes that do not over-utilize the assumed processor platform, by the integral time instant t, a process with utilization u will have received between $\lfloor u \cdot t \rfloor$ and $\lceil u \cdot t \rceil$ quanta. In our case, a single-processor platform is assumed and the Pfair processes under consideration will always have a total utilization of 1.0. Based on this property of Pfair schedules, the following is shown in [1].

Property 1. In any EDF-fm schedule, out of the first n jobs of a migrating task τ_i , the number of jobs assigned to some processor P_p is between $\lfloor f_{i,p} \cdot n \rfloor$ and $\lceil f_{i,p} \cdot n \rceil$

Ex. 2. We now give an example task system that shows that if the task utilization restriction of EDF-fm is violated, then migrating tasks may miss deadlines. Such misses invalidate the tardiness analysis given in [1]. Consider the system $\tau = \{(4,6),(2,3),(5,6),(2,3),(1,2),(2,3)\}$. Because $\sum_{\tau_i \in \tau} U_i = 4$, τ is feasible on four processors. Because all task utilizations exceed $\frac{1}{2}$, EDF-fm's utilization

¹Strategies for selecting an assignment order are considered in [1].

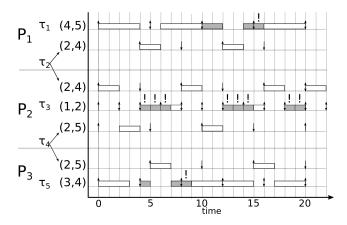


Figure 5: An EDF-fm schedule for the task system in Ex. 1. $f_{2,1}=\frac{2}{5}$ and $f_{2,2}=\frac{3}{5}$. $f_{4,2}=f_{4,3}=\frac{1}{2}$.

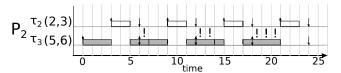


Figure 6: An EDF-fm schedule for the task system in Ex. 2 showing execution on P_2 . Jobs from $\tau_3 = (5,6)$ complete late.

restriction will be violated regardless of the order in which tasks are considered for assignment on a four-processor system. For the listed order, we show that deadlines may be missed by migrating tasks on P_2 . Because $\tau_1 = (4,6)$ will be assigned as a fixed task on P_1 , τ_2 will migrate between P_1 and P_2 with $s_{2,1}=\frac{1}{3}$ and $s_{2,2}=\frac{1}{3}$. Since only $\frac{2}{3}$ of the available utilization of P_2 remains after assigning τ_2 , $au_3=(5,6)$ will migrate between P_2 and P_3 and its share on P_2 will be $s_{3,2} = \frac{2}{3}$. Note that $f_{2,1} = \frac{1}{3} \cdot \frac{3}{2} = \frac{1}{2}$. The Pfair-based mapping formula will assign odd-indexed jobs of τ_2 to P_1 and even-indexed jobs of τ_2 to P_2 . For τ_3 , $f_{3,2} = \frac{2}{3} \cdot \frac{6}{5} = \frac{4}{5}$. The mapping formula will assign the first four jobs in each consecutive group of five jobs of τ_3 to P_2 . Fig. 6 shows the first 25 time units of execution on P_2 assuming deadline ties are broken in favor of τ_2 . Note that each of the first four jobs of τ_3 misses its deadline.

3 EDF-os

The problem of eliminating EDF-fm's utilization restrictions to obtain the first ever optimal boundary-limited semi-partitioned scheduling algorithm for SRT systems has stood open for eight years. Here, we close this problem by presenting EDF-os, which was obtained by devising several novel modifications to both the functioning of EDF-fm and the resulting tardiness analysis. Like EDF-fm, EDF-os consists of assignment and execution phases. Its assignment phase is described by the procedure in Fig. 7. An assignment is produced in two steps: first, as many tasks as possible are assigned as fixed tasks, using a worst-fit decreasing bin-packing heuristic. Then, all remaining tasks are assigned (in decreasing utilization order) by considering each processor

and remaining task in turn. Each task considered in this step is allocated non-zero shares from a succession of processors until the sum of its shares equals its utilization. Because the remaining tasks are considered in decreasing-utilization order, it is possible that such a task receives a non-zero share on only one processor, in which case it is a fixed task; otherwise, it is migrating. Like the assignment procedure for EDF-fm, this procedure ensures that there are at most two migrating tasks with non-zero shares on any processor. However, a migrating task can now have non-zero shares on more than two processors. Note that we are no longer imposing any restrictions on task utilizations (other than that they be at most 1.0), so it is now possible that migrating tasks may be tardy. Note also that, because tasks are considered in decreasing utilization order, each processor must contain at least one fixed task with a utilization at least that of any migrating task.

The same assignment scheme has been used by Sarkar et al. [29] in work on frame-based fair scheduling for rate-based task systems. Specifically, this scheme is used in their work to determine the number of units of execution to allocate for each task in a frame (specified interval of time).

In the execution phase, EDF-os works as follows. As in EDF-fm, each job executes on only one processor. On any processor, migrating tasks are statically prioritized over fixed ones, and fixed tasks are prioritized against each other using EDF (like in EDF-fm). Also, if a processor has two migrating tasks τ_i and τ_{i+1} , assigned in this order, then τ_i is statically prioritized over τ_{i+1} (this differs from EDF-fm). That is, a migrating task executes with highest priority on any processor that is not its first processor (recall Sec. 2). Informally, this rule ensures that tardiness is "created" for a migrating task only on its first processor; on its other processors, one of its jobs will be tardy only if its predecessor job was also tardy. In fact, any such job assigned to a nonfirst processor will be scheduled as soon as it is eligible (i.e., released and its predecessor finished). As we shall see in the tardiness bound proof in Sec. 4, this very predictable execution behavior for "non-first-processor" jobs can be leveraged to derive a lateness bound for all migrating tasks, and in turn a tardiness bound for all fixed tasks.

Because a migrating task may execute on more than two processors in EDF-os, we use a slightly altered version of EDF-fm's Pfair-based job assignment policy. In particular, if a migrating task executes on n processors, then we conceptually manage n Pfair processes with total utilization 1.0, where each Pfair process corresponds to a processor P_k , as before. If, in a uniprocessor schedule of these n processes, the k^{th} process is allocated time slot t, then the t^{th} job of the migrating task is assigned to processor P_k . With this generalized assignment policy, Prop. 1 still holds.

Ex. 1 (revisited). We now discuss how EDF-os would schedule the task system from Ex. 1 in Sec. 2. For convenience, we list here the tasks in decreasing utilization order: $\tau = \{(4,5), (3,4), (2,4), (1,2), (2,5)\}$. Fig. 8 shows how EDF-os assigns these tasks to processors. Note that now there is only one migrating task, τ_5 , which executes on P_1

```
initially s_{i,p} = 0 and \sigma_p = 0 for all i and p
/* assign fixed tasks via a worst-fit decreasing packing */
Index tasks in the order of heaviest utilization to lightest;
for i := 1 to N do
     Select p such that \sigma_p is minimal;
    if U_i > 1 - \sigma_p then
          break /* this task must be migrating */
     s_{i,p}, \sigma_p, last := U_i, \sigma_p + U_i, i
od;
/* assign migrating and low-utilization fixed tasks */
p := 1;
for i := last + 1 to N do
     remaining := U_i
     repeat
          s_{i,p} := min(remaining, (1 - \sigma_p));
          \sigma_p, remaining := \sigma_p + s_{i,p}, remaining -s_{i,p};
         if \sigma_p = 1 then p := p + 1 fi
     until remaining = 0
od
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Figure 7: EDF-os assignment phase.

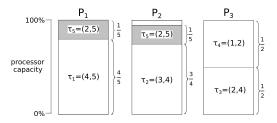


Figure 8: EDF-os task assignment for Ex. 1: $\tau_1=(4,5), \tau_2=(3,4), \tau_3=(2,4),$ and $\tau_4=(1,2)$ are assigned as fixed tasks. $\tau_5=(2,5)$ (shaded) is the only migrating task. For it, $s_{5,1}=\frac{1}{5}$ and $s_{5,2}=\frac{1}{5}$. After assignment, $\frac{1}{20}$ of P_2 is unused.

and P_2 . Because its shares are the same on these two processors, $f_{5,1}=\frac{1}{2}$ and $f_{5,2}=\frac{1}{2}$. Our Pfair-based job assignment policy will assign odd-numbered (even-numbered) jobs of τ_5 to P_1 (P_2). Fig. 9 shows an example schedule of this system. In this schedule, only fixed tasks miss deadlines. Note also that jobs of τ_5 alternate between P_1 and P_2 .

Ex. 2 (revisited). Next, we consider how EDF-os would schedule the task system from Ex. 2 in Sec. 2. As before, we list tasks in decreasing utilization order: $\tau = \{(5,6),(4,6),(2,3),(2,3),(2,3),(1,2)\}$. The task assignment EDF-os produces is shown in Fig. 10. Note that there are now two migrating tasks, and one of them, $\tau_5 = (2,3)$, executes on three processors, P_1 , P_2 , and P_3 . Fig. 11 shows an example EDF-os schedule for this task system.

4 Tardiness Bounds

In this section, we derive tardiness bounds for tasks scheduled by EDF-os. We consider migrating and fixed tasks separately, in Secs. 4.1 and 4.2, respectively. For migrating tasks, we actually consider lateness bounds rather than tardiness bounds. Recall from Sec. 2 that if tardiness is

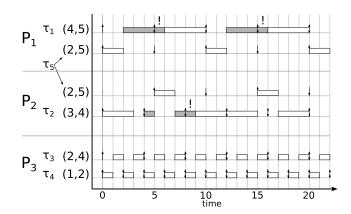


Figure 9: EDF-os schedule for Ex. 1. $f_{5,1} = f_{5,2} = \frac{1}{2}$.

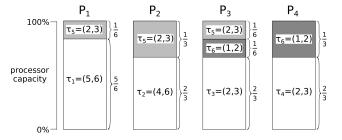


Figure 10: EDF-os task assignment for Ex. 2.

positive, then lateness is identical to tardiness, but lateness can be negative while tardiness cannot. Allowing the lateness bounds for migrating tasks to be negative can result in tighter tardiness bounds for fixed tasks. In the rest of this section, we assume that the task system τ being analyzed is feasible (refer to (2) and (3)). We denote the set of all fixed tasks on processor P_p as τ_p^f , and the sum of the shares of all fixed tasks on P_p as σ_p^f .

We begin by establishing several properties that follow from the assignment procedure in Fig. 7. Recall that, as discussed in Sec. 3, Prop. 1 continues to hold for EDF-os.

Property 2. For each migrating task τ_i , $U_i < 1$.

This property follows from the worst-fit decreasing heuristic used by our assignment procedure. Because τ is feasible, if $U_i < 1$ fails to hold, then $U_i = 1$ holds. Moreover, $i \leq M$, for otherwise, total utilization would exceed M. These facts imply that τ_i would have been assigned as a fixed task to a dedicated processor.

Property 3. There are no more than two migrating tasks that assign jobs to processor P_p . If there are two migrating tasks that assign jobs to P_p , then P_p is the first processor for exactly one of them.

It can be shown by induction that when our assignment procedure first considers a migrating task τ_i , there can be at most one migrating task already assigned to the currently considered processor (which will be τ_i 's first processor). From this, Prop. 3 follows.

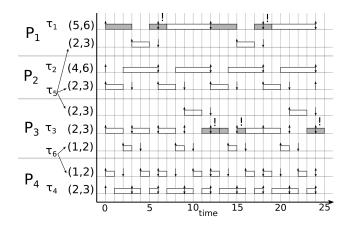


Figure 11: EDF-os schedule for Ex. 2. $f_{5,1} = \frac{1}{4}$, $f_{5,2} = \frac{1}{2}$ and $f_{5,3} = \frac{1}{4}$. $f_{6,3} = \frac{1}{3}$ and $f_{6,4} = \frac{2}{3}$.

Property 4. For processor P_p with one or more migrating tasks τ_i (and possibly τ_k) that have shares $s_{i,p}$ (and $s_{k,p}$), $\sigma_p^f + s_{i,p} + s_{k,p} \leq 1$.

Our assignment procedure does not allow σ_p to exceed 1.0 (i.e., P_p cannot be over-allocated).

Property 5. If processor P_p contains migrating tasks τ_i and τ_k and P_p is the first processor of τ_k , then $s_{i,p} + U_k < 1$.

Because tasks are assigned in decreasing-utilization order, there must be a fixed task τ_f on P_p such that $U_f \geq U_k$. Therefore, by Prop. 4 and because $s_{k,p} > 0$, Prop. 5 holds.

Property 6. Out of any c consecutive jobs of some migrating task τ_i , the number of jobs released on P_p is at most $f_{i,p}(c-1)+2$.

By Prop. 1, if τ_i executes jobs on P_p , then out of its first n jobs, the number assigned to P_p is between $\lfloor f_{i,p} \cdot n \rfloor$ and $\lceil f_{i,p} \cdot n \rceil$. Thus, out of any c consecutive jobs of τ_i , where the index of the first such job is j, the number of jobs assigned to P_p is at most

$$\begin{split} & \lceil f_{i,p} \cdot (j+c-1) \rceil - \lfloor f_{i,p} \cdot (j) \rfloor \\ & \leq \{ \text{Since } \lceil x+y \rceil \leq \lceil x \rceil + \lceil y \rceil \} \\ & \lceil f_{i,p} \cdot (j) \rceil + \lceil f_{i,p} \cdot (c-1) \rceil - \lfloor f_{i,p} \cdot (j) \rfloor \\ & \leq \{ \text{Since } \lceil x \rceil - \lfloor x \rfloor \leq 1 \} \\ & \lceil f_{i,p} \cdot (c-1) \rceil + 1 \\ & < \{ \text{Since } \lceil x \rceil < x+1 \} \\ & f_{i,p} \cdot (c-1) + 2. \end{split}$$

4.1 Lateness Bounds for Migrating Tasks

We now derive a lateness bound for migrating tasks. Since such tasks are statically prioritized over fixed ones, we need not consider fixed tasks in this derivation. Thus, all referenced tasks in this subsection are assumed to be migrating.

First, we provide a bound on the work from a migrating task that competes with an arbitrary task. This result will be used both here and in the next subsection. **Lemma 1.** Consider a migrating task τ_i that releases jobs on processor P_p . Let $t_0 \ge 0$ and $t_c > t_0$. If no job of τ_i has lateness exceeding Δ_i (which may be negative), then the demand from τ_i in the interval $[t_0, t_c)$ on P_p is less than

$$(s_{i,p})(t_c-t_0)+(s_{i,p})(\Delta_i+T_i)+2C_i.$$

Proof. Since we assume that the maximum lateness of τ_i is at most Δ_i , we know that any job released by τ_i will take no more than $T_i + \Delta_i$ time units to complete, so jobs of τ_i released before $t_0 - (\Delta_i + T_i)$ cannot create demand in $[t_0, t_c)$. Thus, competing demand for execution from jobs of τ_i in the interval $[t_0, t_c)$ comes from jobs of τ_i released in $[t_0 - \Delta_i - T_i, t_c)$. Since the minimum inter-release time between jobs of τ_i is T_i , there are at most $\left\lceil \frac{t_c - (t_0 - \Delta_i - T_i)}{T_i} \right\rceil$ such jobs released in this interval. Since τ_i is a migrating task, the number of jobs executed on P_p out of any number of consecutive jobs of τ_i is limited by Prop. 6. Thus, the demand from τ_i in the interval $[t_0, t_c)$ on P_p is at most

$$\left(f_{i,p} \cdot \left(\left\lceil \frac{t_c - (t_0 - \Delta_i - T_i)}{T_i} \right\rceil - 1 \right) + 2 \right) C_i$$

$$< \left\{ \text{Since } \left\lceil x \right\rceil < x + 1 \right\}$$

$$\left(f_{i,p} \cdot \left(\left(\frac{t_c - (t_0 - \Delta_i - T_i)}{T_i} + 1 \right) - 1 \right) + 2 \right) C_i$$

$$= \left\{ \text{Simplifying} \right\}$$

$$\left(f_{i,p} \cdot \left(\frac{t_c - t_0 + \Delta_i + T_i}{T_i} \right) + 2 \right) C_i$$

$$= \left\{ \text{By (1)} \right\}$$

$$(s_{i,p})(t_c - t_0) + (s_{i,p})(\Delta_i + T_i) + 2C_i.$$

We now show that we can upper-bound the lateness of a migrating task τ_ℓ by using a reduction argument that considers an alternate job allocation in which all of its jobs execute on its first processor, P_p . (For ease of understanding, we use the indices " ℓ " and " \hbar " in the rest of this subsection to reflect lower and higher static priorities, respectively.) Note that Prop. 5 ensures that, when ignoring fixed tasks (as we do in this subsection), P_p has sufficient capacity to accommodate any jobs of τ_ℓ we may move to it from other processors. This is because there must exist a fixed task on P_p with utilization at least that of τ_ℓ . (Our usage of a worst-fit decreasing assignment strategy is crucially exploited here.)

Lemma 2. If every job of migrating task τ_{ℓ} that executes on a non-first processor of τ_{ℓ} is moved to its first processor P_p , no job of τ_{ℓ} will complete earlier. Also, if another migrating task τ_h executes on P_p , such moves do not affect it.

Proof. If τ_ℓ shares P_p with another migrating task τ_h , then by the prioritization rules of EDF-os, τ_h is not impacted by moving jobs of τ_ℓ to P_p , since τ_h has higher priority than τ_ℓ (we are not changing the static prioritization of these tasks).

We now show that moving a single job $\tau_{\ell,k}$ of τ_{ℓ} to P_p cannot lessen the completion time of any job of τ_{ℓ} . By inducting over all such moves, the lemma follows.

Because job $au_{\ell,k}$ is being moved, it was originally ex-

ecuting on a non-first processor of τ_ℓ . Hence, $\tau_{\ell,k}$ was of highest priority on that processor and executed immediately to completion as soon as it was eligible (i.e., by the later of its release time and the completion time of its predecessor $\tau_{\ell,k-1}$, if any). After the move, its execution may be delayed by jobs of τ_h , which have higher priority than those of τ_ℓ on P_p . Thus, after the move, $\tau_{\ell,k}$ cannot complete earlier, and may complete later. If it completes later, then this cannot cause subsequent jobs of τ_ℓ to complete earlier (earlier jobs of τ_ℓ are clearly not impacted).

Thm. 1 below provides lateness bounds for migrating tasks. If a migrating task τ_{ℓ} shares its first processor with another migrating task τ_h , then the bound for τ_{ℓ} depends on that of τ_h . Such bounds can be computed inductively, with the following lemma providing the base case.

Lemma 3. The migrating task τ_h with the lowest-indexed first processor P_p does not share P_p with another migrating task

Proof. By the assignment procedure of EDF-os, no migrating task other than τ_h executes on P_p .

Theorem 1. Let P_p be the first processor of τ_ℓ . If τ_ℓ is not the only migrating task that executes on P_p , then let τ_h denote the unique (by Prop. 3) other migrating task that does so, and let Δ_h denote an upper bound on its lateness. Then, τ_ℓ has lateness no larger than

$$\Delta_{\ell} \triangleq \begin{cases} \frac{(s_{h,p})(\Delta_h + T_h) + 2C_h + C_{\ell}}{1 - s_{h,p}} - T_{\ell} & \text{if } \tau_h \text{ exists,} \\ C_{\ell} - T_{\ell} & \text{otherwise.} \end{cases}$$
(4)

Proof. By Lem. 2, we can establish the desired lateness bound by assuming that all jobs of τ_{ℓ} run on P_p . We make this assumption in the remainder of the proof.

If τ_ℓ is the only migrating task on P_p , then its jobs will be of highest priority on P_p . Thus, by Prop. 2 and Lem. 2, every job of τ_ℓ will have a response time of at most C_ℓ , and therefore a lateness of at most $C_\ell - T_\ell$.

In the rest of the proof, we assume that τ_ℓ shares P_p with another migrating task. By Prop. 3, there is a unique such task τ_h , as stated in the theorem. By the prioritization rules used by EDF-os, τ_h has higher priority than τ_ℓ .

Consider job $\tau_{\ell,j}$ with release time $r_{\ell,j}$ and deadline $d_{\ell,j}$. For purposes of contradiction, assume that $\tau_{\ell,j}$'s lateness exceeds Δ_{ℓ} . According to the prioritization rules used by EDF-os, $\tau_{\ell,j}$'s execution may be impacted only by jobs from τ_h and by jobs from τ_ℓ with deadlines before $d_{\ell,j}$. We now upper bound the processor demand impacting $\tau_{\ell,j}$ by considering a certain time interval, as defined next.

Interval $[t_0,t_c)$. Let t_0 be the latest point in time at or before $r_{\ell,j}$ such that no jobs of τ_h or τ_ℓ released on P_p before t_0 are pending; a released job is *pending* if it has not yet completed execution. (t_0 is well-defined because the stated condition holds at time 0.) Define $t_c \triangleq d_{\ell,j} + \Delta_\ell$. The assumption we seek to contradict is that $\tau_{\ell,j}$ does not complete by t_c . Since $\tau_{\ell,j}$ fails to complete by t_c , there are more than $t_c - t_0$ units of demand in the interval $[t_0, t_c)$ for the

execution of jobs on P_p with priority at least that of $\tau_{\ell,j}$.

Demand from τ_h . By Lem. 1, the competing demand in $[t_0, t_c)$ due to τ_h on P_p is at most

$$(s_{h,p})(t_c - t_0) + (s_{h,p})(\Delta_h + T_h) + 2C_h.$$
 (5)

Demand from τ_{ℓ} . Additional demand can come from jobs of τ_{ℓ} with deadlines earlier than $d_{\ell,j}$. By the definition of t_0 , all such jobs are released in $[t_0, r_{\ell,j})$. Thus, there are at most $\left\lfloor \frac{(r_{\ell,j}-t_0)}{T_{\ell}} \right\rfloor$ such jobs. Including job $\tau_{\ell,j}$ itself, there are at most $\left\lfloor \frac{(r_{\ell,j}-t_0)}{T_{\ell}} \right\rfloor + 1$ jobs of τ_{ℓ} released in $[t_0, t_c)$ with deadlines at most $d_{\ell,j}$. The total demand due to such jobs is $\left(\left\lfloor \frac{(r_{\ell,j}-t_0)}{T_{\ell}} \right\rfloor + 1 \right) C_{\ell}$, which by the definition of U_{ℓ} is at most

$$U_{\ell}(r_{\ell,j} - t_0) + C_{\ell}. \tag{6}$$

Total demand. For notational convenience, let

$$K \triangleq (s_{h,p})(\Delta_h + T_h) + 2C_h + C_\ell. \tag{7}$$

Then, by (5) and (6), the total demand on P_p due to jobs of equal or higher priority than $\tau_{\ell,j}$ in $[t_0, t_c)$ is at most

$$K + (t_c - t_0)s_{h,p} + (r_{\ell,j} - t_0)U_{\ell}.$$
 (8)

Because $\tau_{\ell,j}$ completed after time t_c (by assumption), the considered demand exceeds the length of the interval $[t_0,t_c)$, so

$$\begin{split} (t_c - t_0) &< \{ \text{By (8)} \} \\ &\quad K + (t_c - t_0) s_{h,p} + (r_{\ell,j} - t_0) U_{\ell} \\ &= \{ \text{Rearranging} \} \\ &\quad K + (t_c - r_{\ell,j}) s_{h,p} + (r_{\ell,j} - t_0) (s_{h,p} + U_{\ell}) \\ &< \{ \text{By Prop. 5} \} \\ &\quad K + (t_c - r_{\ell,j}) s_{h,p} + (r_{\ell,j} - t_0). \end{split}$$

Subtracting $(r_{\ell,j} - t_0)$ from both sides of (9) gives $(t_c - r_{\ell,j}) < K + (t_c - r_{\ell,j}) s_{h,p}$, which implies

$$K > (t_c - r_{\ell,j})(1 - s_{h,p}).$$
 (10)

By Prop. 2, $U_h < 1$, and hence $s_{h,p} < 1$. Thus, by (10),

$$\begin{split} (t_c - r_{\ell,j}) &< \{\text{since } 1 - s_{h,p} \text{ is positive}\} \\ &\frac{K}{1 - s_{h,p}} \\ &= \{\text{By (7)}\} \\ &\frac{(s_{h,p})(\Delta_h + T_h) + 2C_h + C_\ell}{1 - s_{h,p}} \\ &= \{\text{By (4)}\} \\ &\Delta_\ell + T_\ell. \end{split}$$

Because $r_{\ell,j} = d_{\ell,j} - T_{\ell}$, this implies $t_c - d_{\ell,j} < \Delta_{\ell}$, which contradicts our definition of $t_c = d_{\ell,j} + \Delta_{\ell}$. Thus, $\tau_{\ell,j}$ does not complete after time $d_{\ell,j} + \Delta_{\ell}$ as assumed.

4.2 Tardiness Bounds for Fixed Tasks

Although we provided bounds on lateness in Sec. 4.1, in this subsection we instead provide bounds on tardiness, because it is not possible for the bounds in this subsection to be negative. If no migrating tasks execute on a given processor, then the fixed tasks on that processor have zero tardiness, by the optimality of EDF on one processor. The following theorem establishes tardiness bounds for fixed tasks that must execute together with migrating tasks.

Theorem 2. Suppose that at least one migrating task executes on processor P_p and let τ_i be a fixed task on P_p . If P_p has two migrating tasks (refer to Prop. 3), denote them as τ_h and τ_ℓ , where τ_h has higher priority; otherwise, denote its single migrating task as τ_h , and consider τ_ℓ to be a "null" task with $T_\ell = 1$, $s_{\ell,p} = 0$, and $C_\ell = 0$. Then, τ_i has a maximum tardiness of at most

$$\Delta_i \triangleq \frac{(s_{h,p})(\Delta_h + T_h) + 2C_h + (s_{\ell,p})(\Delta_\ell + T_\ell) + 2C_\ell}{(1 - s_{h,p} - s_{\ell,p})}.$$
(11)

Proof. The proof is similar to that of Thm. 1. We will upper bound demand over the following interval.

Interval $[t_0,t_c)$. For purposes of contradiction, suppose that there exists a job $\tau_{i,j}$ of τ_i that has tardiness exceeding Δ_i , i.e., $\tau_{i,j}$ has not completed by t_c , where $t_c \triangleq d_{i,j} + \Delta_i$. Define a job as a *competing* job if it is released on P_p and it is a job of τ_h or τ_ℓ , or a job of a fixed task that has a deadline at or before $d_{i,j}$. Let t_0 be the latest point in time at or before $r_{i,j}$ such that no competing jobs released before t_0 are pending. (t_0 is well-defined because the stated condition holds at time 0.) We now bound demand over $[t_0,t_c)$ due to competing jobs (including $\tau_{i,j}$ itself) by considering migrating and fixed tasks separately.

Demand from migrating tasks. By Lem. 1, demand over $[t_0, t_c)$ due to jobs of τ_h and τ_ℓ is at most

$$(s_{h,p})(t_c - t_0) + (s_{h,p})(\Delta_h + T_h) + 2C_h + (s_{\ell,p})(t_c - t_0) + (s_{\ell,p})(\Delta_\ell + T_\ell) + 2C_\ell.$$
(12)

Demand from fixed tasks. A fixed task τ_k can release at most $\left\lfloor \frac{d_{i,j}-t_0}{T_k} \right\rfloor$ competing jobs within $[t_0,t_c)$. Thus, demand from all competing jobs of fixed tasks is at most

$$\sum_{\tau_k \in \tau_p^f} \left\lfloor \frac{d_{i,j} - t_0}{T_k} \right\rfloor C_k \le (d_{i,j} - t_0) \sum_{\tau_k \in \tau_p^f} \frac{C_k}{T_k}. \tag{13}$$

By the definition of σ_p^f , the bound in (13) can be written as

$$(d_{i,j} - t_0)(\sigma_p^f) \le \{\text{By Prop. 4}\}\$$

 $(d_{i,j} - t_0)(1 - s_{h,p} - s_{\ell,p}).$ (14)

Total demand. For notational convenience, let

$$K \triangleq (s_{h,p})(\Delta_h + T_h) + 2C_h + (s_{\ell,p})(\Delta_\ell + T_\ell) + 2C_\ell.$$
 (15)

Then, by (12) and (14), total competing demand is at most

$$K + s_{h,p}(t_c - t_0) + s_{\ell,p}(t_c - t_0) + (d_{i,j} - t_0)(1 - s_{h,p} - s_{\ell,p}).$$
(16)

Because $\tau_{i,j}$ completed after time t_c (by assumption), the considered demand exceeds the length of the interval $[t_0, t_c)$, so

$$(t_{c} - t_{0}) < \{By (16)\}$$

$$K + s_{h,p}(t_{c} - t_{0}) + s_{\ell,p}(t_{c} - t_{0}) + (d_{i,j} - t_{0}) - (d_{i,j} - t_{0})(s_{h,p} + s_{\ell,p})$$

$$= \{Rearranging\}$$

$$K + (s_{h,p} + s_{\ell,p})(t_{c} - t_{0}) + (d_{i,j} - t_{0}) - (d_{i,j} - t_{0})(s_{h,p} + s_{\ell,p}).$$
 (17)

Subtracting $(d_{i,j}-t_0)$ from both sides of (17), we have $(t_c-d_{i,j}) < K + (s_{h,p}+s_{\ell,p})(t_c-t_0) - (d_{i,j}-t_0)(s_{h,p}+s_{\ell,p}) = K + (s_{h,p}+s_{\ell,p})(t_c-d_{i,j})$. This implies

$$K > (t_c - d_{i,j})(1 - s_{h,p} - s_{\ell,p}).$$
 (18)

By Prop. 4 and because at least one fixed task τ_i assigned to P_k , we have $(1 - s_{h,p} - s_{\ell,p}) > 0$. Thus, by (18),

$$t_c - d_{i,j} < \frac{K}{(1 - s_{h,p} - s_{\ell,p})}$$

$$= \{ \text{By (11) and (15)} \}$$
 $\Delta_i.$

This contradicts our definition of $t_c = d_{i,j} + \Delta_i$, so it cannot be the case that $\tau_{i,j}$ has more than Δ_i units of tardiness. \square

In an appendix, we discuss some possible improvements and extensions to EDF-os.

5 Experimental Comparison

Several scheduling algorithms have been previously evaluated for use in SRT systems. Bastoni et al. [8] compared several semi-partitioned algorithms, including EDF-fm and also EDF-WM [23], although the latter was originally designed for HRT systems. In that study, EDF-WM was shown to be effective for SRT systems due to its low overheads. Although not a semi-partitioned algorithm, the global algorithm G-FL has been proposed by Erickson et al. [16] as a promising scheduler for SRT systems. G-FL has provably better tardiness bounds than the more well known G-EDF algorithm. The variant C-FL [17], which partitions tasks onto clusters of processors and runs G-FL within each cluster, is often preferable in the presence of overheads.

We conducted overhead-aware experiments in which each of EDF-os, EDF-fm, EDF-WM, and C-FL were compared on the basis of schedulability and the tardiness bounds they ensure. In order to determine the effect of overheads, we first implemented EDF-os in LITMUS^{RT} [13] and measured the same scheduler-specific overheads considered by Bastoni et al. [8]. We used an Intel Xeon L7455 system,

which has 24 cores on four physical sockets. The cores in each socket share an L3 cache, and pairs of cores share an L2 cache. Overheads on the *same* machine were available for EDF-fm and EDF-WM from the study in [8] and for C-FL from the study in [17]. Cache-related preemption and migration delays were also measured on this machine in a prior study [6]. All of those prior measurements were reused in the study here. We used these overheads in an overhead-aware schedulability study involving randomly generated task sets following the methodology in [8]. We elaborate upon specific aspects of this methodology, including how task sets were generated and the weighted schedulability metric used for comparison, in the appendix.

Due to space constraints, we present only a subset of our results here—other results can be found in an online appendix [2]. A typical result for weighted schedulability is depicted in Fig. 12, which shows weighted schedulability, with respect to working set size (WSS), of each algorithm under uniform medium utilizations and uniform moderate periods.² Because all utilizations considered are no greater than 0.5, EDF-fm has 100% schedulability before accounting for overheads. EDF-os and EDF-fm have similar overheads, so they are nearly indistinguishable from a schedulability perspective, but both have higher schedulability than EDF-WM or C-FL. Fig. 13 has the same axes as Fig. 12, but depicts a uniform heavy utilization distribution rather than uniform medium. Because the utilization constraint for EDF-fm is no longer guaranteed to be satisfied, EDFfm schedules very few task systems in this case. However, EDF-os continues to exhibit the best weighted schedulability of any considered algorithm. Overall, EDF-os usually provided the best weighted schedulability of any algorithm, although EDF-fm and EDF-WM sometimes provided small advantages for task systems with light utilizations.

Tardiness bounds are depicted with respect to utilization cap (for a fixed WSS of 128 KB) in Fig. 14. For small utilization caps, C-FL can guarantee negative lateness, which leads to a tardiness bound of zero. Usually, fewer tasks are migratory under EDF-os than under EDF-fm; as a result, tardiness was usually drastically lower under EDF-os than under EDF-fm, often very close to zero. Therefore, even for task systems where EDF-fm and EDF-os yielded comparable schedulability, EDF-os was superior. Overall, C-FL typically provided tardiness bounds between those of EDF-fm and EDF-os, while EDF-WM provided zero tardiness (as it is a HRT scheduler), at the cost of the inability to schedule many task sets. C-FL sometimes provided smaller tardiness bounds than EDF-os for some task systems with small WSSs where migration overheads are relatively small, but typically only EDF-WM yielded smaller tardiness bounds than EDF-os.

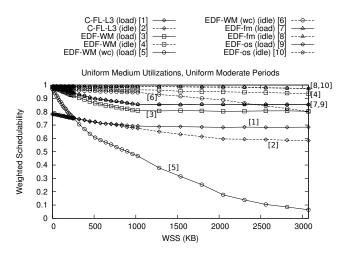


Figure 12: Weighted schedulability for task systems with uniform medium utilizations and uniform moderate periods.

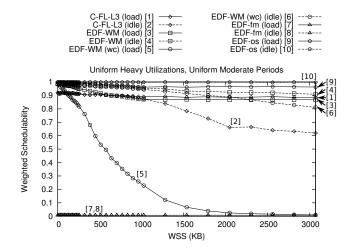


Figure 13: Weighted schedulability for task systems with uniform heavy utilizations and uniform moderate periods.

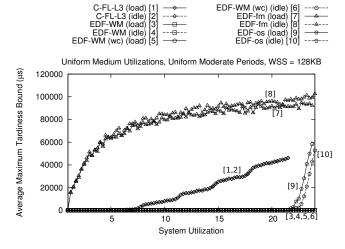


Figure 14: Tardiness bounds for schedulable systems with uniform medium utilizations, uniform moderate periods, and a WSS of 128 KB.

 $^{^2}$ In interpreting the presented graphs, it suffices to know the following: uniform medium and heavy task utilizations are distributed uniformly over [0.1, 0.4] and [0.5, 0.9], respectively; moderate periods are distributed uniformly over [10, 100] ms; the labels "load" and "idle" in the graphs denote whether cache-related preemption and migration delays were measured in an idle system or a system under load; and the label "wc" means that worst-case overheads were assumed. Please see the appendix for details.

Because EDF-WM is not boundary-limited, it may not interact well with synchronization protocols in the presence of critical sections. Therefore, in addition to its typically better schedulability, EDF-os provides a significant practical advantage over EDF-WM when synchronization is needed. Moreover, as noted in the appendix, the behavior of EDF-WM it not well-defined if systems are provisioned assuming deadline misses are tolerable. Compared to C-FL, EDF-os provides better schedulability and typically provides lower tardiness bounds, and compared to EDF-fm, EDF-os provides both better schedulability and lower tardiness bounds. Therefore, EDF-os represents a significant improvement to the state-of-the-art for SRT scheduling.

6 Conclusion

We have presented EDF-os, the first boundary-limited semi-partitioned scheduling algorithm that is optimal under the "bounded tardiness" definition of SRT correctness. We have also discussed (in an appendix) optimal variants of EDF-os in which implicit deadlines are not assumed and in which algorithms other than EDF are used as the secondary scheduler. EDF-os and its analysis extend prior work on EDF-fm by introducing two new key ideas: using some static prioritizations to make the execution of migrating tasks more predictable; and exploiting properties of worst-fit decreasing task assignments to enable a migrating task to be analyzed by "pretending" that all of its jobs execute on its first processor. In experiments that we conducted, EDF-os proved to be the best overall alternative from a schedulability perspective while providing very low tardiness bounds. Moreover, it has practical advantages over algorithms that are not boundary-limited.

The only other optimal boundary-limited scheduling algorithms for SRT systems known to us are non-preemptive global EDF (NP-G-EDF) [14] and global FIFO (G-FIFO) [26] (which is also non-preemptive). For static systems, EDF-os is likely to be preferable in practice, because the tardiness bounds we have established are much lower than those known for NP-G-EDF and G-FIFO, and because semi-partitioned algorithms have lower runtime overheads than global ones [8]. On the other hand, for dynamic systems, where task timing parameters (such as execution budgets and periods) may change at runtime, NP-G-EDF is likely to be preferable, as EDF-based global scheduling tends to more amenable to runtime changes [12]. In contrast, the correctness of EDF-os relies crucially on how tasks are assigned to processors, and redefining such assignments on-the-fly does not seem easy.

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A Appendix: Additional Material

In this appendix, we present our experimental methodology in full and discuss several extensions to our work.

Experimental Methodology

In our experiments, we randomly generated implicitdeadline task sets, inflated the task system parameters to account for average-case3 observed overheads, and computed the resulting schedulability and maximum tardiness bounds under each tested algorithm. When generating task sets, we used the parameter distributions from [8]. Task utilization were generated using uniform, bimodal, and exponential distributions. For uniform distributions, we considered a light distribution where values were drawn from [0.001, 0.1], a medium distribution where values were drawn from [0.1, 0.4], and a heavy distribution where values were drawn from [0.5, 0.9]. For bimodal distributions, we drew values uniformly in the range of either [0.001, 0.05] or [0.5, 0.9] with respective probabilities of either $\frac{8}{9}$ and $\frac{1}{9}$, $\frac{6}{9}$ and $\frac{3}{9}$, or $\frac{4}{9}$ and $\frac{5}{9}$, for *light*, *medium*, and *heavy* distributions, respectively. For exponential distributions, we used a respective mean of 0.1, 0.25, and 0.5 for light, medium, and heavy distributions, respectively, and discarded any values that exceeded one. We generated periods uniformly from either a short (3 ms to 33 ms), moderate (10 ms to 100 ms), or long (50 ms to 250 ms) distribution.

We also considered utilization caps in the set $\{1, 1.25, 1.5, \ldots, 24\}$, and working set sizes (WSSs) from 16 KB to 3072 KB. WSSs from [0, 256) KB were considered in increments of 16 KB, from [256, 1024) KB in increments of 64 KB, and from [1024, 3072] KB in increments of 256KB.

We generated 100 task sets for each combination of period distribution, utilization distribution, utilization cap, and WSS. When generating each task set, we added tasks until the total utilization exceeded the utilization cap, and then removed the last task.

We considered several variants of the four tested schedulers, EDF-os, EDF-fm, EDF-WM, and C-FL, yielding ten possibilities in total. We used clustering based on L3 cache boundaries for C-FL, resulting in clusters of size six; this choice was made because C-FL has overheads similar to clustered EDF (C-EDF), and [8] used C-EDF with L3 cache boundaries as its standard of comparison. (C-FL, which was developed after the publication of [8], has better tardiness bounds than C-EDF.) For each tested scheduler, we considered cache-related preemption and migration delays both for an idle system and a system under load; considering both possibilities allows conclusions to be drawn for systems with light and heavy cache contention, respectively. Finally, because EDF-WM was designed as a HRT scheduler, it may not behave correctly if overheads cause jobs to miss deadlines (specifically, such misses may cause

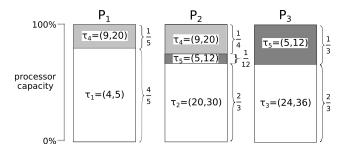


Figure 15: Counterexample to show that EDF-os is not optimal for non-preemptive task systems.

a task to run in parallel with itself). Therefore, for EDF-WM, we also considered behavior in the presence of worst-case observed overheads (denoted with *wc*), as doing so is probably necessary in practice.

To assess overall schedulability trends, we used the metric of weighted schedulability from [7]. Let $S(U,W) \in [0,1]$ denote the schedulability of an algorithm (after accounting for overheads) with utilization cap (before overheads) U and WSS W, and let Q denote the set of considered utilization caps. Weighted schedulability, S(W), is defined as

$$S(W) = \frac{\sum_{U \in Q} U \cdot S(U, W)}{\sum_{U \in Q} U}.$$

Potential Extensions to EDF-os

Non-preemptive sections. After designing EDF-os, we initially thought it retained its optimality if job execution is non-preemptive. However, this turns out not to be the case. In particular, with non-preemptivity, a job of a migrating task executing on a non-first processor for that task may be non-preemptively blocked when it is released. This blocking negates an important property exploited in our analysis, namely that such jobs execute immediately upon release. Here we give a counterexample consisting of five tasks executing on three processors where such non-preemptive blocking causes a migrating task to have unbounded tardiness.

Let $\tau = {\tau_1 = (4, 5), \tau_2 = (20, 30), \tau_3 = (24, 36), \tau_4 = (24, 36), \tau_4 = (24, 36), \tau_8 = (24, 36), \tau_{10} = (24, 36), \tau_{$ $(9,20), \tau_5 = (5,12)$ and M = 3. Since $U(\tau) = 3.0, \tau$ is feasible on three processors. The assignment phase of EDF-os would assign the five tasks as shown in Fig. 15. In this example, tardiness can be unbounded for τ_5 if jobs are released as follows. Let the first job of τ_5 be released at time 1 and periodically once every 12 time units thereafter. Since $f_{5,3} = 4/5$ and $f_{5,2} = 1/5$, consider a job assignment in which the first four of every group of five jobs $5n+1\ldots 5n+5$ (i.e., jobs $5n+1\ldots 5n+4$) of τ_5 are assigned to P_3 and the last job (job 5n + 5) to P_2 for all $n \ge 0$. Let τ_3 (τ_2) release a job one time unit before the first of the four jobs (fifth job) of every five jobs of τ_5 assigned to P_3 (P_2) becomes eligible. Let τ_4 's jobs assigned to P_2 be released at exactly the same time that a job of τ_5 assigned to P_2 become eligible. $(f_{4,1} = 4/9 \text{ and } f_{4,2} = 5/9, \text{ and } f_{4,2} = 5/9)$

³In prior studies, e.g., [7, 8], average-case overheads were considered when evaluating SRT schedulers, and worst-case overheads when evaluating HRT schedulers.

hence, jobs 1, 3, 5, 7, and 8 of every group of nine jobs $9n + 1 \dots 9n + 9$, $n \ge 0$, can be assigned to P_2 , and the remaining job to P_1 . Since $p_4 = 20$, it is sufficient if the separation between two consecutive jobs of τ_4 assigned to P_2 is 40 time units. With only every fifth job of τ_5 assigned to P_2 , the eligibility times of two consecutive jobs of τ_5 assigned to P_2 is at least 60. Thus, τ_4 's jobs can be released such that releases on P_2 coincide with the eligibility times of jobs of τ_5 assigned to P_2 .) With such a job release pattern, the first of every group of four jobs of τ_5 assigned to P_3 is blocked by τ_3 for 23 time units after it becomes eligible. (The remaining three jobs are eligible when their predecessors complete executing and hence do not incur additional blocking.) Similarly, every fifth job is blocked for 19 time units due to τ_2 and waits for an additional 9 time units due to τ_4 , for a total waiting time of 28 time units. Thus, 51 time units in every 60 time units within which every five jobs of τ_5 need to execute are spent waiting on other jobs. Hence, since the total execution requirement for five jobs is 25, tardiness for jobs in each group increases by 16 and grows unboundedly.

Refined assignment procedures. Our analysis suggests that, by refining EDF-os's assignment procedure, it may possible to obtain lower lateness/tardiness bounds. First, note that the inductive nature of the lateness bound calculation for migrating tasks may cause migrating tasks assigned to later processors to have higher bounds because lateness can cascade (though it will remain bounded). It may be possible to reduce such cascades by adjusting the assignment of migrating tasks, particularly on systems that are not fully utilized. Second, note that reducing the shares of migrating tasks executing on P_p reduces the bounds in (4) and (11). However, such a reduction would entail increasing the shares of these tasks on other processors, which could lead to lateness/tardiness bound increases on those processors. It may be possible to take such linkages among processors into account and obtain an assignment of tasks to processors that lessens the largest tardiness bound in the system. Finally, note that (4) includes $-T_{\ell}$ as part of τ_{ℓ} 's lateness bound. By biasing the task assignment procedure to prefer larger periods for migrating tasks, it might be possible to lessen the lateness/tardiness bounds that result. We leave refinements to our assignment procedure motivated by these observations as future work.

Bounds with non-implicit deadlines. We have so far assumed that all job deadlines are implicit. However, if we maintain the prioritizations that EDF-os uses, then bounded tardiness can be easily ensured for systems with non-implicit deadlines, i.e., ones where each task τ_i has a specified relative D_i that may be less than, equal to, or greater than T_i . Maintaining the existing prioritizations for migrating tasks is straightforward, as these tasks are not scheduled by deadline (that are statically prioritized). For each job $\tau_{i,j}$ of a fixed task τ_i , we merely need to define a "scheduling deadline" equal to $r_{i,j} + T_i$ and prioritize such jobs on an EDF basis using scheduling deadlines instead of real ones. With this change, EDF-os will behave as before, but our

analysis then bounds lateness/tardiness (for both migrating and fixed tasks) with respect to scheduling deadlines. However, such bounds can be easily corrected to be expressed with respect to real deadlines: if $D_i > T_i$, then simply subtract $D_i - T_i$ from the bound; if $D_i < T_i$, then simply add $T_i - D_i$ to the bound.

Window constrained second-level schedulers In defining EDF-os, we used EDF as a secondary scheduler for fixed tasks. (For migrating tasks, our prioritization rules and the sporadic task model fully characterize the behavior.)

Optimal variants of EDF-os can be constructed in which other algorithms are used as the secondary scheduler. All that we require is that a *window-constrained* [27] scheduler be used. Such a scheduler employs a per-task priority function $\chi_i(\tau_{i,j},t)$ such that for some constants ϕ_i and $\psi_i, r_{i,j} - \phi_i \leq \chi_i(\tau_{i,j},t) \leq d_{i,j} + \psi_i$ for each job $\tau_{i,j}$. The priority of job $\tau_{i,j}$ is at least that of $\tau_{i',j'}$ at time t if $\chi_i(\tau_{i,j},t) \leq \chi_{i'}(\tau_{i',j'},t)$ (priority functions can potentially change with time).

Our analysis can be modified to deal with this more general priority specification as follows. The bounds for migrating tasks continue to hold without modification; the proof of Thm. 1 is unchanged. By the definition of EDF-os priorities, all jobs of τ_h always have a higher priority than $\tau_{\ell,j}$, and by the sporadic task model, no job of τ_ℓ released after $r_{\ell,j}$ is eligible for execution before $\tau_{\ell,j}$ completes.

However, in our analysis of the tardiness of fixed tasks in the proof of Theorem 2, the number of competing jobs due to a fixed task τ_k is no longer $\left\lfloor \frac{d_{i,j} + t_0}{T_k} \right\rfloor$ but $\left\lfloor \frac{d_{i,j} + \psi_i + \phi_k - t_0}{T_k} \right\rfloor$. In effect, this change causes " $d_{i,j}$ " to be replaced by " $d_{i,j} + \psi_i$ " throughout the proof and K to be inflated by an additional $\sum_{\tau_k \in \tau_p^f} U_k \phi_k$. As a result, Δ_i must be increased by $\psi_i + \frac{\sum_{\tau_k \in \tau_p^f} U_k \phi_k}{1 - s_{h,p} - s_{\ell,p}}$. Tighter analysis may be possible if more is known about the prioritization function (as was the case with EDF).

B Appendix: Additional Graphs

In this appendix, we provide full graphs for our experiments. In order to keep the number of graphs manageable, when considering tardiness bounds we either fixed the WSS at 128 KB or fixed the utilization cap at 20. To facilitate grouping of figures for similar distributions, we begin the graphs on the next page.

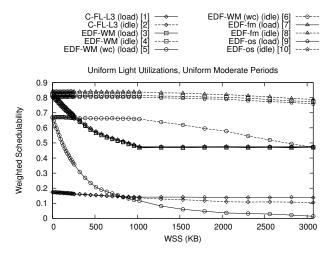


Figure 16: Uniform Light Utilizations, Uniform Moderate Periods

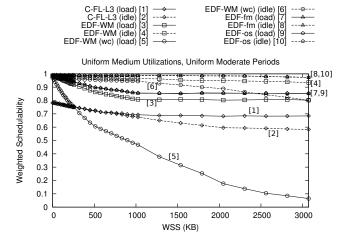


Figure 17: Uniform Medium Utilizations, Uniform Moderate Periods

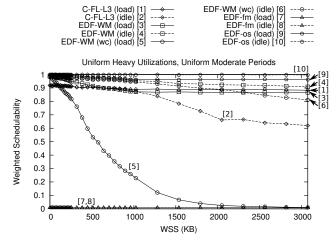


Figure 18: Uniform Heavy Utilizations, Uniform Moderate Periods

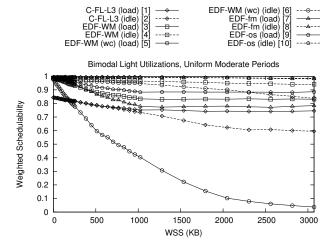


Figure 19: Bimodal Light Utilizations, Uniform Moderate Periods

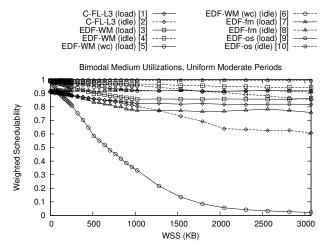


Figure 20: Bimodal Medium Utilizations, Uniform Moderate Periods

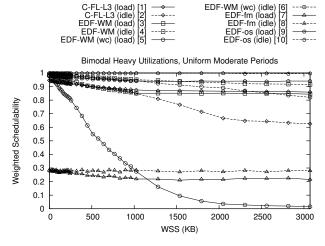


Figure 21: Bimodal Heavy Utilizations, Uniform Moderate Periods

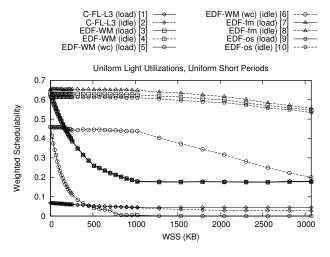


Figure 22: Uniform Light Utilizations, Uniform Short Periods

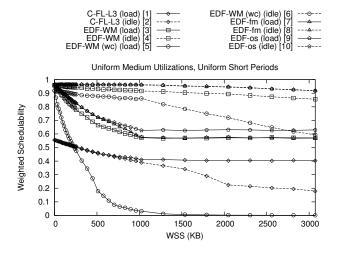


Figure 23: Uniform Medium Utilizations, Uniform Short Periods

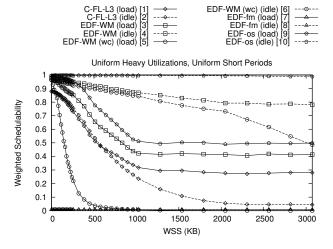


Figure 24: Uniform Heavy Utilizations, Uniform Short Periods

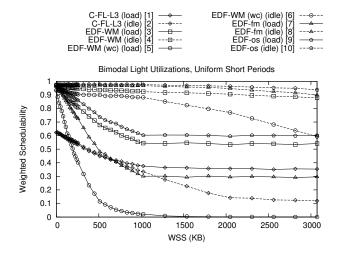


Figure 25: Bimodal Light Utilizations, Uniform Short Periods

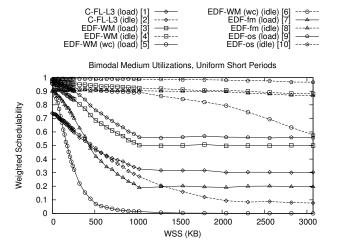


Figure 26: Bimodal Medium Utilizations, Uniform Short Periods

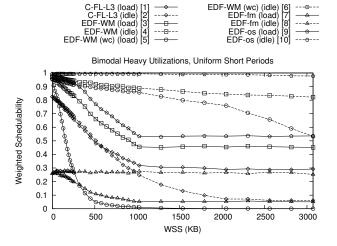


Figure 27: Bimodal Heavy Utilizations, Uniform Short Periods

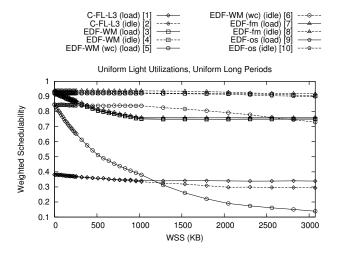


Figure 28: Uniform Light Utilizations, Uniform Long Periods

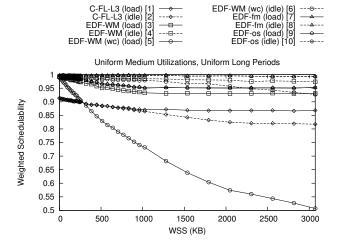


Figure 29: Uniform Medium Utilizations, Uniform Long Periods

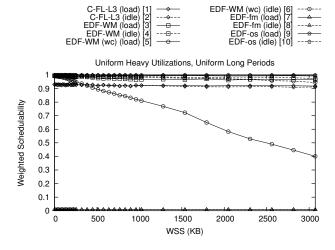


Figure 30: Uniform Heavy Utilizations, Uniform Long Periods

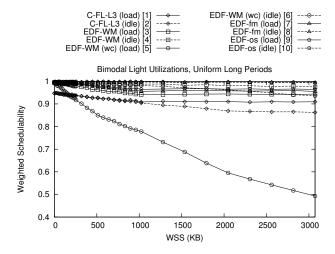


Figure 31: Bimodal Light Utilizations, Uniform Long Periods

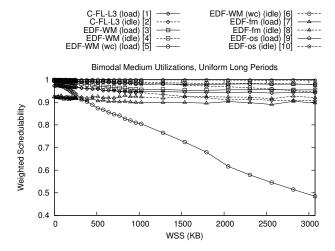


Figure 32: Bimodal Medium Utilizations, Uniform Long Periods

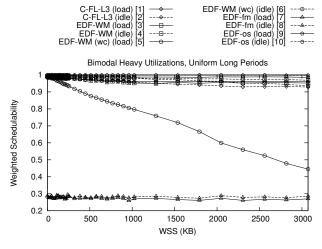


Figure 33: Bimodal Heavy Utilizations, Uniform Long Periods

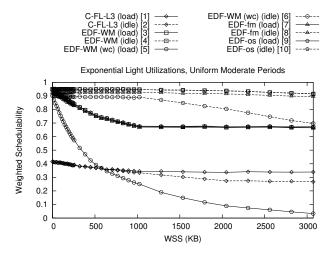


Figure 34: Exponential Light Utilizations, Uniform Moderate Periods

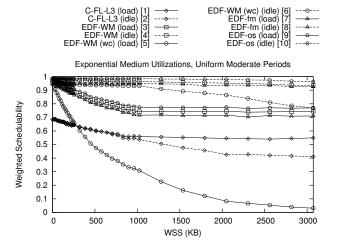


Figure 35: Exponential Medium Utilizations, Uniform Moderate Periods

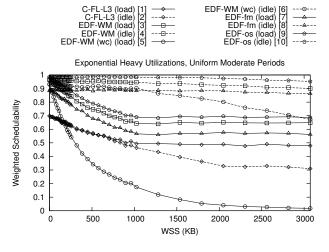


Figure 36: Exponential Heavy Utilizations, Uniform Moderate Periods

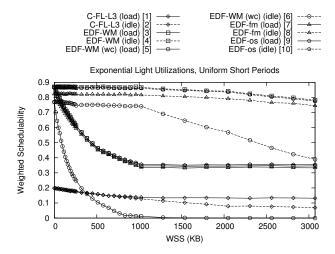


Figure 37: Exponential Light Utilizations, Uniform Short Periods

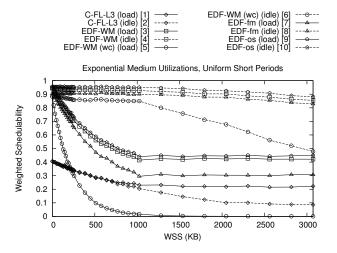


Figure 38: Exponential Medium Utilizations, Uniform Short Periods

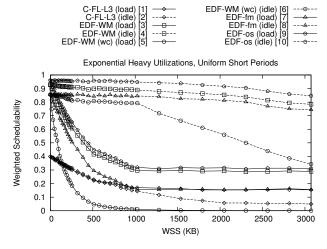


Figure 39: Exponential Heavy Utilizations, Uniform Short Periods

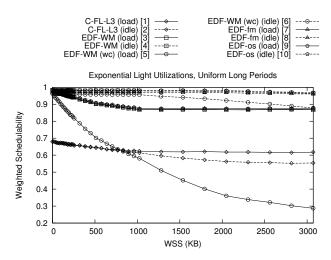


Figure 40: Exponential Light Utilizations, Uniform Long Periods

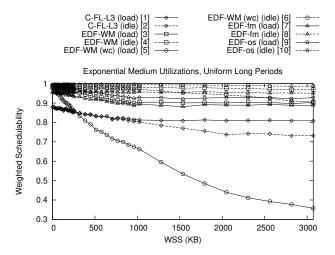


Figure 41: Exponential Medium Utilizations, Uniform Long Periods

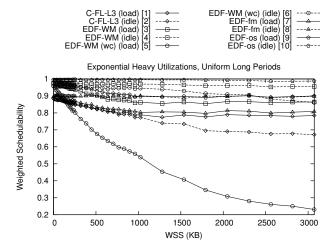


Figure 42: Exponential Heavy Utilizations, Uniform Long Periods

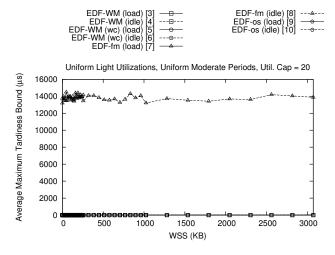


Figure 43: Uniform Light Utilizations, Uniform Moderate Periods, Util. Cap = 20

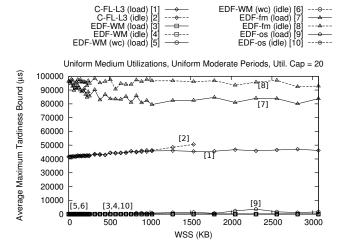


Figure 44: Uniform Medium Utilizations, Uniform Moderate Periods, Util. Cap = 20

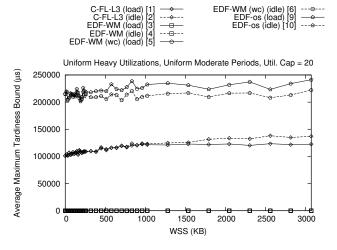


Figure 45: Uniform Heavy Utilizations, Uniform Moderate Periods, Util. Cap = 20

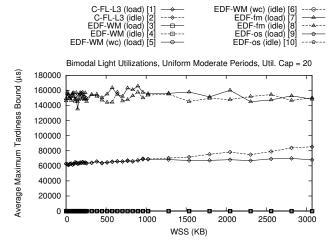


Figure 46: Bimodal Light Utilizations, Uniform Moderate Periods, Util. Cap = 20

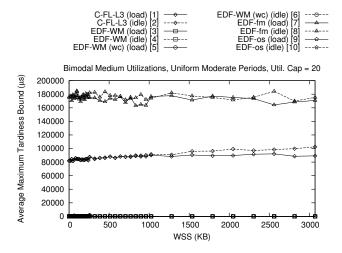


Figure 47: Bimodal Medium Utilizations, Uniform Moderate Periods, Util. Cap = 20

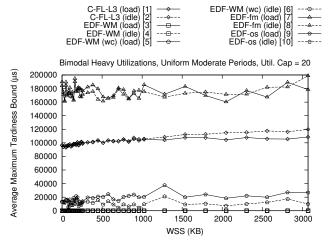


Figure 48: Bimodal Heavy Utilizations, Uniform Moderate Periods, Util. Cap = 20



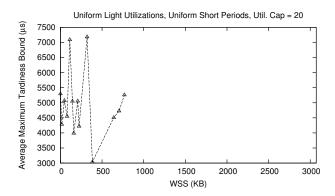


Figure 49: Uniform Light Utilizations, Uniform Short Periods, Util. Cap = 20

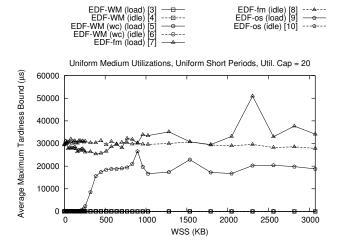


Figure 50: Uniform Medium Utilizations, Uniform Short Periods, Util. Cap = 20

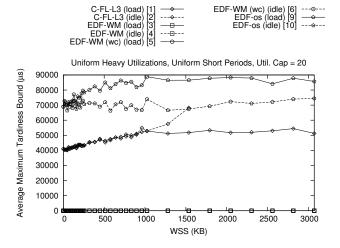


Figure 51: Uniform Heavy Utilizations, Uniform Short Periods, Util. Cap = 20

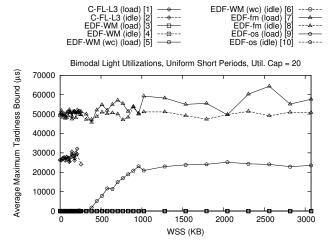


Figure 52: Bimodal Light Utilizations, Uniform Short Periods, Util. Cap = 20

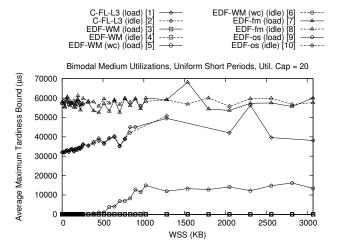


Figure 53: Bimodal Medium Utilizations, Uniform Short Periods, Util. Cap = 20

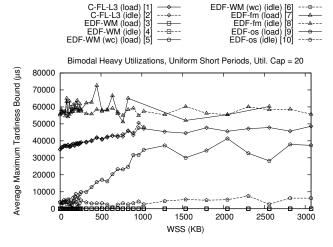


Figure 54: Bimodal Heavy Utilizations, Uniform Short Periods, Util. Cap = 20

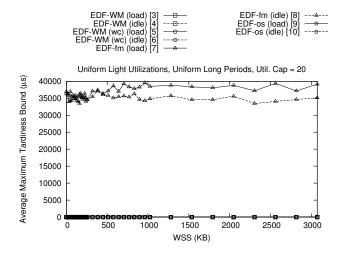


Figure 55: Uniform Light Utilizations, Uniform Long Periods, Util. Cap = 20

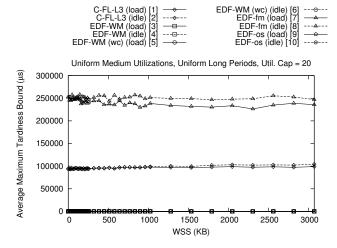


Figure 56: Uniform Medium Utilizations, Uniform Long Periods, Util. Cap = 20

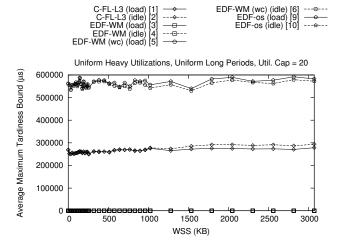


Figure 57: Uniform Heavy Utilizations, Uniform Long Periods, Util. Cap = 20

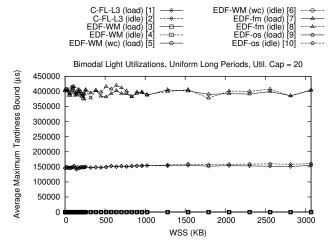


Figure 58: Bimodal Light Utilizations, Uniform Long Periods, Util. Cap = 20

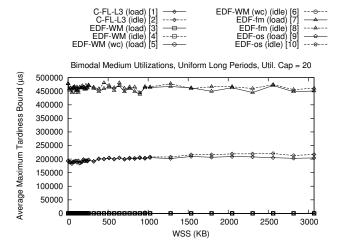


Figure 59: Bimodal Medium Utilizations, Uniform Long Periods, Util. Cap = 20

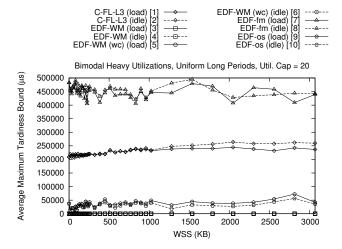


Figure 60: Bimodal Heavy Utilizations, Uniform Long Periods, Util. Cap = 20

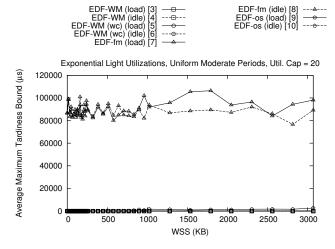


Figure 61: Exponential Light Utilizations, Uniform Moderate Periods, Util. Cap = 20

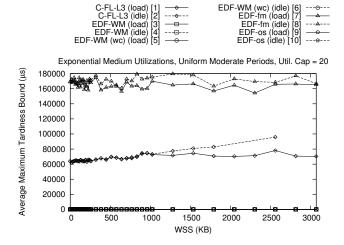


Figure 62: Exponential Medium Utilizations, Uniform Moderate Periods, Util. Cap = 20

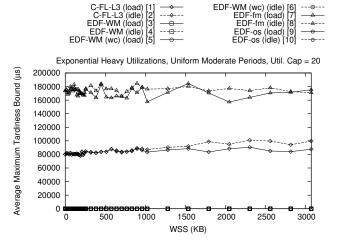


Figure 63: Exponential Heavy Utilizations, Uniform Moderate Periods, Util. Cap = 20

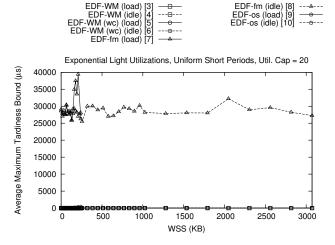


Figure 64: Exponential Light Utilizations, Uniform Short Periods, Util. Cap = 20

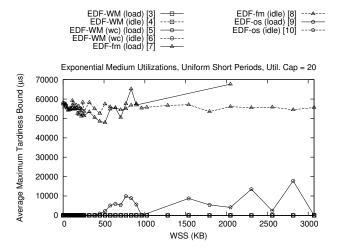


Figure 65: Exponential Medium Utilizations, Uniform Short Periods, Util. Cap = 20

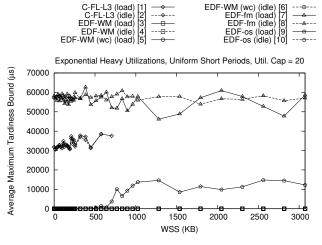


Figure 66: Exponential Heavy Utilizations, Uniform Short Periods, Util. Cap = 20

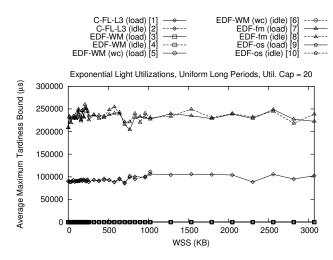


Figure 67: Exponential Light Utilizations, Uniform Long Periods, Util. Cap = 20

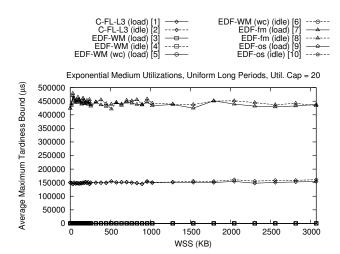


Figure 68: Exponential Medium Utilizations, Uniform Long Periods, Util. Cap = 20

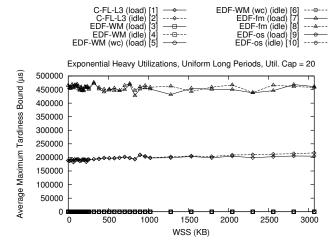


Figure 69: Exponential Heavy Utilizations, Uniform Long Periods, Util. Cap = 20

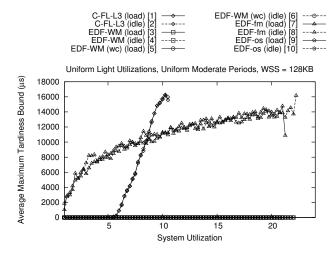


Figure 70: Uniform Light Utilizations, Uniform Moderate Periods, WSS = 128KB

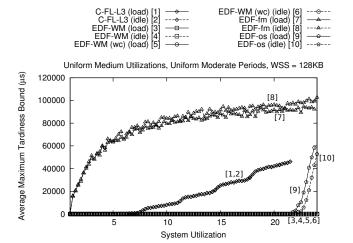


Figure 71: Uniform Medium Utilizations, Uniform Moderate Periods, WSS = 128KB

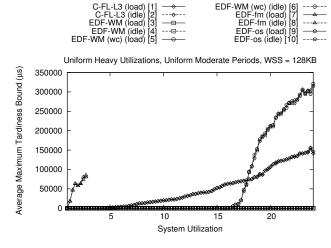


Figure 72: Uniform Heavy Utilizations, Uniform Moderate Periods, WSS = 128KB

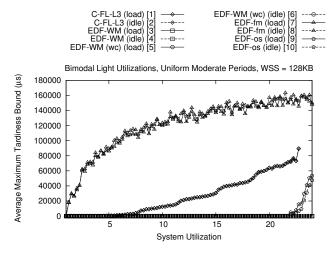


Figure 73: Bimodal Light Utilizations, Uniform Moderate Periods, WSS = 128KB

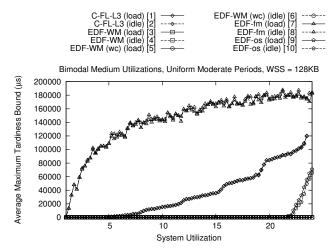


Figure 74: Bimodal Medium Utilizations, Uniform Moderate Periods, WSS = 128KB

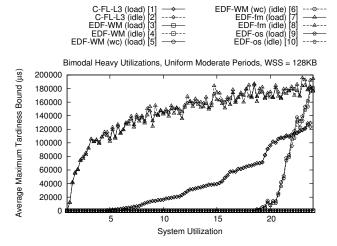


Figure 75: Bimodal Heavy Utilizations, Uniform Moderate Periods, WSS = 128KB

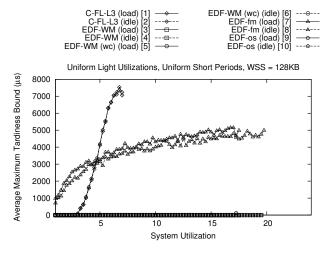


Figure 76: Uniform Light Utilizations, Uniform Short Periods, WSS = 128KB

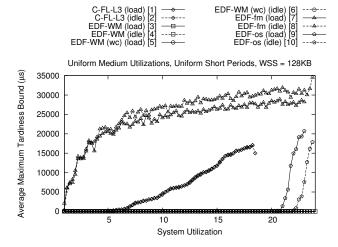


Figure 77: Uniform Medium Utilizations, Uniform Short Periods, WSS = 128KB

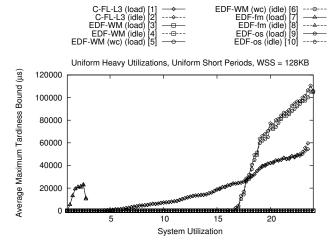


Figure 78: Uniform Heavy Utilizations, Uniform Short Periods, WSS = 128KB

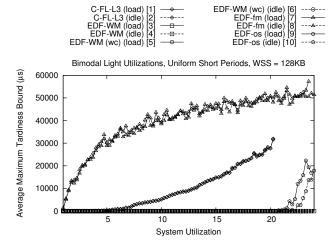


Figure 79: Bimodal Light Utilizations, Uniform Short Periods, WSS = 128KB

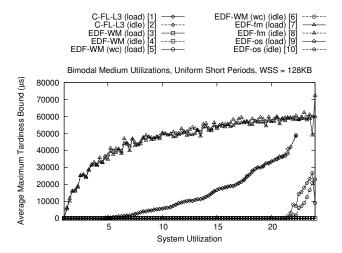


Figure 80: Bimodal Medium Utilizations, Uniform Short Periods, WSS = 128KB

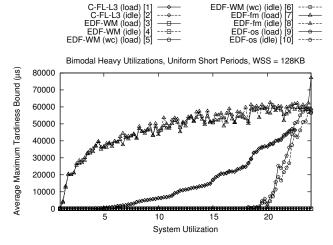


Figure 81: Bimodal Heavy Utilizations, Uniform Short Periods, WSS = 128KB

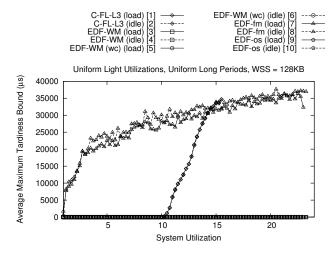


Figure 82: Uniform Light Utilizations, Uniform Long Periods, WSS = 128KB

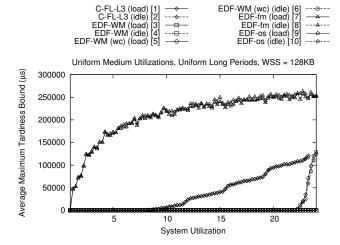


Figure 83: Uniform Medium Utilizations, Uniform Long Periods, WSS = 128KB

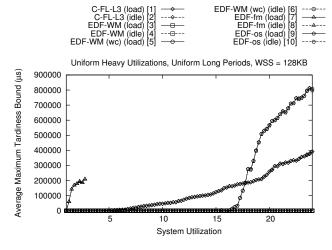


Figure 84: Uniform Heavy Utilizations, Uniform Long Periods, WSS = 128KB

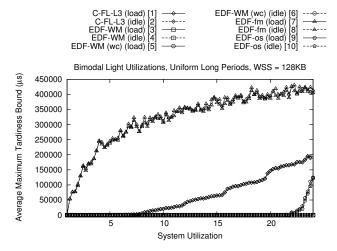


Figure 85: Bimodal Light Utilizations, Uniform Long Periods, WSS = 128KB

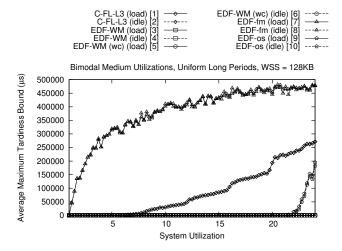


Figure 86: Bimodal Medium Utilizations, Uniform Long Periods, WSS = 128KB

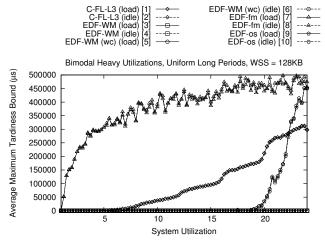


Figure 87: Bimodal Heavy Utilizations, Uniform Long Periods, WSS = 128KB

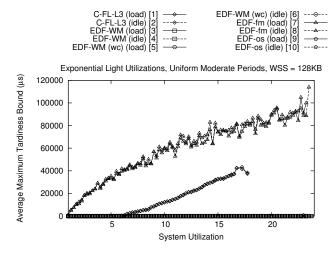


Figure 88: Exponential Light Utilizations, Uniform Moderate Periods, WSS = 128KB

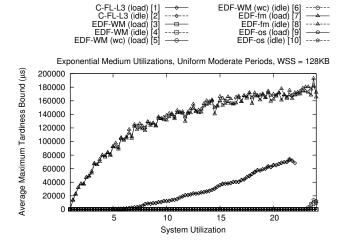


Figure 89: Exponential Medium Utilizations, Uniform Moderate Periods, WSS = 128KB

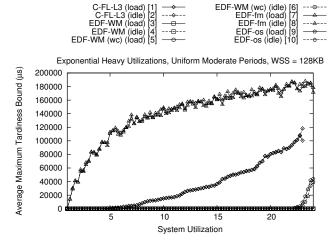


Figure 90: Exponential Heavy Utilizations, Uniform Moderate Periods, WSS = 128KB

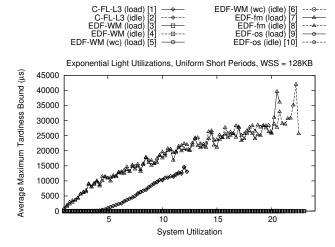


Figure 91: Exponential Light Utilizations, Uniform Short Periods, WSS = 128KB

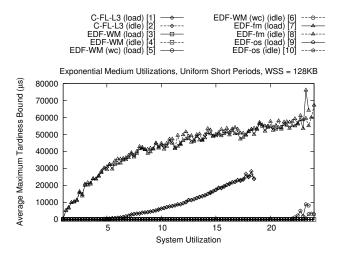


Figure 92: Exponential Medium Utilizations, Uniform Short Periods, WSS = 128KB

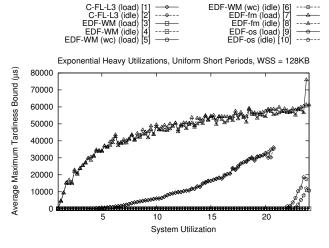


Figure 93: Exponential Heavy Utilizations, Uniform Short Periods, WSS = 128KB

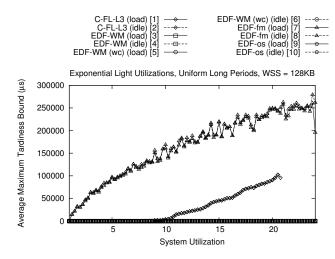


Figure 94: Exponential Light Utilizations, Uniform Long Periods, WSS = 128KB

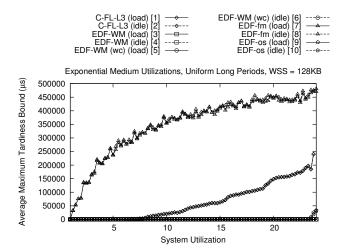


Figure 95: Exponential Medium Utilizations, Uniform Long Periods, WSS = 128KB

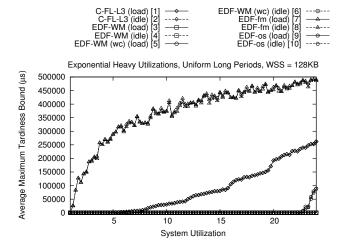


Figure 96: Exponential Heavy Utilizations, Uniform Long Periods, WSS = 128KB