

# Scheduling of mixed-criticality sporadic task systems with multiple levels

Sanjoy K. Baruah <sup>\*</sup>      Vincenzo Bonifaci <sup>†</sup>

Gianlorenzo D'Angelo (Speaker) <sup>‡</sup>      Haohan Li <sup>§</sup>

Alberto Marchetti-Spaccamela <sup>¶</sup>      Suzanne van der Ster <sup>||</sup>      Leen Stougie <sup>||</sup>

---

There is an increasing trend in embedded systems towards implementing multiple functionalities upon a single shared computing platform. This can force tasks of different criticality to share a processor and interfere with each other. We focus on the scheduling of sporadic task systems [3] in these mixed-criticality (MC) systems. The mixed-criticality model that we follow has first been proposed and analyzed, for independent collection of jobs, by Baruah et al. [1]. The model has been extended to task systems by Li and Baruah [5]. The results presented here appear in Baruah et al. [2].

We first describe the model and give some notation. Then, we describe an algorithm (called EDF-VD) to preemptively schedule MC task systems on a single machine. We give a sufficient condition for schedulability by EDF-VD and derive a speed-up guarantee.

**The model.** Given an integer  $K \geq 1$ , A  $K$ -level MC sporadic task system  $\tau$  consists of a finite collection  $(\tau_1, \dots, \tau_n)$  of MC sporadic tasks. An MC sporadic task  $\tau_i$  of a  $K$ -level system is characterized by a *criticality level*  $\chi_i \in \{1, 2, \dots, K\}$  and a pair  $(c_i, d_i) \in \mathbb{Q}_+^{\chi_i} \times \mathbb{Q}_+$ , where:  $c_i = (c_i(1), c_i(2), \dots, c_i(K))$  is a vector of *worst-case execution times* (WCET), we assume that  $c_i(1) \leq c_i(2) \leq \dots \leq c_i(\chi_i)$  and  $c_i(\chi_i) = c_i(\chi_i + 1) = \dots = c_i(K)$ ;  $d_i$  is the *relative deadline* of the jobs of  $\tau_i$ . We consider *implicit-deadline* tasks in which  $d_i$  is equal to the *minimum interarrival time* between two jobs of task  $\tau_i$ . The *utilization* of task  $\tau_i$  at level  $k$  is defined as  $u_i(k) := \frac{c_i(k)}{d_i}$ ,  $i = 1, \dots, n$ ,  $k = 1, \dots, K$ . The total utilization at level  $k$  of tasks that are of criticality level  $l$  is  $U_l(k) := \sum_{1 \leq i \leq n, \chi_i = l} u_i(k)$ ,  $l = 1, \dots, K$ ,  $k = 1, \dots, l$ . Task  $\tau_i$  generates a sequence of jobs  $(J_{i1}, J_{i2}, \dots)$ . An MC job  $J_{ij}$  of task  $\tau_i$  is characterized by two parameters:  $J_{ij} = (a_{ij}, \gamma_{ij})$ , where:  $a_{ij} \in \mathbb{R}_+$  is the *arrival time* of the job;  $\gamma_{ij} \in (0, c_i(\chi_i)]$  is the *execution requirement* of the job; the (*absolute*) *deadline* of job  $J_{ij}$  is  $d_{ij} := a_{ij} + d_i$ . It is important to notice that neither the arrival times nor the execution requirements are known in advance. In particular, the value  $\gamma_{ij}$  is discovered by executing the job until it *signals* that it has completed execution. A collection of arrival times and execution requirements is called a *scenario* for the task system. The criticality level of a scenario is defined as the smallest integer  $\ell \leq K$  such that  $\gamma_{ij} \leq c_i(\ell)$ , for each job  $J_{ij}$  of each task  $\tau_i$ . An

---

<sup>\*</sup>baruah@cs.unc.edu. University of North Carolina, USA.

<sup>†</sup>vincenzo.bonifaci@iasi.cnr.it. IASI – Consiglio Nazionale delle Ricerche, Italy.

<sup>‡</sup>gianlorenzo.dangelo@gssi.infn.it Gran Sasso Science Institute (GSSI), Italy.

<sup>§</sup>lihaohan@cs.unc.edu Google, USA.

<sup>¶</sup>alberto@dis.uniroma1.it Sapienza Università di Roma, Italy.

<sup>||</sup>suzanne.vander.ster@vu.nl, leen.stougie@cwi.nl Vrije U. Amsterdam, the Netherlands.

(online) algorithm correctly schedules a sporadic task system  $\tau$  if it is able to schedule *every* job sequence generated by  $\tau$  such that, if the criticality level of the corresponding scenario is  $\ell$ , then all jobs of level at least  $\ell$  are completed between their release time and deadline.

**Algorithm EDF-VD.** We consider a variant of the Earliest Deadline First algorithm, EDF-VD (EDF with virtual deadlines). Algorithm EDF-VD consists of an *offline preprocessing* phase and a *run-time scheduling* phase. The first phase is performed prior to run time and executes a schedulability test to determine whether  $\tau$  can be successfully scheduled by EDF-VD or not. If  $\tau$  is deemed schedulable, this phase also provides two output values that will serve as input for the run-time scheduling algorithm: an integer parameter  $k$  (with  $1 \leq k \leq K$ ); and, for each task  $\tau_i$  of  $\tau$ , a parameter  $\hat{d}_i \leq d_i$ , called *virtual deadline*. The second phase performs the actual run-time scheduling and consists of  $K$  variants, called EDF-VD(1),  $\dots$ , EDF-VD( $K$ ). Each of these is related to a different value of the parameter  $k$  that was provided by the first phase; that is, at run time, the variant EDF-VD( $k$ ) is applied. If the scenario is exhibiting a level smaller than or equal to  $k$ , then jobs are scheduled according to EDF with respect to the virtual deadlines  $(\hat{d}_i)_{i=1}^n$ . As soon as the scenario exhibits a level greater than  $k$ , jobs are scheduled according to EDF with respect to the original deadlines  $(d_i)_{i=1}^n$ . The preprocessing phase is based on the following sufficient condition for schedulability by EDF-VD.

**Theorem 1** *Given an implicit-deadline task system  $\tau$ , if either  $\sum_{l=1}^K U_l(l) \leq 1$  or, for some  $k$  ( $1 \leq k < K$ ), the following condition holds:*

$$1 - \sum_{l=1}^k U_l(l) > 0 \quad \text{and} \quad \frac{\sum_{l=k+1}^K U_l(k)}{1 - \sum_{l=1}^k U_l(l)} \leq \frac{1 - \sum_{l=k+1}^K U_l(l)}{\sum_{l=1}^k U_l(l)}, \quad (1)$$

then  $\tau$  can be correctly scheduled by EDF-VD.

**Speedup guarantee.** The *speedup factor* of a scheduling algorithm  $A$  is the smallest real number  $f$  such that any task system  $\tau$  that is feasible on a unit-speed processor is correctly scheduled by  $A$  on a speed- $f$  processor. In the following we determine the minimum speedup factor  $f_K$  such that any  $K$ -level task system that is feasible on an unit-speed processor is correctly scheduled by EDF-VD on a  $f_K$ -speed processor. Such problem can be formulated as follows: Find the *largest*  $q$  ( $q \leq 1$ ) such that the following implication holds for all  $U_l(k)$ ,  $k = 1, 2, \dots, K$ ,  $l = k, k + 1, \dots, K$ :

$$\sum_{l=k}^K U_l(k) \leq q \quad \forall k = 1, 2, \dots, K \quad \Rightarrow$$

$$\text{either } \sum_{l=1}^K U_l(l) \leq 1 \quad \text{or} \quad \exists k \in \{1, 2, \dots, K-1\} \text{ s.t. } \left\{ \begin{array}{l} 1 - \sum_{l=1}^k U_l(l) > 0 \quad \text{and} \\ \frac{\sum_{l=k+1}^K U_l(k)}{1 - \sum_{l=1}^k U_l(l)} \leq \frac{1 - \sum_{l=k+1}^K U_l(l)}{\sum_{l=1}^k U_l(l)}. \end{array} \right.$$

Number of levels $K$	Speedup factor $f_K$	Number of levels $K$	Speedup factor $f_K$
2	1.3333	8	4.7913
3	2.0000	9	5.3723
4	2.6180	10	5.8551
5	3.0811	11	6.4641
6	3.7321	12	6.9487
7	4.2361	13	7.5311

Table 1: Minimum speedup factor for  $K \leq 13$  levels

If the largest such value of  $q$  is  $q^*$ , the speedup factor is then  $f_K = 1/q^*$ . Equivalently, we want to find the *smallest*  $q$  such that the above implication does not hold, that is, the premise is true but the conclusion is false; in other words, the largest value of the speedup for which one can still construct a counterexample. This leads to a non-linear formulation that involves disjunctions, which are typically disallowed by numerical solvers. We prove that solving such formulation is equivalent to finding  $q^* := \min_{j=1,2,\dots,K-1} q_j^*$ , where each  $q_j^*$  is the solution to the non-linear program whose constraints are multivariate polynomial inequalities in the variables  $U_l(k)$  and  $q_j$ . As such, it can be solved by a (numerical) global non-linear continuous optimization solver. In this case we used COUENNE [4]. COUENNE was able to find the optimum for any  $K \leq 13$ . The resulting speedup factors are reported in Table 1.

**Theorem 2** *Let  $\tau$  be a  $K$ -level task system with  $2 \leq K \leq 13$ . If  $\tau$  is feasible on a unit-speed processor, then it is correctly scheduled by EDF-VD on a processor of speed  $f_K$ , where  $f_K$  ( $\pm 10^{-4}$ ) is as in Table 1.*

## References

- [1] S. K. BARUAH, V. BONIFACI, G. D’ANGELO, H. LI, A. MARCHETTI-SPACCAMELA, N. MEGOW, AND L. STOUGIE (2012). *Scheduling real-time mixed-criticality jobs*. IEEE Transactions on Computers, 61(8):1140–1152.
- [2] S. K. BARUAH, V. BONIFACI, G. D’ANGELO, H. LI, A. MARCHETTI-SPACCAMELA, S. VAN DER STER, AND L. STOUGIE. *Preemptive Uniprocessor Scheduling of Mixed-Criticality Sporadic Task Systems*. Journal of the ACM. To appear.
- [3] S. K. BARUAH AND J. GOOSSENS (2003). *Scheduling real-time tasks: Algorithms and complexity*. In J. Y.-T. Leung, editor, Handbook of Scheduling: Algorithms, Models, and Performance Analysis, chapter 28. CRC Press.
- [4] P. BELOTTI, J. LEE, L. LIBERTI, F. MARGOT, AND A. WÄCHTER (2009). *Branching and bounds tightening techniques for non-convex MINLP*. Optimization Methods & Software 24, 4–5, pages 597–634.
- [5] H. LI AND S. K. BARUAH (2010). *An algorithm for scheduling certifiable mixed-criticality sporadic task systems*. In Proc. 16th IEEE Real-Time Systems Symposium, pages 183–192.