# Supporting Mode Changes while Providing Hardware Isolation in Mixed-Criticality Multicore Systems

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## ABSTRACT

When hosting real-time applications on multicore platforms, *interference* from shared hardware resources can significantly increase task execution times. Most proposed approaches for lessening interference rely on mechanisms for providing *hardware isolation* to tasks. However, one limitation of most prior work on such mechanisms is that only static task systems have been considered that never change at runtime. In reality, safety-critical applications often transition among different functional *modes*, each defined by a distinct set of running tasks. In a given mode, only tasks from that mode execute, yet tasks from all modes consume memory space, and this creates additional constraints affecting hardware-isolation techniques. This paper shows how to address such constraints in the context of an existing real-time resource-allocation framework called MC$^2$ (mixed-criticality on multicore). In MC$^2$, hardware-isolation techniques are employed in conjunction with criticality-aware task-provisioning assumptions that enable hardware resources to be utilized more efficiently.

## CCS CONCEPTS

• **Computer systems organization → Real-time system architecture**; *Embedded systems*; • **Software and its engineering → Memory management**; *Real-time systems software*;

## KEYWORDS

mixed-criticality, mode-change protocols, hardware management, multicore systems

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## 1 INTRODUCTION

There is great interest today in hosting computationally intensive real-time workloads on multicore platforms. However, efforts towards this end have been stymied by problems caused when tasks interfere with each other in accessing shared hardware components such as caches and memory banks. Shared-hardware interference can cause significant task execution-time increases. This is especially problematic for real-time workloads, which are typically validated by analyzing worst-case scenarios. When worst-case execution times increase proportionally to the amount of sharing across cores, the benefit of additional cores can be nullified. This dilemma has been dubbed *the one-out-of-m problem* [25] to reflect the very real possibility of being able to allocate only "one core's worth" of capacity though $m$ cores are present.

The one-out-of-$m$ problem is one of the most serious unresolved obstacles in work on real-time multicore resource allocation today. Evidence of this can be seen in the recent CAST-32 position paper from the U.S. Federal Aviation Administration (FAA) [8, 9]. This position paper provides an in-depth discussion of the challenges created by employing multicore platforms in avionics settings.

In addressing the one-out-of-$m$ problem, two orthogonal approaches have been investigated. The predominate approach involves predictably managing shared hardware resources so that interference and task execution-time estimates are reduced [1–4, 10, 13–16, 18–22, 24, 25, 27, 28, 30, 32, 34, 37–41]. Alternatively, Vestal (while working in the avionics industry) proposed employing *mixed-criticality* (*MC*) analysis [36], under which execution-time estimates for less-critical tasks are determined based on less-pessimistic assumptions.[1] While these two approaches have been largely considered separately, both have been applied together in ongoing work by our group on a real-time resource-allocation framework called MC$^2$ (mixed-criticality on multicore) [10, 17, 24, 25, 30, 37]. In particular, MC$^2$ supports both MC provisioning techniques as proposed by Vestal [36] and mechanisms for managing the shared last-level cache (LLC) and DRAM memory.

*From static to dynamic workloads.* Prior work on shared-hardware management has been almost entirely limited to static task systems that never change at runtime. This is a key limitation. Indeed, many safety-critical applications must support multiple functional *modes*, each defined by a distinct set of running tasks. For example, in an aircraft, different sets of running tasks may be required when taking off, at cruise altitude, or when some emergency condition occurs. Thus, for the one-out-of-$m$ problem to be truly solved, it is crucial that solutions exist that encompass multi-mode systems. In this paper, we present such a solution in the context of MC$^2$.

Allowing multiple modes to exist can greatly complicate shared-hardware management. The key issue here is not exhausting overall CPU capacity, because tasks from different modes do not run at the same time. Rather, in the context of MC$^2$, DRAM allocations are the main problem, as even inactive tasks consume memory. Note

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[1] Vestal originally considered uniprocessor platforms, but MC analysis has also been considered in the context of multicore platforms.

that the DRAM region a task can access determines the region of the LLC it accesses.[2] Thus, the problems of allocating DRAM space and LLC space are intertwined and many complexities exist.

*Contributions.* MC$^2$ includes an offline DRAM/LLC allocation component, and an online component that schedules tasks at runtime. Our major contribution is to show how to modify both components to support multi-mode systems while providing hardware isolation. We also report on the results of experiments conducted using our modified MC$^2$ in which various shared-hardware allocation options pertaining to such systems were explored.

In exploring such options, we focus on *schedulability*, which is impacted in the considered context by many constraints pertaining to mode requirements, hardware isolation, and DRAM capacity limitations. In particular, MC$^2$'s offline component allocates DRAM and LLC regions to subsets of tasks in a criticality-cognizant way. When modifying this component to support multi-mode systems, different regions of DRAM and the LLC must be assigned to *per-mode* subsets of tasks while ensuring that all tasks from *all modes* are so assigned and *each mode* is schedulable.

With respect to these requirements, tasks that are *shared* across multiple modes (as commonly occurs in practice) can cause major difficulties because their presence creates dependencies among modes. To further complicate matters, a shared task could potentially be of different criticalities in different modes. For example, a planning computation in an unmanned aerial vehicle may require a criticality-level upgrade during a mode switch initiated in response to a detected threat, as planning becomes very critical in that context. To our knowledge, such *criticality changes* (under Vestal's notion of criticality [36]) have not been considered in prior work on mode changes.[3] A task that undergoes a criticality change when switching between two modes may require different hardware isolation guarantees in each mode.

When allocating DRAM to a task $\tau_i$ that is shared between two modes, two basic options exist: either the two modes can be allocated overlapping DRAM regions, with $\tau_i$ allocated in the overlap, or they can be allocated non-overlapping DRAM regions, which would entail migrating $\tau_i$'s state between these regions when switching between the two modes. Under either option, LLC allocations would be correspondingly affected. In more complex situations, these options could actually be applied in disparate combinations, with different techniques used for different tasks or modes. Along with other details pertaining to how DRAM and LLC regions are actually created, this yields a vast solution space to explore.

To sift through this solution space, we conducted a large-scale schedulability study in which overheads that impact schedulability were considered as measured on our multi-mode extension of MC$^2$'s runtime component. While *schedulability* is our major focus herein, we also conducted case-study experiments to confirm that mode-change latencies in our MC$^2$ extension are reasonable. To our knowledge, this paper is the first work on supporting mode changes in a multicore context where hardware-isolation and MC-analysis techniques (as proposed by Vestal [36]) are used.[4]

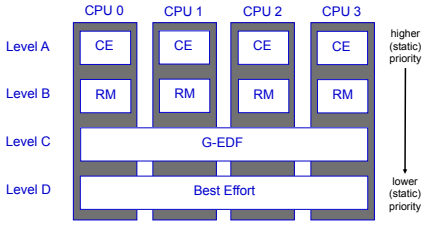



**Figure 1: Scheduling in MC$^2$ on a quad-core machine.**

*Organization.* In the rest of this paper, we provide relevant background (Sec. 2), describe our modifications to MC$^2$ to support mode changes (Sec. 3), discuss our schedulability experiments (Sec. 4) and related work (Sec. 5), and conclude (Sec. 6). Due to space constraints, some implementation details and experimental results are deferred to appendices.

## 2 BACKGROUND

We begin by reviewing needed background material.

*Task model.* We consider real-time workloads specified via the implicit-deadline periodic/sporadic task model (of which we assume familiarity). We specifically consider a task system $\tau = \{\tau_1, \ldots, \tau_n\}$, scheduled on $m$ processors,[5] where task $\tau_i$'s *period* and *worst-case execution time* (*WCET*) are denoted $T_i$ and $C_i$, respectively. (We generalize this model below when considering MC scheduling and multi-mode systems.) The *utilization* of task $\tau_i$ is given by $u_i = C_i/T_i$ and the *total system utilization* by $\sum_i u_i$. If a job of $\tau_i$ has a deadline at time $d$ and completes execution at time $t$, then its *tardiness* is $max\{0, t - d\}$. Tardiness should always be zero for a hard real-time (HRT) task, and should be bounded by a (reasonably small) constant for a soft real-time (SRT) task.

*Mixed-criticality scheduling.* For systems with tasks of differing criticalities, Vestal proposed using less-pessimistic execution-time estimates when considering less-critical tasks [36]. Under his proposal, if $L$ criticality levels exist, then each task has a *provisioned execution time* (*PET*) specified at each level, and $L$ system variants are analyzed: in the Level-$\ell$ variant, the real-time requirements of all Level-$\ell$ tasks are verified with Level-$\ell$ PETs assumed for *all* tasks (at any level). The degree of pessimism in determining PETs is level-dependent: if Level $\ell$ is of higher criticality than Level $\ell'$, then Level-$\ell$ PETs will generally exceed Level-$\ell'$ PETs. For example, in the systems considered by Vestal [36], observed WCETs were used to determine lower-level PETs, and such times were inflated to determine higher-level PETs.

*Scheduling under MC$^2$.* Vestal's work led to a significant body of follow-up work on MC scheduling (see [7] for an excellent survey). Within this body of work, MC$^2$ was the first MC scheduling framework for multiprocessors [30]. MC$^2$ is implemented as a LITMUS$^{RT}$ [29] plugin and supports four criticality levels, denoted A (highest) through D (lowest), as shown in Fig. 1. Higher-criticality tasks are statically prioritized over lower-criticality ones. Level-A tasks

[2] The LLC would typically be a set-associative cache with the mapping of a memory location to a set determined by its physical address.

[3] Under current avionics certification procedures, such a task would always default to its highest criticality level. However, as noted in the CAST-32 position paper [8, 9], such procedures assume uniprocessor machines and must evolve to enable better platform utilization on multicore platforms. Moreover, according to colleagues of ours in the avionics industry, many practical use cases exist where criticality changes would be desirable to support.

[4] As noted in Sec. 5, in prior work on MC analysis, a switch to degraded system performance is often cast as a mode change, but this is very different from the functional mode changes considered in this paper.

[5] We use the terms "processor," "core," and "CPU" interchangeably.

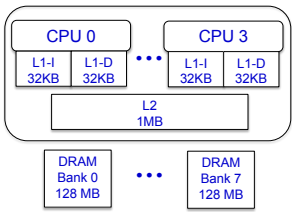



**Figure 2: Quad-core ARM Cortex A9.**

are periodic and partitioned and scheduled on each core using a time-triggered table-driven cyclic executive.[6] Level-B tasks are also periodic and partitioned but are scheduled using a rate-monotonic (RM) scheduler on each core.[6] On each core, the Level-A and -B tasks are required to have harmonic periods and commence execution at time 0. Level-C tasks are sporadic and scheduled via a global earliest-deadline-first (GEDF) scheduler.[6] Level-A and -B tasks are HRT, Level-C tasks are SRT, and Level-D tasks are non-real-time. A major thesis underlying the design of MC$^2$ is that Levels A and B should be mostly comprised of quite deterministic "fly-weight" tasks with rather low utilizations; more computationally intensive tasks would likely be assigned to Level C. This thesis arose from discussions with colleagues in the avionics industry, who are interested in deploying complex decision-making capabilities at lower criticality levels.

*Hardware management under MC$^2$.* MC$^2$ provides a component used offline (*i.e.*, before runtime) to perform LLC and DRAM allocations [25]. We briefly describe the techniques that underlie this component here. (We are still assuming there is only a single task set to schedule. We consider extensions to support multiple modes later.) Our description is with respect to the machine shown in Fig. 2, which is the hardware platform assumed throughout this paper. This machine is a quad-core ARM Cortex A9 platform. Each core on this machine is clocked at 800MHz and has separate 32KB L1 instruction and data caches. The LLC is a shared, unified 1MB 16-way set-associative L2 cache. The LLC write policy is write-back with write-allocate. 1GB of off-chip DRAM is available, partitioned into eight 128MB banks.

We assume herein that Level D is not present, as it has no impact on the isolation guarantees or schedulability of tasks at higher levels (and Level D is afforded no real-time guarantees). LLC management is provided for the other levels by assigning rectangular areas of the LLC to certain groups of tasks. This is done by using *page coloring* to allocate certain subsequences of sets (*i.e.*, rows) of the LLC to such a task group, and hardware support in the form of per-CPU *lockdown registers* to assign certain ways (*i.e.*, columns) of the LLC to the group. (See [25] for more details concerning these LLC allocation mechanisms.) Also, by controlling the memory pages assigned to each task, certain DRAM banks can be assigned for the exclusive use of a specified group of tasks. The operating system (OS) can also be constrained to access only certain LLC areas or DRAM banks.

Fig. 3 depicts the main allocation strategy for the LLC and DRAM banks provided under MC$^2$ [25]. DRAM allocations are depicted at the bottom of the figure, and LLC allocations at the top. As seen, the Level-A and -B tasks on each CPU are assigned a dedicated DRAM bank, and Level C and the OS share the remaining banks. Also, Level C and the OS share a subsequence of the available LLC ways and all LLC colors. (On the considered platform, each color corresponds to 128 cache sets.) Level-C tasks (being SRT) are assumed to be provisioned on an average-case basis. Accordingly, LLC sharing with the OS should not be a major concern. The remaining LLC ways are partitioned among Level-A and -B tasks on a per-CPU basis. That is, the Level-A and -B tasks on a given core share a partition. Each of these partitions is allocated one quarter of the available colors. This scheme ensures that Level-A and -B tasks do not experience LLC interference from tasks on other cores (*spatial* isolation). Also, Level-A tasks (having higher priority) do not experience LLC interference from Level-B tasks on the same core (*temporal* isolation).

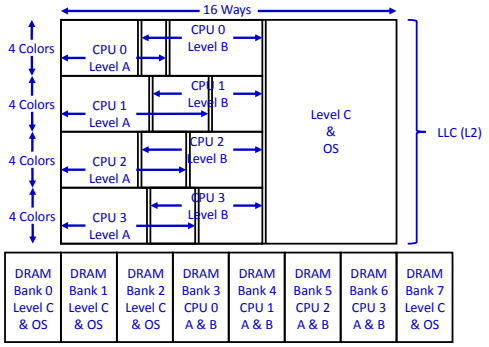



**Figure 3: LLC and DRAM bank allocation. Note that the Level-A and -B LLC areas for each core can overlap. LLC boundaries indicated by double lines are configurable parameters.**

For any task set, the actual number of ways allocated to each LLC partition (*i.e.*, the Level-C/OS partition and the per-core Level-A/B partitions) is viewed as a variable, which is determined by solving a mixed integer linear program (MILP) [25]. This MILP minimizes the task set's Level-C utilization while ensuring schedulability at all criticality levels. It is invoked only after an assignment of Level-A and -B tasks to cores has been obtained via bin-packing heuristics (*i.e.*, the MILP does not determine such an assignment). We will consider this MILP in greater detail later in Sec. 3 as a precursor to explaining how LLC and DRAM allocations can be determined for multi-mode systems.

The MC$^2$ implementation just described does not provide management for L1 caches, translation lookaside buffers, memory controllers, memory buses, or cache-related registers that can be a source of contention [35]. However, we assume PETs are determined via measurement, so such resources are implicitly considered when PETs are determined. We adopt a measurement-based approach because work on static timing analysis tools for multicore machines has not matured to the point of being directly applicable. Moreover, PETs are often determined via measurement in practice.

In recent work, we proposed extensions to MC$^2$ that permit tasks to share memory pages to support producer/consumer buffers [10] and to enable the usage of shared libraries [24]. Our mode-change extensions can be applied alongside these other extensions, but we do not consider that possibility here, for ease of exposition.[7]

[6] Other per-level schedulers optionally can be used. These options, and other considerations, such as slack reallocation, schedulability conditions, and execution-time budgeting are discussed in prior papers [17, 30, 37].

[7] Delving into sharing would require providing further background and would complicate our experimental framework. We lack space for either.

*Problem considered in this paper: supporting multiple modes.* Our objective in this paper is to adapt the hardware-isolation mechanisms of MC$^2$ so that the schedulability of multiple functional modes can be ensured. Each *mode* is defined by a set of periodic/sporadic tasks, with each such task set defined exactly as discussed at the beginning of Sec. 2. At any point in time, the system is either executing in a distinct mode or undergoing a transition from one mode to another. These transitions are enacted by a *mode-change protocol*.

Tasks from different modes do not execute at the same time (except perhaps briefly when a mode change is underway). However, memory pages for all tasks from all modes must be allocated in DRAM, unless secondary storage devices such as a solid-state disks are employed. While such devices are worthy of scrutiny, we do not consider them in this paper because their usage can cause relatively long mode-change latencies, which may be unacceptable in safety-critical domains. As seen above, DRAM allocations impact LLC allocations. Therefore, the main challenge we must address is to determine how to allocate tasks from all modes in both DRAM and the LLC so that schedulability is ensured for all modes. We address this challenge in Sec. 3 below. While ensuring schedulability is our paramount concern herein, schedulability alone is not the whole story: it must also be possible to add a mode-change protocol to MC$^2$'s runtime scheduler that gives rise to reasonable mode-change latencies. Fortunately, as discussed in online appendices [11], this is relatively easy to do.

## 3 LLC/DRAM ALLOCATION PROBLEM

In this section, we give a more detailed overview of the MILP techniques we use for LLC allocation, and present our extensions to these techniques that enable multiple modes.

### 3.1 Prior MILP Techniques

The MILP from our prior work determines the LLC allocations shown in Fig. 3, assuming DRAM bank assingments are fixed, as shown at the bottom of the Fig. 3. A full description of our existing MILP is too lengthy to reproduce here, but is covered in detail in a prior publication [25]. Here, we instead opt to consider a simpler allocation problem that is sufficient for explaining the main ideas.

*A simple motivating example.* Consider a single task with a 4-*ms* period running on a uniprocessor platform with a 4-way LLC. For this (very) simple task system, we explain how to construct a MILP that determines the number of ways to allocate to the task so that its resulting PET ensures system schedulability. The obvious choice would be to simply allocate all ways to the lone task. However, demonstrating the construction of a MILP for this example is still useful for understanding the MILP techniques we build upon.

Fig. 4 visually depicts the MILP constraints for this problem. The first set of constraints is determined based on PET measurement data. The figure shows five PET data points, one for each possible way allocation, with each point determined via measurement data. By introducing lines between adjacent data points, and constraining a solution to be above these lines, any PET value determined by the MILP will be in accordance with measurement data.

We must also ensure that the system is schedulable. Here, with a single task, schedulability can be ensured by specifying just one constraint: the task's PET cannot be greater than its period. This

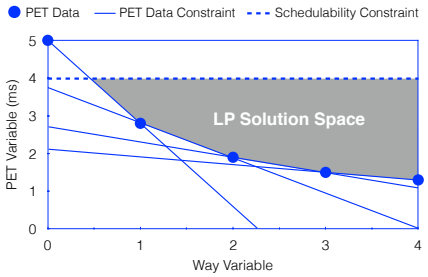



**Figure 4: Illustration of MILP constraints.**

constraint is depicted by the dashed line in Fig. 4. The potential solution space, which is also depicted in the figure, is obtained by intersecting the half-spaces defined by the specified linear constraints. One could specify an objective function that would favor certain solutions within this space, but given the very simple nature of this motivating example, we will not bother to delve into objective functions just yet.

In the example above, schedulability was ensured by requiring the lone task's utilization to be at most 1.0. In MC$^2$, schedulability is similarly determined by checking a set of utilization-based constraints. Thus, the full MILP can be viewed as an extension of the simple example above in which linear constraints must be specified for many tasks while accounting for several utilization-based schedulability conditions. The full MILP also includes constraints on LLC allocation variables that ensure that certain LLC areas do not overlap. Furthermore, an objective function is added to minimize Level-C system utilization, as this will likely reduce tardiness at Level C.

The example also ignores overheads affecting schedulability (*e.g.*, scheduling costs, context-switching times, *etc.*). In the full MILP, such overheads are factored into the linear constraints using standard overhead-accounting techniques that involve inflating PETs. Like PET data, these various overheads can be determined via measurement. Specific overhead values are treated as constants.

*MILP limitations.* As described here, our prior MILP techniques determine *way allocations*, as shown in Fig. 3. Similar techniques can be applied in alternative allocation frameworks where LLC areas are sized by deducing *color allocations*, with way allocations being fixed. Unfortunately, if both ways and colors are treated as variables, PETs become nonlinear functions, which cannot be represented in a *single* MILP. This is because an LLC area's size is given by multiplying the number of ways allocated to it by the number of colors allocated to it. To the best of our knowledge, eliminating this nonlinear dependency requires fixing either way or color allocations. While doing so may seem limiting, as discussed in greater detail later, fixing one or the other yields schedulability results comparable to those produced when mulitple MILPs are solved to more fully explore all way/color combinations.

*Handling DRAM capacity constraints.* In most work on real-time schedulability analysis, memory capacity is viewed as an unconstrained resource. In reality, however, memory capacity certainly *is* limited. In recent work, we introduced DRAM-capacity constraints to our MILP-based optimization framework that ensure that the supply of pages within each DRAM bank is not over-allocated [24]. The introduction of these new constraints exposes a liability associated with the allocation scheme illustrated in Fig. 3. In particular,

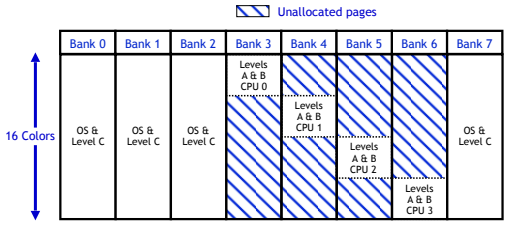



**Figure 5: A closer look at the DRAM allocations in Fig. 3.**

each DRAM bank has 16 page colors available, but within each Level-A/B bank, only four colors are used, as shown in Fig. 5. This loss may be unacceptably high if multiple modes must remain in DRAM.

## 3.2 Allocating Multi-Mode Systems

We now propose several approaches for extending our prior MILP-based allocation scheme to account for the requirements of multi-mode systems. Clearly, a myriad of approaches could be devised for allocating LLC and DRAM space under MC$^2$ even without multiple modes being present. The introduction of modes creates even more possibilities, so it is not possible to consider every possible allocation approach. The approaches presented here are meant to be representative of the kinds of techniques that could be applied and were selected for inclusion because they expose interesting resource-allocation issues and tradeoffs. As we shall see, tasks included in multiple modes, which we call *shared tasks*, create certain challenges. Thus, we initially assume such tasks are not present and then later address the challenges they introduce.

*Multi-mode systems without shared tasks.* The existing MC$^2$ framework could be used in a multi-mode system, but the tasks comprising all modes would have to be viewed as a single task system. Moreover, 75% of the available Level-A/B DRAM space would be wasted, as seen in Fig. 5. Our intent in devising other schemes is to reclaim this wasted space and use it to support tasks from different modes. We consider two schemes for doing this: *color-based allocation* (CBA) and *way-based allocation* (WBA).

Under CBA, each mode is assigned a set of colors in each Level-A/B DRAM bank such that these sets do not overlap. (The pages for the Level-A/B tasks in that mode are allocated from these assigned colors.) This is done by simply partitioning the 16 colors available across all banks into four disjoint groups, as shown in Fig. 6(a). With color groups so defined, assigning colors to modes is straightforward: denoting the color groups as Groups 0 through 3, and the modes as Mode 0, Mode 1, and so on, Mode $i$ is assigned the Groups $i$, $i+1$ *mod*, $i+2$ *mod*, and $i+3$ *mod* 4 on DRAM banks 3, 4, 5, and 6, respectively. (Banks 3-6 are the Level-A/B DRAM banks, as shown in Fig. 3.) CPU $i$ is assigned Group $i$. This assignment is illustrated for Mode 1 in Fig. 6(a) with a gray shading. While this figure shows only four modes being assigned, we can keep assigning modes in this fashion as long as sufficient DRAM capacity is available. For example, if Modes 4 and 5 were added to Fig. 6(a), then the latter would share the shaded DRAM regions with Mode 1. Note that the four color groups need not have the same size. To determine the number of colors per group, we first assign to each group the minimum number of colors required to load all tasks into their assigned banks and groups, and we then distribute any remaining unallocated colors to groups as evenly as possible.

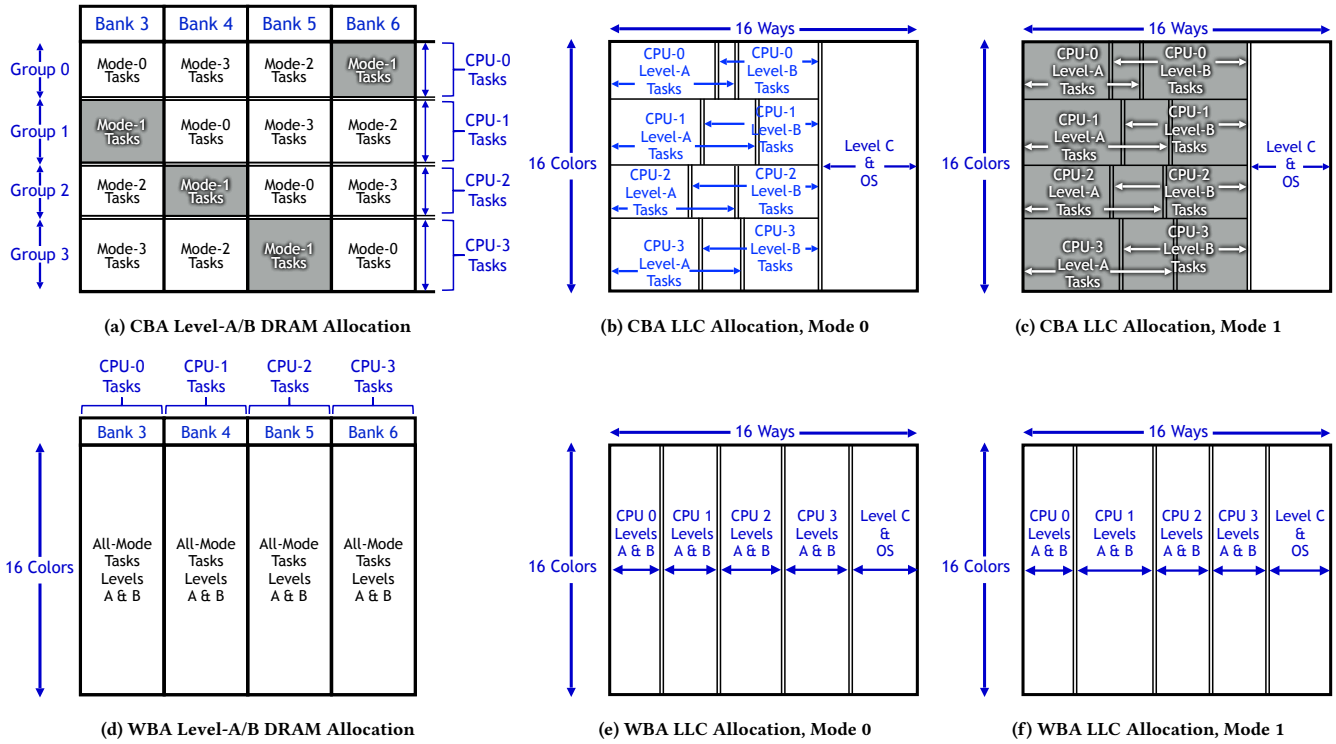
After assigning DRAM in CBA, we still must allocate LLC areas. After determining color assignments, LLC ways can be determined by solving a MILP for each mode, as illustrated in insets (b) and (c) of Fig. 6. As seen in these insets, these allocations may be different for different modes. To better see the correspondence between the LLC and DRAM allocations in Fig. 6, we have shaded the allocations for Levels A and B of Mode 1 in inset (c) as we did in inset (a).

While CBA provides good performance for many tasks, prior experiments have suggested that some tasks are more sensitive to restrictions on ways than on colors [25]. This leads to WBA, the other allocation scheme considered here. Under WBA each Level-A/B LLC area consists of all colors and a designated number of ways, as illustrated in insets (e) and (f) of Fig. 6. On our considered platform in Fig. 3, the number of ways is greater than the number of cores, which ensures that each core has at least one dedicated way. This condition is necessary for applying WBA. Under WBA, the allocated Level-A/B LLC areas are all disjoint from each other and also from the Level-C/OS LLC area. Because each Level-A/B LLC area consists of all 16 colors, each Level-A/B DRAM bank can be fully utilized, as illustrated in Fig. 6(d). Each of these banks can be used to allocate pages to tasks from all modes. Under WBA, a MILP is solved per mode to determine LLC way allocations for that mode. Like CBA LLC allocations, WBA LLC allocations may be different for different modes, as seen in insets (e) and (f) of Fig. 6.

The main disadvantage of WBA compared to CBA is that, under WBA, fewer ways are provided per LLC area. This reduction in ways may be compensated for by an increase in colors, but as mentioned above, some tasks are more sensitive to restrictions on ways and others to restrictions on colors. When considering multiple modes, each comprised of many tasks with different characteristics, it is difficult to say which scheme is best. To shed light on this issue, our schedulability study in Sec. 4 compares WBA, CBA, and other options. In this study, a general model of PETs based on measurement data was employed that reflects PET sensitivities to way and color allocations.

*Multi-mode systems with shared tasks.* So far, we have discussed how to allocate DRAM and LLC areas for multi-mode systems when no shared tasks are present. We now consider problems that arise when such tasks exist. Shared tasks are problematic because their presence implies that different modes must now share DRAM allocations. Level-C tasks already share common LLC and DRAM regions, so this is only a problem when tasks are shared at Levels A or B. We present two techniques for handling shared tasks, each of which can be applied together with either CBA or WBA. The resulting cross product of techniques yields four allocation schemes that we seek to compare.

The first shared-task allocation technique is to *partition shared tasks* (PST). Under PST, all Level-A/B shared tasks are assigned to CPU 0 and are allocated DRAM pages from the Level-A/B bank associated with CPU 0. Non-shared Level-A/B tasks are assigned to the other CPUs. Under CBA with PST, shared tasks are color-partitioned from other tasks in the LLC, and under WBA with PST, they are way-partitioned. For DRAM under CBA with PST, shared tasks are allocated within Group 0 on the DRAM bank associated with CPU 0. Non-shared tasks cycle through Groups 1 through 3 on the other three Level-A/B DRAM banks (*i.e.*, those associated with CPUs 1, 2, and 3), similarly to as explained earlier when not




(a) CBA Level-A/B DRAM Allocation

(b) CBA LLC Allocation, Mode 0

(c) CBA LLC Allocation, Mode 1

(d) WBA Level-A/B DRAM Allocation

(e) WBA LLC Allocation, Mode 0

(f) WBA LLC Allocation, Mode 1

**Figure 6: DRAM and LLC allocations under CBA (top row of figures) and WBA (bottom row of figures).**

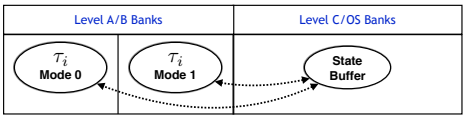



**Figure 7: DRAM allocation for a task replicated across two modes.**

considering shared tasks. Modifying the MILP for either CBA or WBA to apply PST is straightforward.

The second technique is to *replicate shared tasks* (RST). Under RST, each shared task is replicated for each mode in which it runs, and each replica is treated as a non-shared task. This technique is relatively straightforward to apply, but a complication arises if a replicated task needs to preserve state information across its jobs. Since this information must remain in memory, its presence creates data-sharing relationships across modes that can break MC$^2$'s hardware isolation guarantees. Fortunately, in our prior work on supporting producer/consumer shared data buffers in MC$^2$ [10], we proposed several approaches that can ease such problems. When applying these approaches, relevant state information would have to be determined on a per-task basis, with each shared task being responsible for preserving its own state information. Such information can be preserved by simply copying it to a shared buffer at the end of each job.

Consider, for example, Fig. 7, which shows a Level-A/B task $\tau_i$ that has been replicated between Modes 0 and 1, where each replica is assigned to a different DRAM bank. If state information must be retained across the jobs of $\tau_i$, then that information can be stored in a buffer. Under the approach from [10] that is most directly applicable to the situation here, the buffer would be allocated in the Level-C/OS DRAM banks, as shown in Fig. 7, and configured to bypass the LLC in order to not compromise LLC isolation. Level C is not provided cross-core DRAM isolation, so accesses to the state buffer by jobs of $\tau_i$ do not affect Level-C isolation properties. However, accesses to the buffer by jobs of task $\tau_i$ can experience interference from Level-C tasks and the OS, which was not possible before. Under RST, this source of interference must be taken into account in the measurement process for determining PETs for those shared tasks that must preserve state between jobs.

As a final comment, we note that PST and RST can both be applied in the same system, with PST used for some shared tasks and RST for others. However, to keep the experimental study in Sec. 4 at manageable level, we only consider systems that exclusively use one or the other.

*Supporting task criticality-level changes.* As noted in Sec. 1, it may be desirable to allow a task to change criticality across modes. Such a task can be supported by creating replicas of it as needed at different criticality levels and in different modes. RST provides the necessary functionality to support such replicas.

## 4 EVALUATION

From a schedulability point of view, many tradeoffs exist among the allocation methods described in the prior section. In order to elucidate these tradeoffs, we conducted a large-scale schedulability

| Mult-Mode Task Management | PST | RST |
|---|---|---|
| NAIVE | N/PST | N/RST |
| CBA | CBA/PST | CBA/RST |
| WBA | WBA/PST | WBA/RST |

**Table 1: Considered allocation variants.**

study, the results of which are discussed in this section. In addition to schedulability, mode-change latencies are a concern. We leave a full exploration of techniques for lessening such latencies for future work. However, for our schedulability results to be meaningful, it is necessary to demonstrate the existence of a mode-change protocol that gives rise to latencies that are reasonable. We present such a protocol and provide experimental evidence of its reasonableness in online appendices [11]. For the mode-change protocol presented there, latencies tended to be on the order of 200*ms* or less. All code used in our schedulability study and in evaluating our mode-change protocol is available online.[8]

*Schedulability study overview.* We assessed the efficacy of the allocation schemes presented in Sec. 3.2 by evaluating the schedulability of randomly generated task systems under the MC$^2$ variants listed in Tbl. 1, as well as the HRT uniprocessor earliest-deadline-first scheduler, denoted U-EDF, with all banks allocated to all modes.[9] The latter reflects current industry practice for eliminating shared-hardware interference by simply disabling all but one core.

The CBA and WBA variants in Tbl. 1 use the associated allocation methods described in Sec. 3.2. Under the NAIVE variants, if no shared tasks exist, DRAM is allocated as in Fig. 5, assuming that all tasks from all modes comprise one all-encompassing task system. However, way allocations in the LLC are determined on a per-mode basis as illustrated in Fig. 3 by solving a MILP for each mode (way allocations may be different for different modes). Shared tasks can be easily introduced under this allocation scheme by using the PST and RST approaches discussed earlier in Sec. 3.2. In addition to the MC$^2$ variants listed in Tbl. 1, we also consider the ALL variant, which involves checking whether any of the variants in Tbl. 1 produces a schedulable allocation. ALL is interesting because it shows the potential value of trying multiple allocation approaches.

We used the following limits on available DRAM on the Cortex A9 platform when assessing schedulability. Each bank allocated to Levels A and B has approximately 32,000 pages available for task allocation and approximately 2,000 pages of each color. Approximately 73,000 pages are available to Level C after accounting for LITMUS$^{\mathrm{RT}}$ OS allocations. All tasks were assumed to statically link to libraries and not dynamically allocate memory, so the only DRAM consumption to consider beyond that required by the OS was static task page allocation and shared state buffers.

*Task-system generation.* We extended an evaluation framework used extensively by us in prior work [10, 12, 24–26] to randomly generate task systems while accounting for DRAM consumption and multiple modes. Under this framework, PETs are determined at Level B (resp., Level C) based on measured worst-case (resp., average-case) execution-time data for benchmark tasks, as discussed in detail in prior work [25]. Like Fig. 4, these PETs are

| Category | Choice | Level A | Level B | Level C |
|---|---|---|---|---|
| 1:Mode | Few | {2, 3, 4, 5, 6, 7} | | |
| Count | **Many** | **{8, 9, 10, 11, 12}** | | |
| 2: Criticality | C-Light | [29, 56) | [29, 56) | [10, 25) |
| Utilization | C-Heavy | [9, 33) | [9, 33) | [45, 78) |
| Percent | **All-Mod.** | **[28, 39)** | **[28, 39)** | **[28, 39)** |
| 3:Period (ms) | Short | {3, 6} | {6, 12} | {3, 33) |
| | **Medium** | **{12, 24}** | **{24, 48}** | **[12, 100)** |
| | Long | {48, 96} | {96, 192} | [50, 500) |
| 4:Task Utilization | Light | [0.001, 0.03) | [0.001, 0.05) | [0.001, 0.1) |
| | Medium | [0.02, 0.1) | [0.05, 0.2) | [0.1, 0.4) |
| | **Heavy** | **[0.1, 0.3)** | **[0.2, 0.4)** | **[0.4, 0.6)** |
| 5:Page Count in Hundreds | Light | [1.5, 3) | [1.5, 3) | [2, 7):0.75<br>[6, 13):0.25 |
| | **Medium** | **[3, 5)** | **[3, 5)** | **[4, 9):0.75**<br>**[10, 30):0.25** |
| | Heavy | [5, 7) | [5, 7) | [6, 11):0.75<br>[13, 70):0.25 |
| 6:Shared Utilization | Light | [0%, 20%) | [0%, 20%) | [0%, 20%) |
| | **Heavy** | **[50%, 70%)** | **[50%, 70%)** | **[50%, 70%)** |
| 7:Criticality Change | | **20%** | | |
| 8:Max Reload Time | **Light** | **[0.01, 0.1)** | **[0.01, 0.1)** | **[0.01, 0.1)** |
| | Heavy | [0.25, 0.5) | [0.25, 0.5) | [0.25, 0.5) |
| 9:State | | **[0%, 10%)** | | |
| 10:Color Sensitivity | **Reduced** | **[70%, 90%)** | | |
| | Regular | 0% | | |

**Table 2: Task-set parameters and distributions. In Category 5, last column, *I*:*P* denotes that interval *I* is selected with probability *P*.**

a function of ways and colors. Level-A PETs are obtained by applying a 50% inflation factor to Level-B PETs. For replicated shared tasks, these PETs are adjusted for state buffer usage, and this can be done by applying buffer-accounting methods presented by us previously [10].

To generate task and task-set parameters, ten distributions must be selected from the categories listed in Tbl. 2. Using the selected distributions, multi-mode task systems are then generated for each considered allocation variant by following a step-wise process that is explained in detail in our prior work [25] and refined here for multi-mode systems. We provide below a high-level overview of our refinements by considering each step in turn, assuming the distributions highlighted in bold in Tbl. 2 have been selected.

**Step 1:** Determine the number of modes by using the distribution selected in Category 1. The highlighted selection indicates that the number of modes will be randomly selected from $\{8, 9, \ldots, 12\}$.

**Step 2:** Select a *nominal utilization* from which per-mode utilizations are generated. The nominal utilization parameter is not reflected in Tbl. 2. It is systematically increased until no schedulable task systems result. Each per-mode utilization is determined by randomly choosing a value that is within 50% of the nominal utilization. Thus, different modes may have different utilizations.

**Step 3:** Generate the first mode. Generally, this is done by generating a task set for the U-EDF variant and then modifying that task set for the other considered allocation variants by adjusting PETs to reflect differences in LLC-allocation and hardware-isolation choices. Such modifications are driven by measurement data taken on the Cortex A9 following an approach described in detail in our prior work [25].[10] The highlighted selection in Category 2 indicates that the percentage of tasks at each criticality level will be in the range

[8] https://wiki.litmus-rt.org/litmus/Publications

[9] Bank contention is not possible when only one core runs. Hence, it is safe to allocate any bank to any task under U-EDF.

[10] Note that generating one mode simply requires generating a single task set, so our prior work can be directly applied here.

[28, 39)% (totaling to 100%). The highlighted selections in Categories 3–5 specify how per-task U-EDF parameters are determined. For example, each Level-A task will have a period of either 12*ms* or 24*ms*, a U-EDF utilization in the range [0.1, 0.3), and require 300 to 499 pages in DRAM. After a task set has been fully defined for the U-EDF variant, that task set is adjusted to create a variant for each allocation scheme by adjusting PETs, as already mentioned.

**Step 4:** Generate all other modes. Subsequent modes are generated following a similar process as outlined in Step 2, except that shared tasks have to be determined. This is done using the distribution selected in Category 6. The highlighted selection in this category indicates that, at each criticality level, the number of tasks shared between a newly generated mode and the prior mode is such that these tasks have a combined U-EDF utilization of [50, 70)% of the prior mode's U-EDF utilization at that level. Ordinarily, any task retained from the prior mode retains the same criticality level. However, the distribution in Category 7 is applied to designate that some shared tasks undergo a criticality change. In particular, of the tasks that are shared with Level C of the new mode, 20% are taken from Level B of the prior mode.[11]

**Step 5:** Add to all modes special per-core Level-A mode-change-handling tasks as used in the mode-change protocol mentioned earlier, which is described in an online appendix [11]. Each such task has a period equal to the shortest system-wide Level-A period, and Level-A, -B, and -C PETs of 254$\mu$s, 169$\mu$s, and 83$\mu$s, respectively. These PETs were determined from measured execution times for an actual mode-change-handling task on the Cortex A9.

**Step 6:** Adjust generated PETs to account for implementation-related overheads, shared-buffer copy times, and differences in PET sensitivy to LLC-way and -color allocations. These adjustments are affected by the distributions selected for Categories 8, 9, and 10. For example, the highlighted distributions from Category 8 indicate that the maximum LLC reload time under U-EDF for any task after a preemption or migration is [1, 10)% of its U-EDF PET, that from Category 9 indicates that [0, 10)% of each task's pages must be stored in a state buffer (if replicated), and that from Category 10 indicates that the variation of a task's PETs with respect to allocated LLC colors is reduced by [70, 90)% (indicating lesser sensitivity to color allocations). The adjustments made in this step are based on measurement data obtained on the Cortex A9.

**Step 7:** For each MC$^2$ variant, assign Level-A and -B tasks to cores using the worst-fit decreasing heuristic discussed in [25], with obvious modifications for the PST variants to ensure that all shared tasks are assigned to Core 0. Under the RST variants, each replica of a Level-A or -B shared task is treated as an independent task.

**Step 8:** Test the schedulability of the resulting multi-mode task system under each evaluated allocation variant.

The distributions in Tbl. 2 were defined to enable the systematic study of different factors impacting schedulability, such as MC analysis, DRAM constraints, and mode relationships, and were selected to strike a balance between having a manageable study and covering a wide range of choices. Additionally, much of the task-system generation process is based on actual measurement data.

We denote each combination of distribution choices using a tuple notation. For example, (Many, All-Mod., Medium, Heavy, Medium, Heavy, Light, Reduced) denotes using the Many, All-Mod., Medium, *etc.*, distribution choices for those categories in Tbl. 2 that have multiple options. We call such a combination a *scenario*. We considered all possible such scenarios, and for each considered nominal utilization in each scenario, we generated enough task systems to estimate mean schedulability to within ±0.05 with 95% confidence with at least 100 and at most 300 task systems.

*Schedulability results.* In total, we evaluated the schedulability of over 4 million randomly generated task systems, which took roughly 190 CPU-days of computation.[12] (Each MILP typically required less than a second per to execute.) From this abundance of data, we generated 1,296 schedulability plots, of which three representative plots are shown in Fig. 8. The full set of plots is available online [11].

Each schedulability plot corresponds to a single scenario. To understand how to interpret these plots, consider Fig. 8(a). In this plot, the $x$-axis ranges over nominal utilization values. The circled point indicates that 43% of the generated task systems with a nominal U-EDF utilization of 3.0 were schedulable under the N/PST variant. Note that, because the $x$-axis represents nominal utilizations under the single-core HRT U-EDF variant, it is possible under MC$^2$ to support systems with a nominal U-EDF utilization exceeding four, as MC provisioning and hardware management decrease PETs [26].

To compare allocation schemes, we use several metrics. For each scenario, each scheme has a *schedulable utilization area* (SUA), which is the area (computed via the trapezoid rule) underneath that scheme's curve in the plot corresponding to the given scenario. A higher SUA indicates generally better schedulability. The *total SUA* of a scheme is the sum of its SUAs across all scenarios. The *% difference* (a standard statistical measure) between two schemes is the difference between their total SUAs divided by the average of their total SUAs. For example, if schemes $X$ and $Y$ have total SUAs of 3 and 1, respectively, then the % difference of $X$ vs. $Y$ is $(3-1)/(\frac{3+1}{2})$, or 100%.

We now state several observations that follow from the full set of collected schedulability data. We illustrate these observations using the plots in Fig. 8. Tbl. 3 provides a finer breakdown, indicating the number of scenarios for which each scheme was best (by SUA) as a function of some of the distribution choices from Tbl. 2.

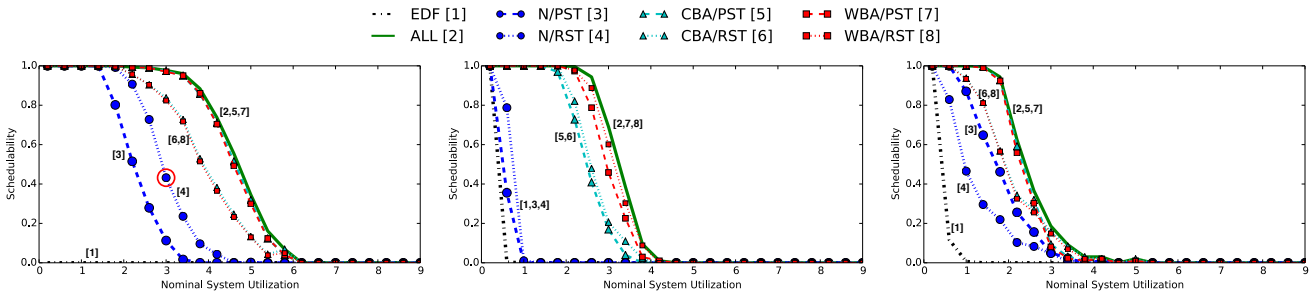
**Obs. 1. [ Naive vs. other ]** When comparing the PST variants, the % difference of CBA and WBA was 33% and 31%, respectively, vs. NAIVE. Respective % differences vs. NAIVE for the RST variants were 25% and 26%.

All insets of Fig. 8 show moderate to significant schedulability gains for the non-NAIVE variants over the NAIVE variants. These gains underscore *the importance of considering limited DRAM space in multi-mode systems.*

**Obs. 2. [ PST vs. RST ]** % differences of PST vs. RST were 19%, 27%, and 25%, respectively, for the N, CBA, and WBA variants.

[11] It is likely in industry settings that tasks requiring Level-A certification will require Level-A certification in all modes. As a result, we assume no task changes criticality level to or from Level A.

[12] In the future, we hope to rerun these experiments with additional categories added to Tbl. 2. However, this was simply not feasible to do for this paper.




(a) (Many, C-Heavy, L., H., M., L., H., Reg.) (b) (Many, All-Mod, L., M., M., L., L., Reg.) (c) (Few, All-Mod, L., M., H., H., L., Red.)

**Figure 8: Representative schedulability plots.**

| Category | Choice | EDF | NAIVE PST | CBA PST | WBA PST | NAIVE RST | CBA RST | WBA RST |
|---|---|---|---|---|---|---|---|---|
| Mode | Few | 0 | 224 | **428** | 292 | 82 | 207 | 233 |
| Count | Many | 6 | 116 | **474** | 417 | 19 | 114 | 164 |
| Shared | Light | 6 | 42 | **375** | 298 | 86 | 264 | 319 |
| Utilization | Heavy | 0 | 298 | **527** | 411 | 15 | 57 | 78 |
| Page | Light | 0 | 201 | **314** | 202 | 77 | 140 | 142 |
| Count in | Medium | 0 | 99 | **311** | 241 | 22 | 104 | 132 |
| Hundreds | Heavy | 6 | 40 | **277** | 266 | 2 | 77 | 123 |
| Max | Light | 6 | 130 | **345** | 318 | 71 | 265 | 335 |
| Reload Time | Heavy | 0 | 210 | **557** | 391 | 30 | 56 | 62 |
| Color | Reduced | 3 | 169 | **457** | 333 | 48 | 157 | 192 |
| Sensitivity | Regular | 3 | 171 | **445** | 376 | 53 | 164 | 205 |

**Table 3: Number of scenarios by category where each scheme had the highest SUA. Two schemes were deemed to tie under a scenario if they had SUAs within ±2% of each other. The largest counts are in bold.**

In insets (a) and (c) of Fig. 8, most of the PST variants outperform their RST counterparts (*e.g.*, CBA/PST outperforms CBA/RST). The RST variants are negatively affected by greater DRAM requirements than the PST variants for replicated pages.

**Obs. 3. [ CBA vs. WBA ]** Schedulability under WBA/PST and CBA/PST was comparable (a percent difference within ±3%) in scenarios with regular color sensitivity (refer to Category 10 in Tbl. 2). Similar results were seen in reduced-color-sensitivity scenarios.

In comparing the scenarios in insets (a) and (c) of Fig. 8, we see little difference in WBA/PST vs. CBA/PST, even though each of these scenarios exhibits different sensitivities of PETs to available colors. Schedulability under the two respective RST schemes is also similar in these two insets. Despite disadvantages for WBA in color-sensitivity, WBA and CBA schedulability were comparable.

**Obs. 4.** WBA/PST and CBA/PST performed the best, having respective % differences vs. ALL of only -6.6% and -5.0%.

This observation is supported by Tbl. 3 and all insets of Fig. 8.

Given the nature of our study, these observations naturally hinge on our experimental setup. However, we have taken care to ensure that a wide range of system configurations was considered.

*MILP-based optimization vs. other alternatives.* Recall from Sec. 3.1 that our MILP-based allocation techniques must fix either color or way allocations. Other allocation strategies (*e.g.*, genetic algorithms) may be capable of exploring a larger solution space, but it is doubtful such strategies could be applied at the scale of the large study just described within a reasonable amount of time.

Still, we acknowledge that a single MILP is restricted to a subset of the solution space, thus some loss in achievable schedulability may result. To partially assess the extent of such loss, we conducted an additional small-scale study, which is presented in an online appendix [11]. In this study, we compared CBA/PST and WBA/PST, which involve solving a single MILP per mode, to a more computationally intensive scheme that solves a *series* of MILPs per mode to explore a larger solution space. Each MILP in the series optimizes color allocations for a given fixed way allocation and way allocations are systematically varied. This more-extensive scheme exhibited only minor schedulability gains over the single-MILP schemes, while requiring significantly higher runtime costs. We conclude from this that focusing on single-MILP schemes in our study was acceptable.

## 5 PRIOR RELATED WORK

This work follows a long line of research examining shared-resource contention in real-time systems [27]. Prior efforts have focused on issues such as cache partitioning [3, 18, 22, 38, 39], DRAM controllers [4, 14, 19, 20, 28], and bus-access control [1, 2, 13, 15, 16, 32]. Other work has focused on reducing shared-resource interference when per-core scratchpad memories are used [34], accurately predicting DRAM access delays [21], throttling lower-criticality tasks' memory accesses [41], allocating memory [40], and enhancing temporal isolation by managing shared pages [10, 23, 24]. In evaluating one recently proposed cache-partitioning scheme, vCAT [39], a dual-mode use case was considered. However, that use case was quite simplistic: in addition to having only two modes, all tasks were shared and no DRAM constraints were considered.

Real-time mode-change protocols are a well-studied topic, but most of the classic work on this topic focuses on uniprocessors. A survey of such work with a fairly comprehensive bibliography has been produced by Real and Crespo [33]. In some work pertaining to Vestal's notion of MC schedulability analysis, a task exceeding a PET can cause a *criticality mode change* in which lower-criticality tasks may be dropped. Such mode changes are quite different from functional mode changes as considered in this paper. Burns recently published a survey paper in which the differences between these two kinds of mode changes are discussed at length [6].

To our knowledge, we are the first to consider in detail complexities that arise when attempting to support multiple modes while ensuring hardware isolation under the notion of MC scheduling espoused by Vestal [36], which was proposed with the express intent

of *achieving better platform utilization*. Several of the aforementioned papers do target MC systems [4, 13, 15, 16, 19, 20, 28, 31, 41], but only peripherally touch on the issue of achieving better platform utilization, if at all. Hardware isolation under Vestal's notion of MC scheduling has been considered in several prior MC$^2$-related papers by our group [10, 17, 24, 25, 30, 37], but these papers do not consider multi-mode systems. A recent paper by other authors also considers cache partitioning in MC systems [5], with a focus on cache reallocation during a criticality mode change. However, this work is entirely theoretical and does not cover many complexities of cache management that arise in actual implementations, including the tight coupling between cache coloring and DRAM allocation.

## 6 CONCLUSION

In this paper, we have provided extensions to MC$^2$ for supporting multiple functional modes. As we have seen, tasks shared across multiple modes pose a particular challenge, because they cause hardware-allocation decisions affecting different modes to become intertwined. Our extended MC$^2$ framework not only supports such tasks but also allows them to change their criticality levels.

When supporting mode changes in a framework like MC$^2$, where both hardware-isolation properties must be ensured and MC analysis assumptions are employed, numerous resource-allocation tradeoffs exist. The various offline allocation approaches studied in this paper were selected as reasonable candidate solutions that expose interesting tradeoffs. We evaluated these tradeoffs via a large-scale overhead-aware schedulability study. In this study, the WBA and CBA schemes tended to provide significant schedulability gains over the NAIVE schemes, and the WBA/PST and CBA/PST variants faired the best overall.

The results of this paper open up many avenues for future work. For example, a wider range of hardware-allocation options could be explored than was possible to cover in the space available. Also, several implementation options for supporting mode-change protocols exist that warrant further attention. For example, suspending incomplete jobs and using checkpoints are existing techniques that can be applied to reduce latencies. Finally, we have assumed in this paper that all modes fit within DRAM. This is a very desirable property, but some systems may exist in practice in which DRAM is insufficient and thus secondary storage devices such as solid-state disks must be used. Understanding how to page tasks to and from disks in a way that is reflective of criticality concerns is an interesting topic that warrants further study.

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