

# Asymptotic notation

1. **Pseudo-code** – describe algorithms
  2. **Asymptotic notation** – discuss efficiency
  3. **Design techniques** – design algorithms
- Describe *growth* of functions.
  - Focus on what's important by abstracting away low-order terms and constant factors.

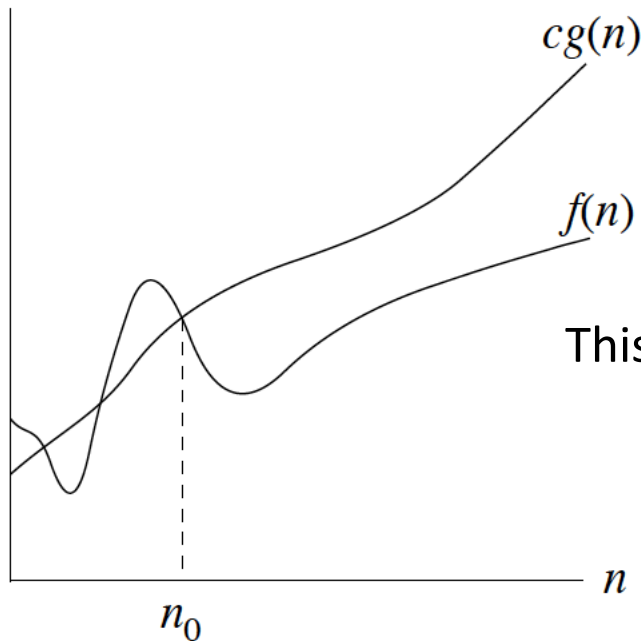
How we indicate running times of algorithms.

A way to compare “sizes” of functions:

$O$	$\approx$	$\leq$
$\Omega$	$\approx$	$\geq$
$\Theta$	$\approx$	$=$
$o$	$\approx$	$<$
$\omega$	$\approx$	$>$

# Asymptotic notation

$O(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}.$



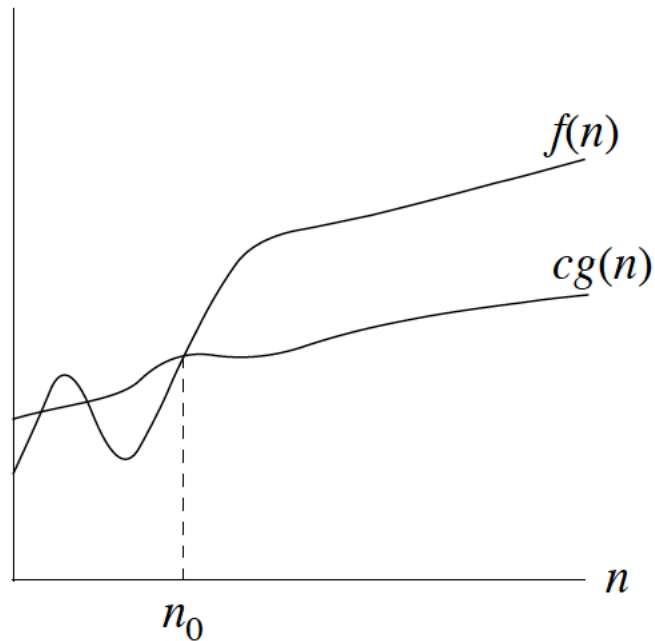
This was a **terrible** idea, but we're stuck with it!

$g(n)$  is an *asymptotic upper bound* for  $f(n)$ .

If  $f(n) \in O(g(n))$ , we write  $f(n) = O(g(n))$

# Asymptotic notation

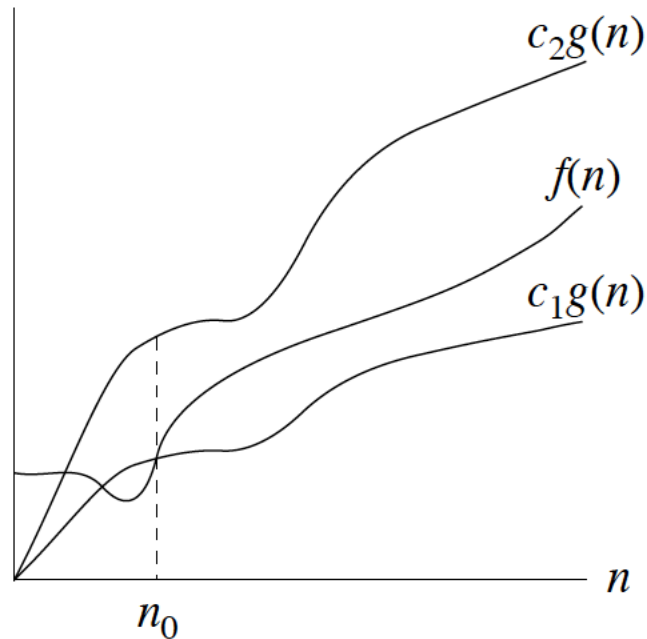
$\Omega(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that}$   
 $0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\} .$



$g(n)$  is an *asymptotic lower bound* for  $f(n)$ .

# Asymptotic notation

$\Theta(g(n)) = \{f(n) : \text{there exist positive constants } c_1, c_2, \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}.$



$g(n)$  is an *asymptotically tight bound* for  $f(n)$ .

## ***Theorem 3.1***

For any two functions  $f(n)$  and  $g(n)$ , we have  $f(n) = \Theta(g(n))$  if and only if  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$ . ■

# Asymptotic notation

$o(g(n)) = \{f(n) : \text{for all constants } c > 0, \text{ there exists a constant } n_0 > 0 \text{ such that } 0 \leq f(n) < cg(n) \text{ for all } n \geq n_0\}$

$$: \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0.$$

$\omega(g(n)) = \{f(n) : \text{for all constants } c > 0, \text{ there exists a constant } n_0 > 0 \text{ such that } 0 \leq cg(n) < f(n) \text{ for all } n \geq n_0\}$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty.$$

# Asymptotic notation in equations

## *When on right-hand side*

$O(n^2)$  stands for some anonymous function in the set  $O(n^2)$ .

$2n^2 + 3n + 1 = 2n^2 + \Theta(n)$  means  $2n^2 + 3n + 1 = 2n^2 + f(n)$  for some  $f(n) \in \Theta(n)$ . In particular,  $f(n) = 3n + 1$ .

## *When on left-hand side*

No matter how the anonymous functions are chosen on the left-hand side, there is a way to choose the anonymous functions on the right-hand side to make the equation valid.

Interpret  $2n^2 + \Theta(n) = \Theta(n^2)$  as meaning *for all* functions  $f(n) \in \Theta(n)$ , there exists a function  $g(n) \in \Theta(n^2)$  such that  $2n^2 + f(n) = g(n)$ .

Can chain together:

$$\begin{aligned} 2n^2 + 3n + 1 &= 2n^2 + \Theta(n) \\ &= \Theta(n^2) . \end{aligned}$$

# ASYMPTOTIC NOTATION: PROPERTIES

## Transitivity:

$$f(n) = \Theta(g(n)) \text{ and } g(n) = \Theta(h(n)) \Rightarrow f(n) = \Theta(h(n)).$$

Same for  $O$ ,  $\Omega$ ,  $o$ , and  $\omega$ .

## Reflexivity:

$$f(n) = \Theta(f(n)).$$

Same for  $O$  and  $\Omega$ .

## Symmetry:

$$f(n) = \Theta(g(n)) \text{ if and only if } g(n) = \Theta(f(n)).$$

## Transpose symmetry:

$$f(n) = O(g(n)) \text{ if and only if } g(n) = \Omega(f(n)).$$

$$f(n) = o(g(n)) \text{ if and only if } g(n) = \omega(f(n)).$$

# COMPARISON OF FUNCTIONS

Comparisons:

$f(n)$  is *asymptotically smaller* than  $g(n)$  if  $f(n) = o(g(n))$ .

$f(n)$  is *asymptotically larger* than  $g(n)$  if  $f(n) = \omega(g(n))$ .

No trichotomy. Although intuitively, we can liken  $O$  to  $\leq$ ,  $\Omega$  to  $\geq$ , etc., unlike real numbers, where  $a < b$ ,  $a = b$ , or  $a > b$ , we might not be able to compare functions.

Example:  $n^{1+\sin n}$  and  $n$ , since  $1 + \sin n$  oscillates between 0 and 2.



# A Problem

Suppose that we wish to know which floors in an  $n$ -floor building are safe to drop eggs from, and which will cause the eggs to break on landing.

Assume that

- An egg that survives a fall can be used again (but a broken egg is discarded)
- The effect of a fall is the same for all eggs
- If an egg breaks when dropped, then it would break if dropped from a higher floor
- If an egg survives a fall then it would survive if dropped from a lower floor

You have  $k$  eggs available. What is the least number of egg-droppings that is guaranteed to determine the lowest floor from which it would break if dropped?

$k = 1?$

$k = \text{infinity}?$

Some smaller  $k$ ?