

Image-based 3D Acquisition of Archaeological Heritage and Applications

Marc Pollefeys Luc Van Gool Maarten Vergauwen Kurt Cornelis Frank Verbiest Jan Tops

Center for Processing of Speech and Images
Dept. of Electrical Engineering
K.U.Leuven

Abstract

In this paper an approach is presented that obtains virtual models from sequences of images. The system can deal with uncalibrated image sequences acquired with a hand-held camera. Based on tracked or matched features the relations between multiple views are computed. From this both the structure of the scene and the motion of the camera are retrieved. The ambiguity on the reconstruction is restricted from projective to metric through auto-calibration. A flexible multi-view stereo matching scheme is used to obtain a dense estimation of the surface geometry. From the computed data virtual models can be constructed or, inversely, virtual models can be included in the original images.

Keywords: Large scale terrain modelling, Site reconstruction, Image-based modelling, virtual archaeology.

1 Introduction

There has recently been a lot of interest in obtaining virtual models of existing scenes. Image-based approaches have shown a lot of potential in many areas. One of the areas where interesting applications exist is architecture. Nowadays most buildings are being designed on computer using CAD and visualization tools allow virtual visits. This can be very effective in presenting plans to persons that are not trained in reading them. However, most constructions have to be considered in their environment. It is therefore necessary to be able to generate a realistic impression of the environment too. Due to the complexity of natural sites a manual reconstruction can often not be considered and there is a need for more automated approaches that can directly capture the environment. Other applications can be found in the field of conservation of built heritage. In this area photogrammetric techniques have been used for many years. However, through advances in automation and digital technology much more complete analyses can be achieved at reduced cost. In addition, digital 3D models can also be used for planning restorations and as archives afterwards. Of course, there is also an important demand for photo-realistic models of monuments and sites for multi-media and entertainment products.

For most of the above applications there is a need for simple and flexible acquisition procedures. Therefore calibration should be absent or restricted to a minimum. Many new applications also

require robust low cost acquisition systems. This stimulates the use of consumer photo- or video cameras. Some approaches have been proposed for extracting 3D shape and texture from image sequences acquired with a freely moving camera have been proposed. The approach of Tomasi and Kanade [21] used an affine factorization method to extract 3D from image sequences. An important restriction of this system is the assumption of orthographic projection. Another type of approach starts from an approximate 3D model and camera poses and refines the model based on images (e.g. *Façade* proposed by Debevec et al. [6]). The advantage is that less images are required. On the other hand a preliminary model must be available and the geometry should not be too complex.

The approach presented in this paper avoids most of these restrictions. The approach captures photo-realistic virtual models from images. The user acquires the images by freely moving a camera around an object or scene. Neither the camera motion nor the camera settings have to be known a priori. There is also no need for preliminary models. The approach can also be used to combine virtual objects with real video, yielding augmented video sequences.

2 Relating images

Starting from a collection of images or a video sequence the first step consists in relating the different images to each other. This is not a easy problem. A restricted number of corresponding points is sufficient to determine the geometric relationship or *multi-view constraints* between the images. Since not all points are equally suited for matching or tracking (e.g. a pixel in a homogeneous region), the first step consist of selecting feature points [11, 20]. These are suited for tracking or matching. Depending on the type of image data (i.e. video or still pictures) the feature points are tracked or matched and a number of potential correspondences are obtained. From these the multi-view constraints can be computed. However, since the correspondence problem is an ill-posed problem, the set of corresponding points can be contaminated with an important number of wrong matches or *outliers*. In this case, a traditional least-squares approach will fail and therefore a robust method is used [22, 10]. Once the multi-view constraints have been obtained they can be used to guide the search for additional correspondences. These can then be used to further refine the results for the multi-view constraints.

3 Structure and motion recovery

The relation between the views and the correspondences between the features, retrieved as explained in the previous section, will be used to retrieve the structure of the scene and the motion of the camera. The approach that is used is related to [1] but is fully projective and therefore not dependent on the quasi-euclidean initialization. This is achieved by strictly carrying out all measurements in the images, i.e. using reprojection errors instead of 3D errors. To support initialization and determination of close views (independently of the actual projective frame) an image-based measure to obtain a

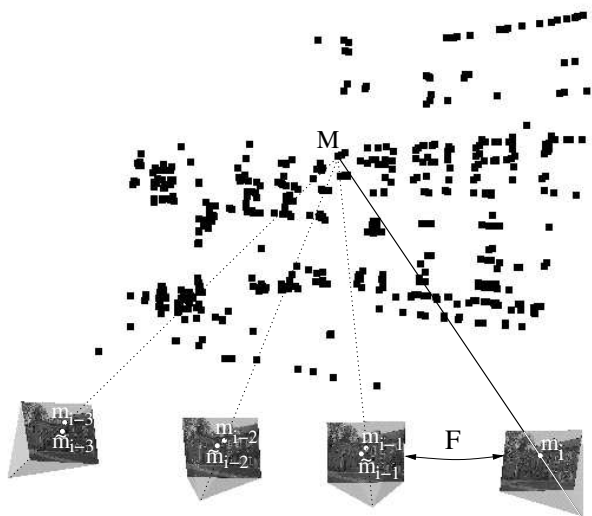


Figure 1: The pose estimation of a new view uses inferred structure-to-image matches.

qualitative evaluation of the distance between two views had to be used. The proposed measure is the minimum median residual for a homography between the two views.

At first two images are selected and an initial projective reconstruction frame is set-up [8, 12]. Then the pose of the camera for the other views is determined in this frame and for each additional view the initial reconstruction is refined and extended. This is illustrated in Figure 1. In this way the pose estimation of views that have no common features with the reference views also becomes possible. Typically, a view is only matched with its predecessor in the sequence. In most cases this works fine, but in some cases (e.g. when the camera moves back and forth) it can be interesting to also relate a new view to a number of additional views [16]. Candidate views are identified using the image-based measure mentioned above. Once the structure and motion has been determined for the whole sequence, the results can be refined through a projective bundle adjustment [24]. Then the ambiguity is restricted to metric through auto-calibration [9]. Our approach is based on the concept of the absolute quadric [23, 19]. Finally, a metric bundle adjustment is carried out to obtain an optimal estimation of the structure and motion.

4 Dense surface estimation

To obtain a more detailed model of the observed surface dense matching is used. The structure and motion obtained in the previous steps can be used to constrain the correspondence search. Since the calibration between successive image pairs was computed, the epipolar constraint that restricts the correspondence search to a 1-D search range can be exploited. Image pairs are warped so that epipolar lines coincide with the image scan lines. For this purpose the rectification scheme proposed in [18] is used. This approach can deal with arbitrary relative camera motion and guarantees minimal image sizes while standard homography-based approaches fail when the epipole is contained in the image. The correspondence search is then reduced to a matching of the image points along each image scan-line. This results in a dramatic increase of the computational efficiency of the algorithms by enabling several optimizations in the computations. An example of a rectified stereo pair is given in Figure 2. It was recorded with a hand-held digital video camera

in the Béguinage in Leuven. Due to the narrow streets only forward motion is feasible. This would have caused standard rectification approaches to fail.

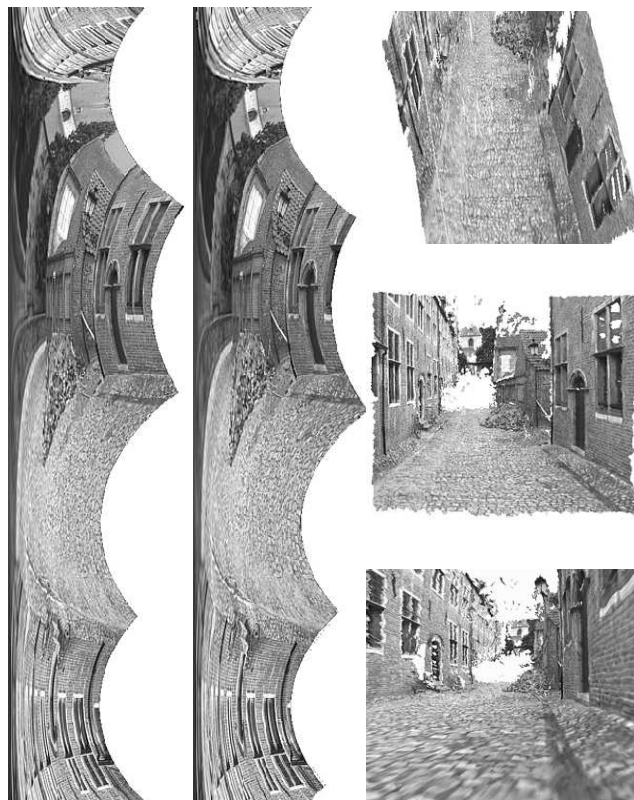


Figure 2: *Béguinage* sequence: Rectified image pair (left) and some views of the reconstructed street model obtained from several image pairs (right).

In addition to the epipolar geometry other constraints like preserving the order of neighboring pixels, bidirectional uniqueness of the match, and detection of occlusions can be exploited. These constraints are used to guide the correspondence towards the most probable scan-line match using a dynamic programming scheme [4]. The matcher searches at each pixel in one image for maximum normalized cross correlation in the other image by shifting a small measurement window along the corresponding scan line. Matching ambiguities are resolved by exploiting the ordering constraint in the dynamic programming approach [14]. The algorithm was further adapted to employ extended neighborhood relationships and a pyramidal estimation scheme to reliably deal with very large disparity ranges of over 50% of image size [7]. The disparity search range is limited based on the disparities that were observed for the features in the structure and motion recovery.

The pairwise disparity estimation allows to compute image to image correspondence between adjacent rectified image pairs and independent depth estimates for each camera viewpoint. An optimal joint estimate is achieved by fusing all independent estimates into a common 3D model using a Kalman filter. The fusion can be performed in an economical way through controlled correspondence linking. This approach was discussed more in detail in [15].

This approach combines the advantages of small baseline and wide baseline stereo. It can provide a very dense depth map by avoiding most occlusions. The depth resolution is increased

through the combination of multiple viewpoints and large global baseline while the matching is simplified through the small local baselines.

5 Building virtual models

In the previous sections a dense structure and motion recovery approach was given. This yields all the necessary information to build photo-realistic virtual models. The 3D surface is approximated by a triangular mesh to reduce geometric complexity and to tailor the model to the requirements of computer graphics visualization systems. A simple approach consists of overlaying a 2D triangular mesh on top of one of the images and then build a corresponding 3D mesh by placing the vertices of the triangles in 3D space according to the values found in the corresponding depth map. The image itself is used as texture map. If no depth value is available or

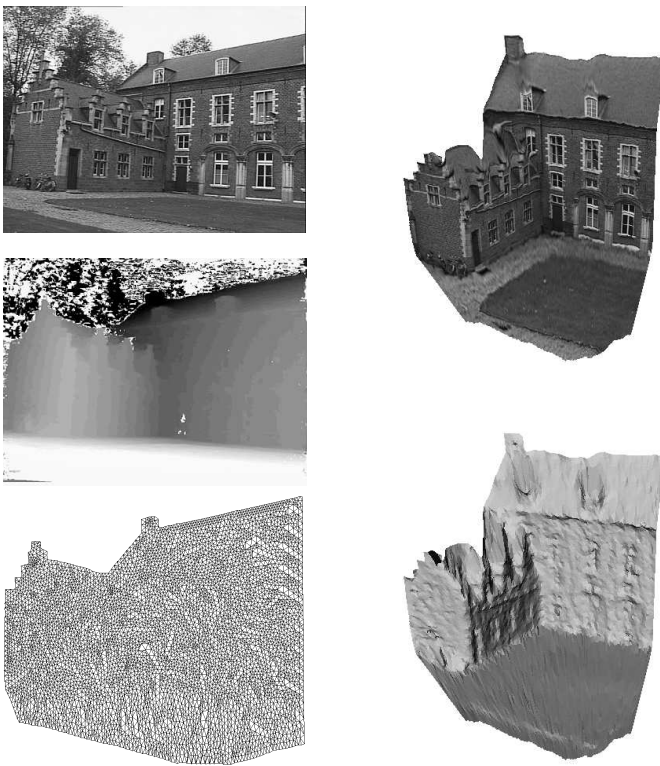


Figure 3: Surface reconstruction approach (left): A triangular mesh is overlaid on top of the image. The vertices are back-projected in space according to the depth values. From this a 3D surface model is obtained (right)

the confidence is too low the corresponding triangles are not reconstructed. The same happens when triangles are placed over discontinuities. This approach works well on dense depth maps obtained from multiple stereo pairs and is illustrated in Figure 3.

The texture itself can also be enhanced through the multi-view linking scheme. A median or robust mean of the corresponding texture values can be computed to discard imaging artifacts like sensor noise, specular reflections and highlights[17].

To reconstruct more complex shapes it is necessary to combine multiple depth maps. Since all depth-maps can be located in a single metric frame, registration is not an issue. In some cases it can be sufficient to load the separate models together in the graphics

system. For more complex scenes it can be interesting to first integrate the different meshes into a single mesh. This can for example be done using the volumetric technique proposed in [5].

Alternatively, when the purpose is to render new views from similar viewpoints image-based approaches can be used [16, 13]. This approach avoids the difficult problem of obtaining a consistent 3D model by using view-dependent texture and geometry. This also allows to take more complex visual effects such as reflections and highlights into account.

6 Examples and applications

In this section a number of different examples and applications are presented. First, a number of examples are presented where a detailed 3D model is obtained from a small number of photographs. Next, different applications in the field of archaeology are discussed. By combining different 3D models together with CAD models that represent archaeological hypothesis, a whole archaeological site is reconstructed. Then more specific archaeological applications are discussed: 3D recording of stratigraphy and 3D recording of broken columns to generate and verify building hypothesis.

6.1 Acquiring 3D scenes

The 3D surface acquisition technique that was presented in the previous section, can readily be applied to archaeological sites. The on-site acquisition procedure consists of recording an image sequence of the scene that one desires to reconstruct. To allow the algorithms to yield good results viewpoint changes between consecutive images should not exceed 5 to 10 degrees. The following sequence was shot in Ranakpur (India) using a standard Nikon F50 photo camera and then scanned. The sequence seen at the top of Figure 4 was processed through the method presented in this paper. The results can be seen in the middle and lower part of Figure 4. Some more detailed views can be seen in Figure 5. Note that some of these artificial views are taken under viewing angles that are very different from the original pictures. This shows that the recovered models allow to extrapolate viewpoints to some extent.

The 3D surface acquisition technique that was presented in the previous sections, can readily be applied to archaeological sites. This is illustrated in this section with some results from the archaeological site of Sagalassos (Turkey). The on-site acquisition procedure consists of recording an image sequence of the scene that one desires to *virtualize*, making sure that everything that should be modeled is seen in at least two images. To allow the algorithms to yield good results viewpoint changes between consecutive images should not exceed 5 to 10 degrees. An example of such a sequence is given in Fig. 6. The further processing is fully automatic. The result for the image sequence under consideration can be seen in Fig. 7. An important advantage is that details like missing stones, not perfectly planar walls or symmetric structures are preserved. In addition the surface texture is directly extracted from the images. This does not only result in a much higher degree of realism, but is also important for the authenticity of the reconstruction. Therefore the reconstructions obtained with this system can also be used as a scale model on which measurements can be carried out or as a tool for planning restaurations.

6.2 Building a virtual site

A first approach to obtain a virtual reality model for a whole site consists of taking a few overview photographs from the distance. Since our technique is independent of scale this yields an overview model of the whole site. The only difference is the distance needed

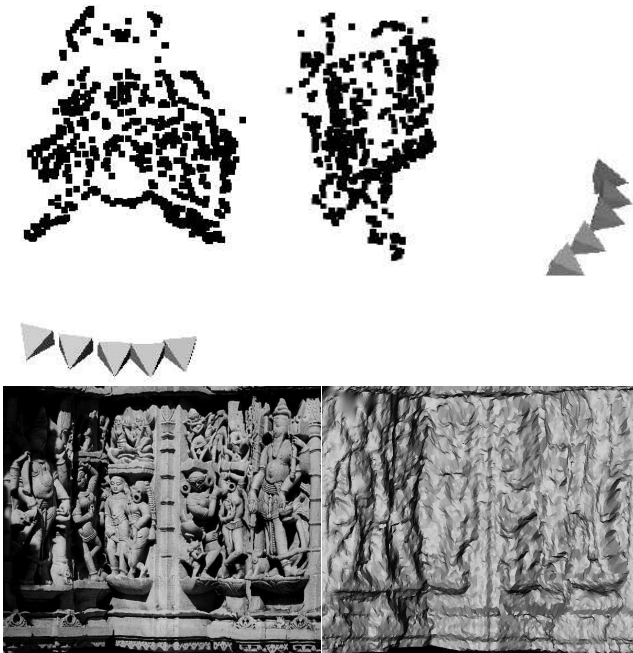


Figure 4: The *Indian temple* sequence (left), recovered sparse structure and motion (top-right) and textured and a shaded view of the reconstructed 3D surface model (bottom-right).



Figure 5: Some more detailed views of the *Indian temple* reconstruction.



Figure 6: Image sequence which was used to build a 3D model of the corner of the Roman baths

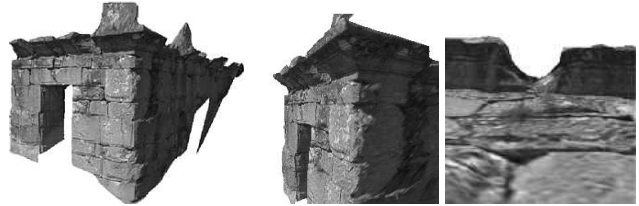


Figure 7: Virtualized corner of the Roman baths, on the right some details are shown

between two camera poses. An example of the results obtained for Sagalassos are shown in Fig. 8. The model was created from 9 images taken from a hillside near the excavation site. Note that it is straightforward to extract a digital terrain map or orthophotos from the global reconstruction of the site. Absolute localization could be achieved by localizing as few as three reference points in the 3D reconstruction.



Figure 8: Overview model of Sagalassos

The problem is that this kind of overview model is too coarse to be used for realistic walk-throughs around the site or for looking at specific monuments. Therefore it is necessary to integrate more detailed models into this overview model. This can be done by taking additional image sequences for all the interesting areas on the site. These are used to generate reconstructions of the site at different scales, going from a global reconstruction of the whole site to a detailed reconstruction for every monument. These reconstructions thus naturally fill in the different levels of details which should be provided for optimal rendering.

An interesting possibility is the combination of these models with other type of models. In the case of Sagalassos some building hypothesis were translated to CAD models. These were integrated with our models. The result can be seen in Fig. 9. Also other models obtained with different 3D acquisition techniques could easily be integrated. This reconstruction is available on internet (see Virtual Sagalassos).

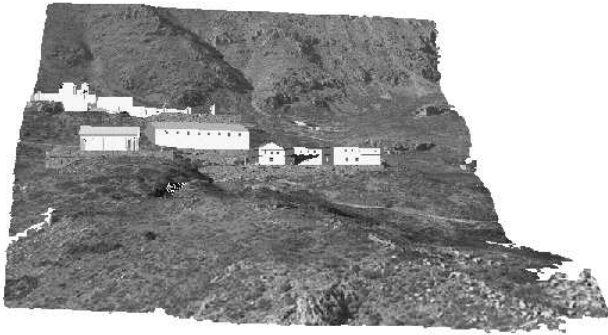


Figure 9: Virtualized landscape of Sagalassos combined with CAD-models of reconstructed monuments

6.3 Recording 3D Stratigraphy

Archaeology is one of the sciences where annotations and precise documentation are most important because evidence is destroyed during work. An important aspect of this is the stratigraphy. This reflects the different layers of soil that corresponds to different time periods in an excavated sector. Due to practical limitations this stratigraphy is often only recorded for some slices, not for the whole sector.

Our technique allows a more optimal approach. For every layer a complete 3D model of the excavated sector can be generated. Since this only involves taking a series of pictures this does not slow down the progress of the archaeological work. In addition it is possible to model separately artifacts which are found in these layers and to include the models in the final 3D stratigraphy. The excavations of an ancient Roman villa at Sagalassos were recorded with our technique. In Fig. 10 a view of the 3D model of the excavation is provided for two different layers. The on-site acquisition time was around 1 minute per layer.



Figure 10: 3D stratigraphy, the excavation of a Roman villa at two different moments.

6.4 Generating and testing building hypothesis

The technique proposed in this paper also has a lot to offer for generating and testing building hypothesis. Due to the ease of acquisition and the obtained level of detail, one could reconstruct every building block separately. The different construction hypothesis can then interactively be verified on a virtual building site. Registration algorithms [2, 25] could even be used to automate this. Fig. 11 shows an example.

6.5 Reconstruction from archives

The flexibility of the proposed approach makes it possible to use existing photo- or video archives to reconstruct from. This appli-

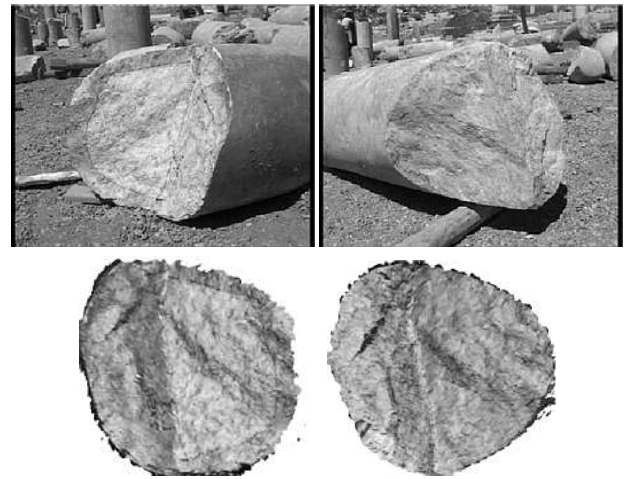


Figure 11: Two images of a broken pillar (top) and the orthographic views of the matching surfaces generated from the obtained 3D models (bottom)

cation is very interesting for monuments or sites which have been destroyed due to war or natural disaster. The feasibility of this type of reconstruction is illustrated with a reconstruction of the ancient theater of Sagalassos based on a sequence filmed by the Belgian TV in 1990 to illustrate a documentary on Sagalassos. From the 30 seconds helicopter shot approximately hundred images were extracted. Because of the motion only fields –not frames– could be used, which restricted the vertical resolution to 288 pixels. Three images of the sequence are shown in Fig. 12. The reconstruction of the feature points together with the recovered camera poses are shown in Fig 13.



Figure 12: Three images of the helicopter shot of the ancient theatre of Sagalassos.

6.6 Fusion of real and virtual scenes

Another challenging application consists of seamlessly merging virtual objects with real video. In this case the ultimate goal is to make it impossible to differentiate between real and virtual objects. Several problems need to be overcome before achieving this goal. Amongst them are the rigid registration of virtual objects into the real environment, the problem of mutual occlusion of real and virtual objects and the extraction of the illumination distribution of the real environment in order to render the virtual objects with this illumination model.

Here we will concentrate on the first of these problems, although the computations described in the previous section also provide most of the necessary information to solve for occlusions and other interactions between the real and virtual components of the augmented scene. Accurate registration of virtual objects into a real environment is still a challenging problem. Systems that fail to do so will also fail to give the user a real-life impression of the augmented outcome. Since our approach does not use markers or

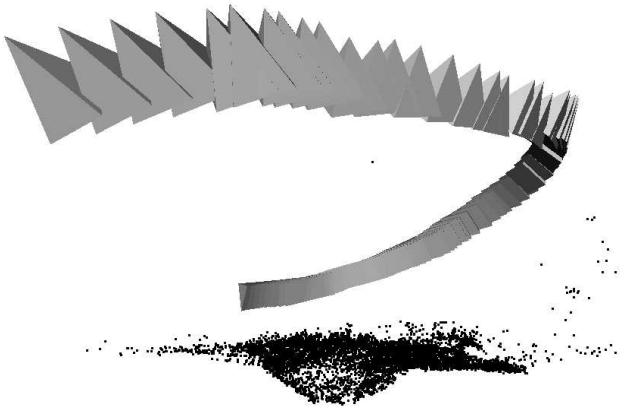


Figure 13: The reconstructed feature points and camera poses recovered from the helicopter shot.

a-priori knowledge of the scene or the camera, this allows for us to deal with video footage of unprepared environments or archive video footage. More details on this approach can be found in [3].

An important difference with the applications discussed in the previous sections is that in this case all frames of the input video sequence have to be processed while for 3D modeling often a sparse set of views is sufficient. Therefore, in this case features should be tracked from frame to frame. A key component in this case is the bundle adjustment. It does not only reduce the frame to frame jitter, but removes the largest part of the error that the structure and motion approach accumulates over the sequence. According to our experience it is very important to extend the perspective camera model with at least one parameter for radial distortion to obtain an undistorted metric structure (this will be clearly demonstrated in the example). Undistorted models are required to position larger virtual entities correctly in the model and to avoid drift of virtual objects in the augmented video sequences.

The following example was recorded at Sagalassos in Turkey, where footage of the ruins of an ancient fountain was taken. The *fountain* video sequence consists of 250 frames. A large part of the original monument is missing. Based on results of archaeological excavations and architectural studies, it was possible to generate a virtual copy of the missing part. Using the proposed approach the virtual reconstruction could be placed back on the remains of the original monument, at least in the recorded video sequence. The top part of Figure 14 shows a top view of the recovered structure before and after bundle-adjustment. Besides the larger reconstruction error it can also be noticed that the non-refined structure is slightly bent. This effect mostly comes from not taking the radial distortion into account in the initial structure recovery. In the rest of Figure 14 some frames of the augmented video are shown.

7 Conclusion

In this paper an approach for obtaining virtual models with a hand-held camera was presented. The approach utilizes different components that gradually retrieve all the information that is necessary to construct virtual models from images. Automatically extracted features are tracked or matched between consecutive views and multi-view relations are robustly computed. Based on this the projective structure and motion is determined and subsequently upgraded to metric through self-calibration. Bundle-adjustment is used to refine the results. Then, image pairs are rectified and matched using a stereo algorithm and dense and accurate depth maps are obtained by combining measurements of multiple pairs. This technique was

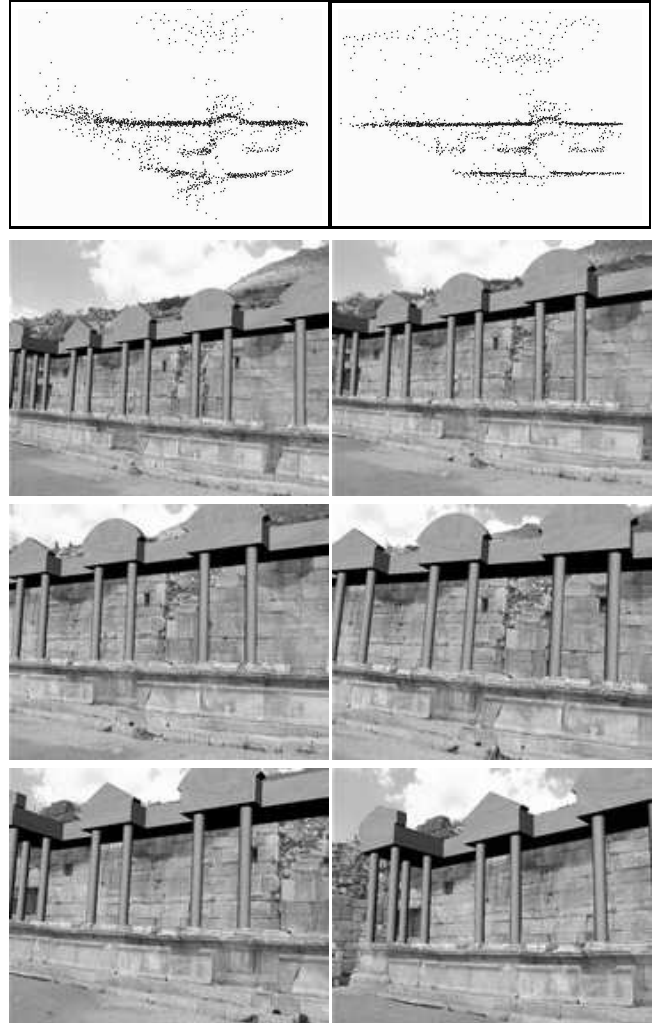


Figure 14: Fusion of real and virtual fountain parts. Top: recovered structure before and after bundle adjustment. Bottom: 6 of the 250 frames of the fused video sequence

successfully applied to the acquisition of virtual models of archaeological sites. There are multiple advantages: the on-site acquisition time is restricted, the construction of the models is automatic and the generated models are realistic. The technique allows some very promising applications such as 3D stratigraphy recording, the (automatic) generation and verification of building hypothesis, the 3D reconstruction of scenes based on archive photographs or video footage and mixing archaeological remains with virtual reconstructions in video.

Acknowledgement

Marc Pollefeys and Kurt Cornelis are respectively post-doctoral fellow and research assistant of the Fund for Scientific Research - Flanders (Belgium). The financial support of the FWO project G.0223.01, the IUAP/P4/24 project, the ITEA BEYOND and project of the IWT and the IST-1999-20273 project 3DMURALE are also gratefully acknowledged.

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