



# Condition Variables

Don Porter

Portions courtesy Emmett Witchel



# Synchronization

- Now that you have seen locks, is that all there is?
- No, but what is the “right” way to build a parallel program?
  - People are still trying to figure that out.
- Compromises:
  - between making it easy to modify shared variables AND
  - restricting when you can modify shared variables.
  - between really flexible primitives AND
  - simple primitives that are easy to reason about.



# Moving Beyond Locks

- Synchronizing on a condition.
  - When you start working on a synchronization problem, first define the mutual exclusion constraints, then ask “when does a thread wait”, and create a separate synchronization variable representing each constraint.
- Bounded Buffer problem – producer puts things in a fixed sized buffer, consumer takes them out.
  - What are the constraints for bounded buffer?
  - 1) only one thread can manipulate buffer queue at a time (*mutual exclusion*)
  - 2) consumer must wait for producer to fill buffers if none full (*scheduling constraint*)
  - 3) producer must wait for consumer to empty buffers if all full (*scheduling constraint*)



# Beyond Locking

- Locks ensure mutual exclusion
- Bounded Buffer problem – producer puts things in a fixed sized buffer, consumer takes them out.
  - Synchronizing on a condition.

```
Class BoundedBuffer{  
    ...  
    void* buffer[];  
    Lock lock;  
    int count = 0;  
}
```

What is wrong with this?

```
BoundedBuffer::Deposit(c){  
    lock→acquire();  
    while (count == n); //spin  
    Add c to the buffer;  
    count++;  
    lock→release();  
}
```

```
BoundedBuffer::Remove(c){  
    lock→acquire();  
    while (count == 0); // spin  
    Remove c from buffer;  
    count--;  
    lock→release();  
}
```



# Beyond Locks

```
Class BoundedBuffer{  
    ...  
    void* buffer[];  
    Lock lock;  
    int count = 0;  
}
```

What is wrong  
with this?

```
BoundedBuffer::Deposit(c){  
    while (count == n); //spin  
    lock→acquire();  
    Add c to the buffer;  
    count++;  
    lock→release();  
}
```

```
BoundedBuffer::Remove(c){  
    while (count == 0); // spin  
    lock→acquire();  
    Remove c from buffer;  
    count--;  
    lock→release();  
}
```



# Beyond Locks

```
Class BoundedBuffer{  
    ...  
    void* buffer[];  
    Lock lock;  
    int count = 0;  
}
```

What is wrong  
with this?

```
BoundedBuffer::Deposit(c){  
    if (count == n) sleep();  
    lock->acquire();  
    Add c to the buffer;  
    count++;  
    lock->release();  
    if(count == 1) wakeup(remove);  
}
```

```
BoundedBuffer::Remove(c){  
    if (count == 0) sleep();  
    lock->acquire();  
    Remove c from buffer;  
    count--;  
    lock->release();  
    if(count==n-1) wakeup(deposit);  
}
```



# Beyond Locks

```
Class BoundedBuffer{  
    ...  
    void* buffer[];  
    Lock lock;  
    int count = 0;  
}
```

What is wrong  
with this?

```
BoundedBuffer::Deposit(c){  
    lock→acquire();  
    if (count == n) sleep();  
    Add c to the buffer;  
    count++;  
    if(count == 1) wakeup(remove);  
    lock→release();  
}
```

```
BoundedBuffer::Remove(c){  
    lock→acquire();  
    if (count == 0) sleep();  
    Remove c from buffer;  
    count--;  
    if(count==n-1) wakeup(deposit);  
    lock→release();  
}
```



# Beyond Locks

```
Class BoundedBuffer{  
    ...  
    void* buffer[];  
    Lock lock;  
    int count = 0;  
}
```

What is wrong  
with this?

```
BoundedBuffer::Deposit(c){  
    while(1) {  
        lock->acquire();  
        if(count == n) {  
            lock->release();  
            continue;}  
        Add c to the buffer;  
        count++;  
        lock->release();  
        break;  
    }  
}
```

```
BoundedBuffer::Remove(c){  
    while(1) {  
        lock->acquire();  
        if (count == 0) {  
            lock->release();  
            continue;  
        }  
        Remove c from buffer;  
        count--;  
        lock->release();  
        break;  
    }  
}
```





# Introducing Condition Variables

- Correctness requirements for bounded buffer producer-consumer problem
  - Only one thread manipulates the buffer at any time (mutual exclusion)
  - Consumer must wait for producer when the buffer is empty (scheduling/synchronization constraint)
  - Producer must wait for the consumer when the buffer is full (scheduling/synchronization constraint)
- Solution: **condition variables**
  - An abstraction that supports conditional synchronization
  - Condition variables are associated with a monitor lock
  - **Enable threads to wait inside a critical section by releasing the monitor lock.**



# Condition Variables: Operation

- Three operations

- Wait()

- Release lock
    - Go to sleep
    - Reacquire lock upon return
    - Java Condition interface `await()` and `awaitUninterruptably()`

Wait() usually specified a lock to be released as a parameter

- Notify() (historically called `Signal()`)

- Wake up a waiter, if any
    - Condition interface `signal()`

- NotifyAll() (historically called `Broadcast()`)

- Wake up all the waiters
    - Condition interface `signalAll()`

- Implementation

- Requires a per-condition variable queue to be maintained
  - Threads waiting for the condition wait for a `notify()`



# Coke Machine Example

```
Class CokeMachine{  
    ...  
    Storage for cokes (buffer)  
    Lock lock;  
    int count = 0;  
    Condition notFull, notEmpty;  
}
```

```
CokeMachine::Deposit(){  
    lock→acquire();  
    while (count == n) {  
        notFull.wait(&lock); }  
    Add coke to the machine;  
    count++;  
    notEmpty.notify();  
    lock→release();  
}
```

```
CokeMachine::Remove(){  
    lock→acquire();  
    while (count == 0) {  
        notEmpty.wait(&lock); }  
    Remove coke from to the machine;  
    count--;  
    notFull.notify();  
    lock→release();  
}
```



# Implementing Wait and Notify

```
Condition::Notify(lock){
    schedLock->acquire();
    if (lock->numWaiting > 0) {
        Move a TCB from waiting queue to ready queue;
        lock->numWaiting--;
    }
    schedLock->release();
}
```

```
Condition::Wait(lock){
    schedLock->acquire();
    lock->numWaiting++;
    lock->release();
    Put TCB on the waiting queue for the CV;
    schedLock->release()
    switch();
    lock->acquire();
}
```

Why do we need  
schedLock?



# Using Condition Variables: An Example

- Coke machine as a shared buffer
- Two types of users
  - Producer: Restocks the coke machine
  - Consumer: Removes coke from the machine
- Requirements
  - Only a single person can access the machine at any time
  - If the machine is out of coke, wait until coke is restocked
  - If machine is full, wait for consumers to drink coke prior to restocking
- How will we implement this?
  - What is the class definition?
  - How many lock and condition variables do we need?



## Word to the Wise...

- Always wait and notify condition variables with the mutex held.
- Period.
  - Fine print: There are cases where notification outside of a lock can be safe, but the code tends to be fragile, error-prone, and easy for another developer to break.
  - In many cases you can lose notifications and hang (liveness)
  - Moreover there is no clear advantage to breaking this convention. So just don't do it.



# Summary

- Non-deterministic order of thread execution → concurrency problems
  - Multiprocessing
    - A system may contain multiple processors → cooperating threads/processes can execute simultaneously
  - Multi-programming
    - Thread/process execution can be interleaved because of time-slicing
- Goal: Ensure that your concurrent program works under ALL possible interleaving
- Define synchronization constructs and programming style for developing concurrent programs
  - Locks → provide mutual exclusion
  - Condition variables → provide conditional synchronization