COMP 786 (Fall 2020) Natural Language Processing

Lecture 3: POS-Tagging, NER, Seq Labeling, Coreference



THE UNIVERSITY of NORTH CAROLINA at CHAPEL HILL

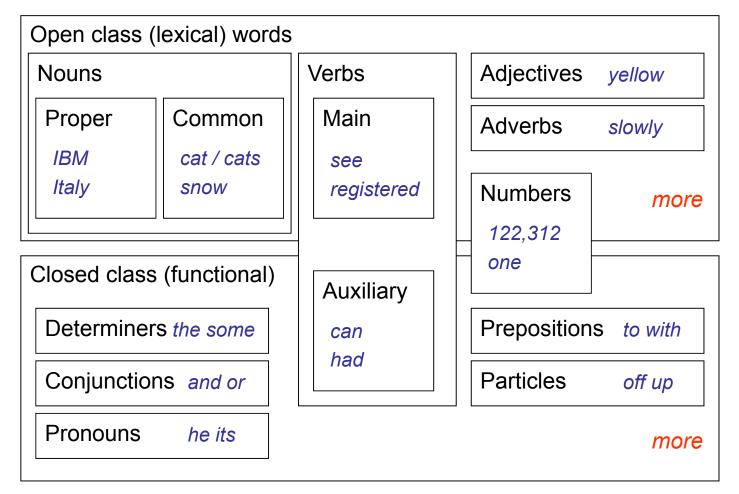
Mohit Bansal

(various slides adapted/borrowed from courses by Dan Klein, Richard Socher, Chris Manning, JurafskyMartin-SLP3, others)

Part-of-Speech Tagging

Part-of-Speech Tagging

- Basic form of linguistic structure: 'syntactic word classes'
- Tag sequence of words w/ syntactic categories (noun, verb, prep, etc.)



Penn Treebank Tagset

| CC | conjunction, coordinating | and both but either or | |
|-------|--|---|--|
| CD | numeral, cardinal | mid-1890 nine-thirty 0.5 one | |
| DT | determiner | a all an every no that the | |
| EX | existential there | there | |
| FW | foreign word | gemeinschaft hund ich jeux | |
| IN | preposition or conjunction, subordinating | among whether out on by if | |
| JJ | adjective or numeral, ordinal | third ill-mannered regrettable | |
| JJR | adjective, comparative | braver cheaper taller | |
| JJS | adjective, superlative | bravest cheapest tallest | |
| MD | modal auxiliary | can may might will would | |
| NN | noun, common, singular or mass | cabbage thermostat investment subhumanity | |
| NNP | noun, proper, singular | Motown Cougar Yvette Liverpool | |
| NNPS | noun, proper, plural | Americans Materials States | |
| NNS | noun, common, plural | undergraduates bric-a-brac averages | |
| POS | genitive marker | ''s | |
| PRP | pronoun, personal | hers himself it we them | |
| PRP\$ | pronoun, possessive | her his mine my our ours their thy your | |
| RB | adverb | occasionally maddeningly adventurously | |
| RBR | adverb, comparative | further gloomier heavier less-perfectly | |
| RBS | adverb, superlative | best biggest nearest worst | |
| RP | particle | aboard away back by on open through | |
| то | "to" as preposition or infinitive marker | to | |
| UH | interjection | huh howdy uh whammo shucks heck | |
| VB | verb, base form | ask bring fire see take | |
| VBD | verb, past tense | pleaded swiped registered saw | |
| VBG | verb, present participle or gerund | stirring focusing approaching erasing | |
| VBN | verb, past participle | dilapidated imitated reunifed unsettled | |
| VBP | verb, present tense, not 3rd person singular | twist appear comprise mold postpone | |
| VBZ | verb, present tense, 3rd person singular | bases reconstructs marks uses | |
| WDT | WH-determiner | that what whatever which whichever | |
| WP | WH-pronoun | that what whatever which who whom | |
| WP\$ | WH-pronoun, possessive | whose | |
| WRB | Wh-adverb | however whenever where why | |

Part-of-Speech Ambiguities

A word can have multiple parts of speech

VBD VB VBN VBZ VBP VBZ NNP NNS NN NNS CD NN Fed raises interest rates 0.5 percent

Mrs./NNP Shaefer/NNP never/RB got/VBD **around/RP** to/TO joining/VBG All/DT we/PRP gotta/VBN do/VB is/VBZ go/VB **around/IN** the/DT corner/NN Chateau/NNP Petrus/NNP costs/VBZ **around/RB** 250/CD

Disambiguating features: lexical identity (word), context, morphology (suffixes, prefixes), capitalization, gazetteers (dictionaries), ...

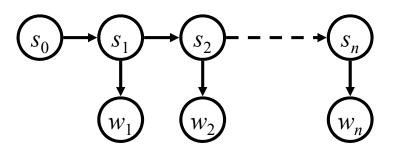
Uses of Part-of-Speech Tagging

Useful in itself:

- Text-to-speech: *read*, *lead*, *record*
- Lemmatization: $saw[v] \rightarrow see$, $saw[n] \rightarrow saw$
- Shallow Chunking: grep {JJ | NN}* {NN | NNS}
- Useful for downstream tasks (e.g., in parsing, and as features in various word/text classification tasks)
- Preprocessing step in parsing: allows fewer parse options if less tag ambiguity (but some cases still decided by parser)



Generative mode with state sequence and emissions at every time step:

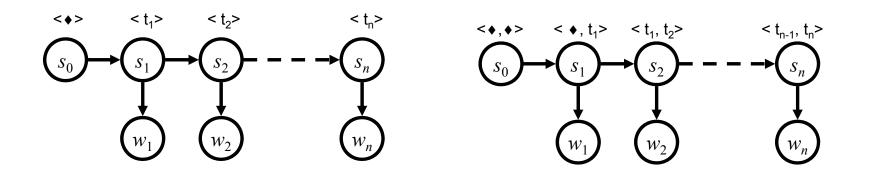


$$P(\mathbf{s}, \mathbf{w}) = \prod_{i} P(s_i | s_{i-1}) P(w_i | s_i)$$

- Several strong independence assumptions!
 - States = POS tag n-grams
 - Next tag only depends on k previous tags
 - Word generated only depends on current tag state

States

Markov order defines how many states in the history are being conditioned on, e.g., 1 = bigrams, 2 = trigrams



The A matrix contains the tag transition probabilities $P(t_i|t_{i-1})$ which represent the probability of a tag occurring given the previous tag. For example, modal verbs like *will* are very likely to be followed by a verb in the base form, a VB, like *race*, so we expect this probability to be high. We compute the maximum likelihood estimate of this transition probability by counting, out of the times we see the first tag in a labeled corpus, how often the first tag is followed by the second:

$$P(t_i|t_{i-1}) = \frac{C(t_{i-1}, t_i)}{C(t_{i-1})}$$
(8.9)

In the WSJ corpus, for example, MD occurs 13124 times of which it is followed by VB 10471, for an MLE estimate of

$$P(VB|MD) = \frac{C(MD, VB)}{C(MD)} = \frac{10471}{13124} = .80$$
(8.10)

Maximum-Likelihood Estimates

The *B* emission probabilities, $P(w_i|t_i)$, represent the probability, given a tag (say MD), that it will be associated with a given word (say *will*). The MLE of the emission probability is

$$P(w_i|t_i) = \frac{C(t_i, w_i)}{C(t_i)}$$
(8.11)

Of the 13124 occurrences of MD in the WSJ corpus, it is associated with *will* 4046 times:

$$P(will|MD) = \frac{C(MD, will)}{C(MD)} = \frac{4046}{13124} = .31$$
(8.12)

Estimating Transitions

- For higher order Markov chains, harder to estimate transition probabilities
- Therefore, can use standard language modeling style smoothing techniques like back-off or Kneser-Ney or Good-Turing

$$P(t_i \mid t_{i-1}, t_{i-2}) = \lambda_2 \hat{P}(t_i \mid t_{i-1}, t_{i-2}) + \lambda_1 \hat{P}(t_i \mid t_{i-1}) + (1 - \lambda_1 - \lambda_2) \hat{P}(t_i)$$

More effective to have richer info encoded in the states themselves, i.e., state splitting/refinement

Estimating Emissions

$$P(\mathbf{s}, \mathbf{w}) = \prod_{i} P(s_i | s_{i-1}) P(w_i | s_i)$$

- Unknown and rare words (also unseen word-state pairs) big problem is estimating emission probabilities!
- Can use word shapes to get unknown word classes, e.g., 45,698.00 → D⁺, D⁺. D⁺
 30-year → D⁺-x⁺
- Another trick: estimate P(t|w) instead and then invert!

After estimating all transition and emission probabilities, next step is to infer or decode the most-probable sequence of states (e.g., POS tags) given the sequence of observations (e.g., words)

$$t^* = \underset{t}{\operatorname{arg\,max}} P(t|w)$$

- Viterbi algo: Recursive dynamic program
- *v_t(j)* cell of trellis represents prob of HMM in state *j* after first *t* observations & passing through most-prob state sequence q₀q₁q_{2...}q_{t-1}

$$v_t(j) = \max_{i=1}^N v_{t-1}(i) a_{ij} b_j(o_t)$$

 $v_{t-1}(i)$ the **previous Viterbi path probability** from the previous time step a_{ij} the **transition probability** from previous state q_i to current state q_j $b_j(o_t)$ the **state observation likelihood** of the observation symbol o_t given the current state j

Compute the Viterbi probability by taking the most probable of the extensions of the paths that lead to the current cell.

```
function VITERBI(observations of len T, state-graph of len N) returns best-path
  create a path probability matrix viterbi[N+2,T]
  for each state s from 1 to N do
                                                             ; initialization step
        viterbi[s,1] \leftarrow a_{0,s} * b_s(o_1)
        backpointer[s,1]\leftarrow0
  for each time step t from 2 to T do
                                                             ; recursion step
     for each state s from 1 to N do
        viterbi[s,t] \leftarrow \max_{s'=1}^{N} viterbi[s',t-1] * a_{s',s} * b_s(o_t)
        backpointer[s,t] \leftarrow \operatorname{argmax}^{N} viterbi[s',t-1] * a_{s',s}
  viterbi[q_F,T] \leftarrow \max_{s=1}^{N} viterbi[s,T] * a_{s,q_F}; termination step
  backpointer[q_F,T] \leftarrow argmax \ viterbi[s,T] * a_{s,q_F}
                                                              ; termination step
  return the backtrace path by following backpointers to states back in
            time from backpointer[q_F, T]
```

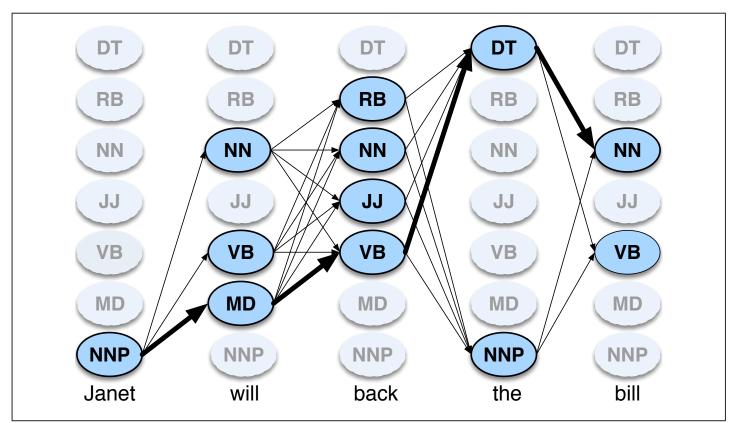
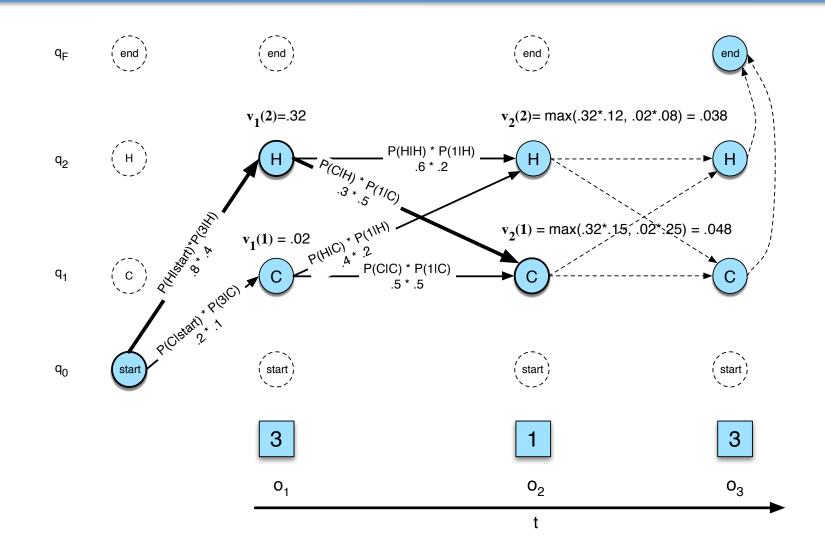


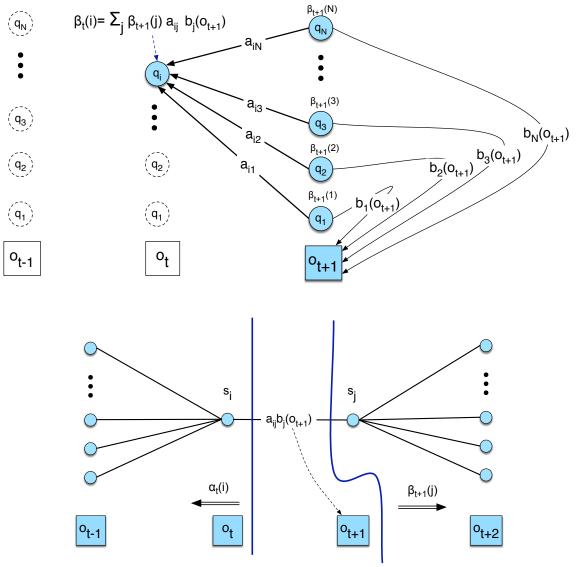
Figure 8.6 A sketch of the lattice for *Janet will back the bill*, showing the possible tags (q_i) for each word and highlighting the path corresponding to the correct tag sequence through the hidden states. States (parts of speech) which have a zero probability of generating a particular word according to the *B* matrix (such as the probability that a determiner DT will be realized as *Janet*) are greyed out.

State Lattice Traversal



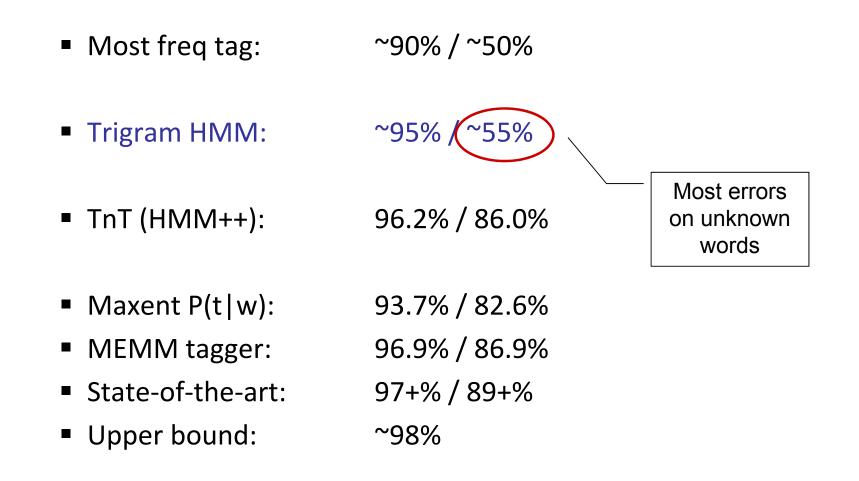
https://en.wikipedia.org/wiki/Viterbi_algorithm

Forward-Backward EM Algo for HMM Training



Overview of Accuracies

Known/Unknown POS-tag accuracy history:



Better Discriminative Features?

Need richer features (both inside the word and around it)!

- Word-based feature examples:
 - Suffixes (e.g., -ly, -ing, -ed)
 - Prefixes (e.g., un-, im-, dis-)
 - Capital vs lower-cased

Just a simple maxent tag-given-word P(t|w) feature-based model itself gets 93.7%/82.6% known/unknown POStagging accuracy!

Better Discriminative Features?

Similarly, we also need linear context features, e.g., words to the right of the currently-predicted tag

> RB PRP VBD IN RB IN PRP VBD . They left as soon as he arrived .

Solution: Discriminative sequence models such as CRFs and MEMMs that can incorporate such fullsentence features!

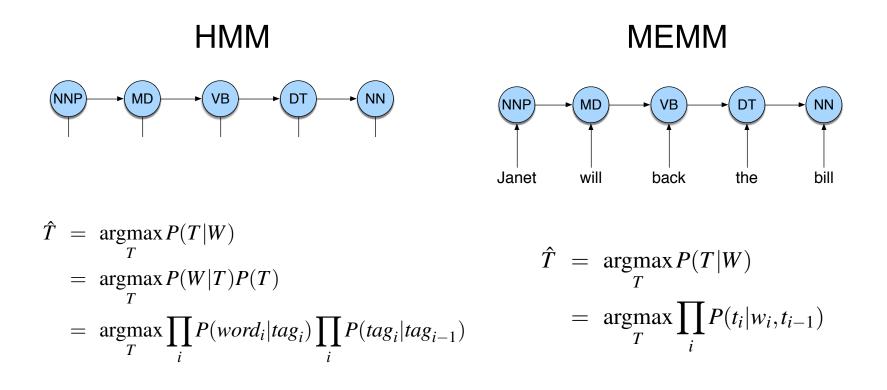
MaxEnt Markov Model (MEMM) Tagger

- Sequence model adaptation of MaxEnt (multinomial logistic regression) classifier
- MEMM = discriminative, HMM = generative
- Left-to-right local decisions, but can condition of both previous tags as well as entire input

$$P(\mathbf{t}|\mathbf{w}) = \prod_{i} P_{\mathsf{ME}}(t_i|\mathbf{w}, t_{i-1}, t_{i-2})$$

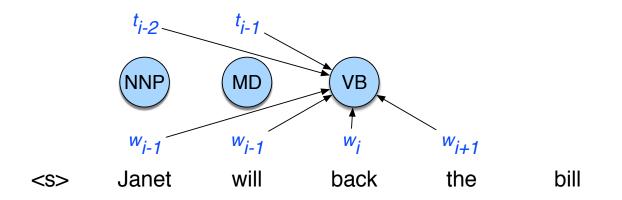
MaxEnt Markov Model (MEMM) Tagger

Difference between HMM and MEMM:



MEMM Features

MEMM can condition on several richer features, e.g., from words in entire input sentence



Word shapes, tag-word n-gram templates, etc.

For log-linear models, score of tags-given-words has the formulation of:

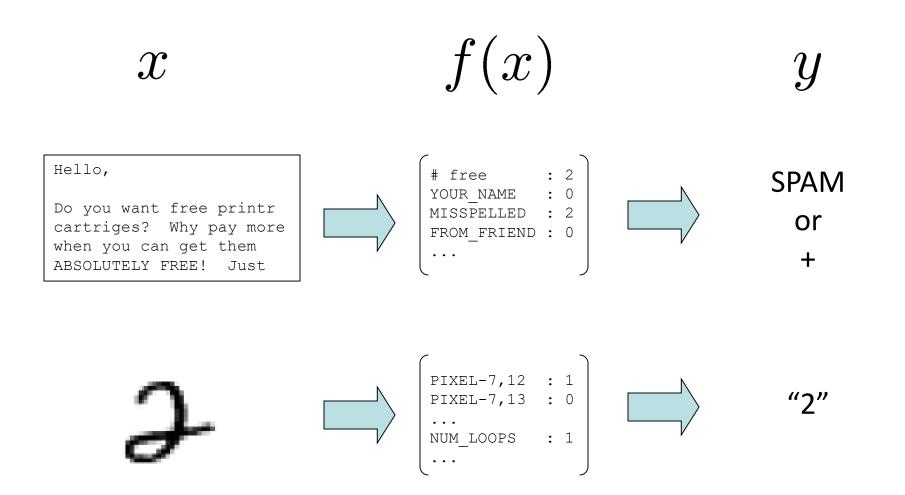
$$score(\mathbf{t}|\mathbf{w}) = \lambda^{\top} f(\mathbf{t}, \mathbf{w})$$

This can be decomposed into sum of features:

$$\lambda^{\top} \sum_{i} f(t_i, t_{i-1}, \mathbf{w}, i)$$

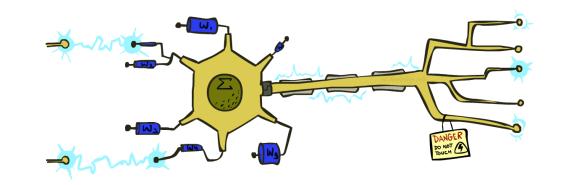
Hence, we can use perceptron or MIRA style algorithms to train these models and learn the feature weights!

Feature Vectors



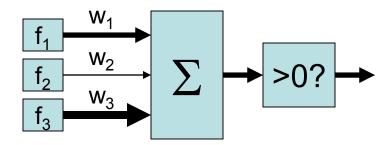
Linear Classifiers

- Inputs are feature values
- Each feature has a weight
- Sum is the activation



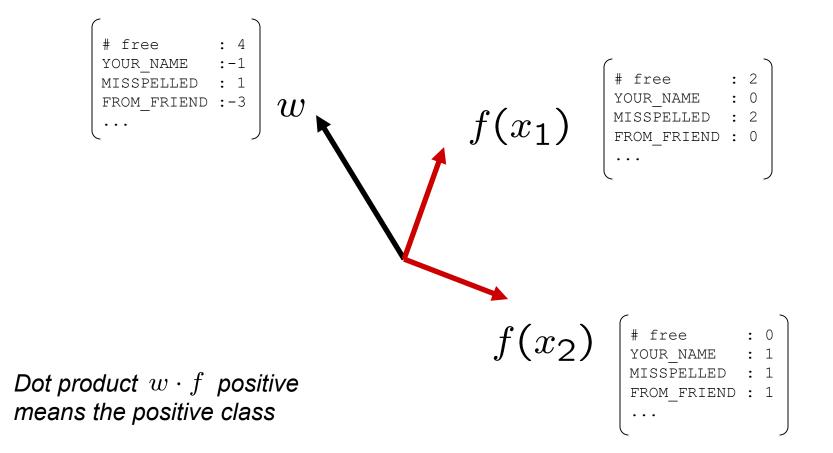
activation_w(x) =
$$\sum_{i} w_i \cdot f_i(x) = w \cdot f(x)$$

- If the activation is:
 - Positive, output +1
 - Negative, output -1

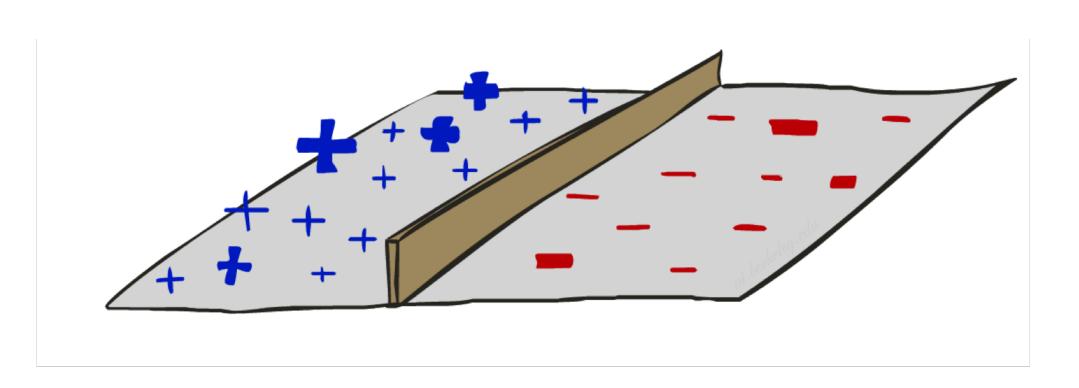


Weights

- Binary case: compare features to a weight vector
- Learning: figure out the weight vector from examples

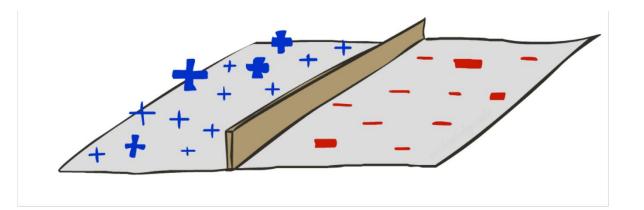


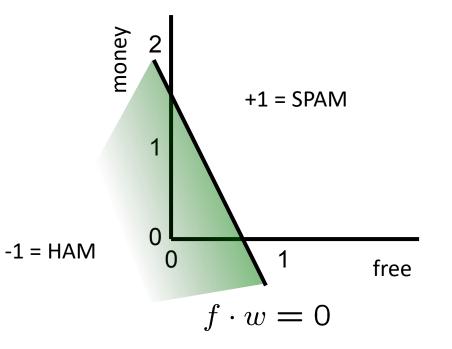
Decision Rules



Binary Decision Rule

- In the space of feature vectors
 - Examples are points
 - Any weight vector is a hyperplane
 - One side corresponds to Y=+1
 - Other corresponds to Y=-1





w

| BIAS | : | -3 |
|-------|---|----|
| free | : | 4 |
| money | : | 2 |
| ••• | | |

Weight Updates

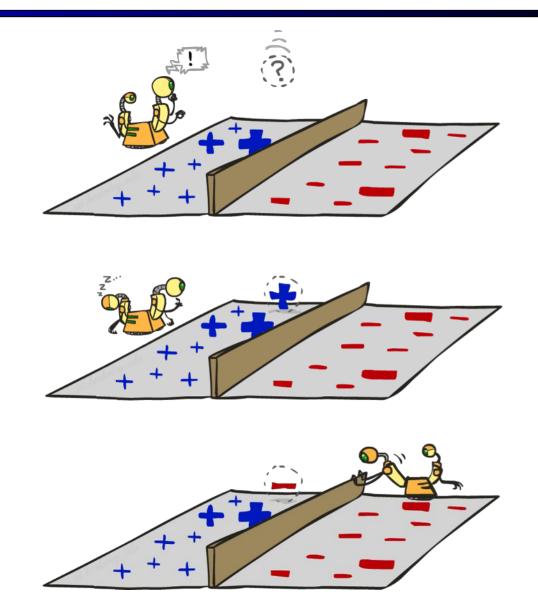


Learning: Binary Perceptron

- Start with weights = 0
- For each training instance:
 - Classify with current weights

If correct (i.e., y=y*), no change!

If wrong: adjust the weight vector



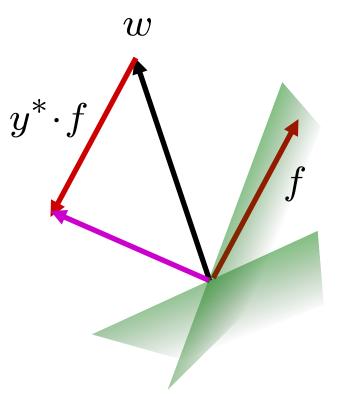
Learning: Binary Perceptron

- Start with weights = 0
- For each training instance:
 - Classify with current weights

$$y = \begin{cases} +1 & \text{if } w \cdot f(x) \ge 0\\ -1 & \text{if } w \cdot f(x) < 0 \end{cases}$$

- If correct (i.e., y=y*), no change!
- If wrong: adjust the weight vector by adding or subtracting the feature vector. Subtract if y* is -1.

$$w = w + y^* \cdot f$$



Perceptron Training Algorithm

[Collins 2001]

Inputs: Training examples (x_i, y_i) Initialization: Set $\bar{\alpha} = 0$ Algorithm: For $t = 1 \dots T$, $i = 1 \dots n$ Calculate $z_i = \arg \max_{z \in \mathbf{GEN}(x_i)} \Phi(x_i, z) \cdot \bar{\alpha}$ If $(z_i \neq y_i)$ then $\bar{\alpha} = \bar{\alpha} + \Phi(x_i, y_i) - \Phi(x_i, z_i)$ Output: Parameters $\bar{\alpha}$

Conditional Random Field (CRF) Tagger

MEMM

$$P(\mathbf{t}|\mathbf{w}) = \prod_{i} \frac{1}{Z(i)} \exp\left(\lambda^{\top} f(t_i, t_{i-1}, \mathbf{w}, i)\right)$$

CRF

$$P(\mathbf{t}|\mathbf{w}) = \frac{1}{Z(\mathbf{w})} \exp\left(\lambda^{\top} f(\mathbf{t}, \mathbf{w})\right)$$
$$= \frac{1}{Z(\mathbf{w})} \exp\left(\lambda^{\top} \sum_{i} f(t_{i}, t_{i-1}, \mathbf{w}, i)\right)$$
$$= \frac{1}{Z(\mathbf{w})} \prod_{i} \phi_{i}(t_{i}, t_{i-1})$$

CRF Training

Derivatives needed have the form of "feature counts minus expected feature counts":

$$\frac{\partial L(\lambda)}{\partial \lambda} = \sum_{k} \left(\mathbf{f}_{k}(\mathbf{t}^{k}) - \sum_{\mathbf{t}} P(\mathbf{t}|\mathbf{w}_{k}) \mathbf{f}_{k}(\mathbf{t}) \right)$$

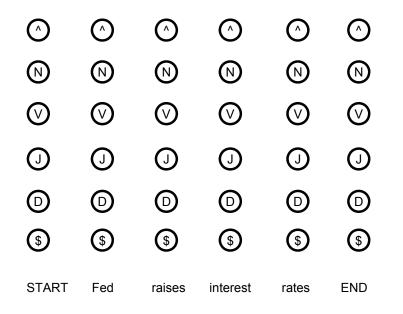
These expected feature counts (under model distribution) in turn need posterior marginals:

$$\operatorname{count}(w,s) = \sum_{i:w_i=w} P(t_i = s | \mathbf{w})$$

$$\operatorname{count}(s \to s') = \sum_{i} P(t_{i-1} = s, t_i = s' | \mathbf{w})$$

Posterior Marginals

- And these posterior marginals in turn need the state trellis traversal similar to forward-backward discussed for HMM training:
 - How to compute that marginal?



$$\alpha_i(s) = \sum_{s'} \phi_i(s', s) \alpha_{i-1}(s')$$

$$\beta_i(s) = \sum_{s'} \phi_{i+1}(s, s') \beta_{i+1}(s')$$

$$P(t_i = s | \mathbf{w}) = \frac{\alpha_i(s)\beta_i(s)}{\alpha_N(\mathsf{END})}$$

POS Tagging: Other Models

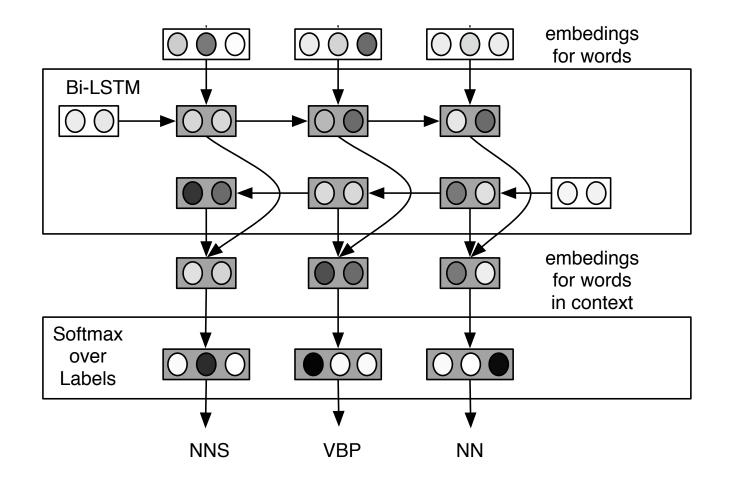
Universal POS tagset for multilingual and cross-lingual tagging and parsing [Petrov et al., 2012]

12 tags: NOUN, VERB, ADJ, ADV, PRON, DET, ADP, NUM, CONJ, PRT, ., X

Unsupervised tagging also works reasonably well! [Yarowsky et al., 2001; Xi and Hwa, 2005; Berg-Kirkpatrick et al., 2010; Christodoulopoulos et al., 2010; Das and Petrov, 2011]

RNN-based POS-Tagger

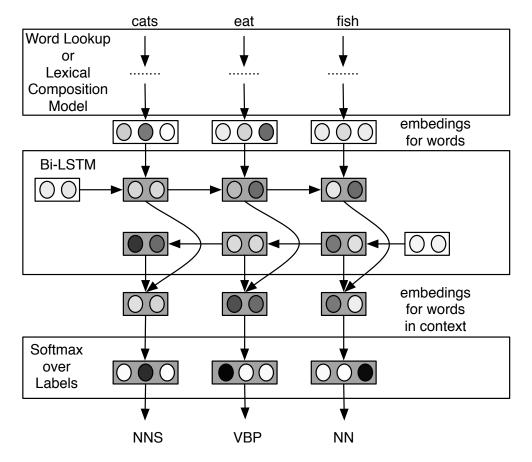
Context captured by bidirectional LSTM; softmax on tag labels



[Ling et al., 2015 (and others)]

Char-RNN-based POS-Tagger

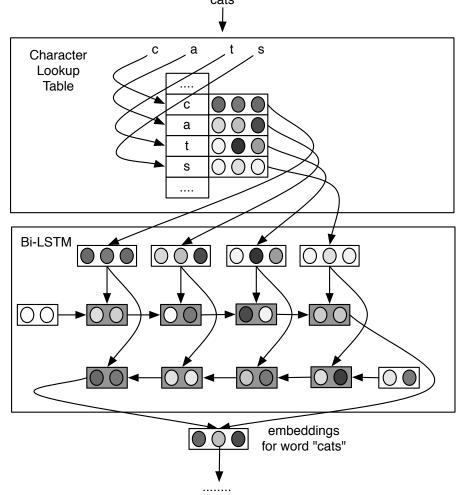
Use character-based RNNs to compose word embeddings (to learn function)



[Ling et al., 2015 (and others)]

Char-RNN-based POS-Tagger

Use character-based RNNs to compose word embeddings (to learn function)



رساg et al., 2015 (and others)]

Other Sequence Labeling Tasks

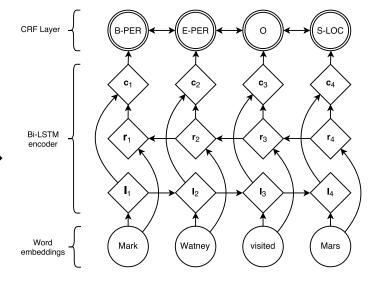
- Named Entity Recognition
- Spelling Correction
- Word Alignment
- Noun Phrase Chunking
- Supersense Tagging
- Multiword Expressions

Named Entity Recognition

Label proper nouns as person, location, organization, other

PER PER OOOOOOOOOOOLOCLOCOTim Boon has signed a contract extension with Leicestershire which will keep him at Grace Road .

- Also prefers rich contextual features
- CRF models perform strongly for this
- ► Neural+CRF versions even stronger → [Lample et al., 2016]



[Bikel et al., 1999]

Fine-Grained NER

| PERSON | LOCATION | ORGANIZATION | OTHER | | | |
|---|---|--|---|--|--|--|
| artistactorauthordirectormusiceducationstudentteacherathletebusinesscoachdoctorlegalmilitarypolitical figurereligious leadertitle | structureairportgovernmenthospitalhotelrestaurantsports facilitytheatregeographybody of waterislandmountaintransitbridgerailwayroadcelestialcitycountrypark | company broadcast newseducation government military music political party sports league sports team stock exchange transit | artbroadcastfilmmusicstagewritingeventaccidentelectionholidaynatural disasterprotestsports eventviolent conflicthealthmaladytreatmentawardbody partcurrency | languageprogramminglanguageliving thinganimalproductcameracarcomputermobile phonesoftwareweaponfoodheritageinternetlegalreligionscientificsports & leisuresupernatural | | |

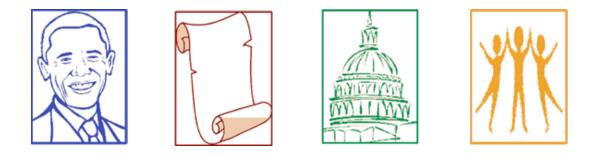
Fine-Grained NER

| person actor architect artist athlete author coach director | doctor engineer monarch musician politician religious_lea soldier terrorist | engineer monarch musician politician religious_leader soldier | | organization airline company educational_institution fraternity_sorority sports_league sports_team | | terrorist_organization government_agency government political_party educational_department military news_agency | |
|---|--|--|------------------------------------|--|--|---|---|
| city isl country m | ody_of_water land ountain | product engine airplane | | | camera mobile_phone computer | art film play | written_work newspaper music |
| province as railway ce | ovince astral_body ilway cemetery ad park | | car ship spacecraft train | | software game instrument weapon | | military_conflict natural_disaster sports_event terrorist_attack |
| building airport dam hospital hotel library power_statior | title Iaw | color award educational_degree title law | | chemical_thing biological_thing medical_treatment disease symptom drug body_part | | website broadcast_network broadcast_program tv_channel currency stock_exchange algorithm | |
| restaurant language sports_facility religion theater god | | | living_thing animal food | | programming_language transit_system transit_line | | |

[Ling and Weld, 2012]

Coreference Resolution

Coreference Resolution



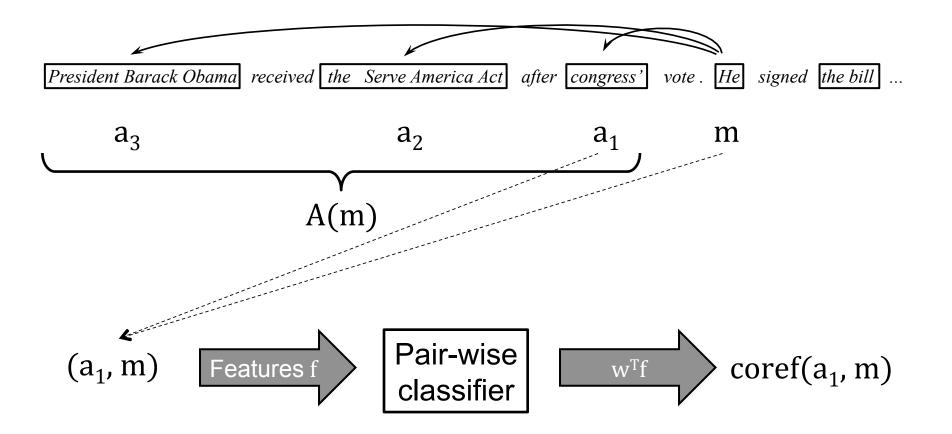
President Barack Obama received the Serve America Act after congress' vote. He signed the bill last Thursday. The president said it would greatly increase service opportunities for the American people.

Mentions to entity/event clusters

Demos: <u>http://nlp.stanford.edu:8080/corenlp/process</u>

Mention-pair Models

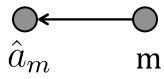
Pair-wise classification approach:

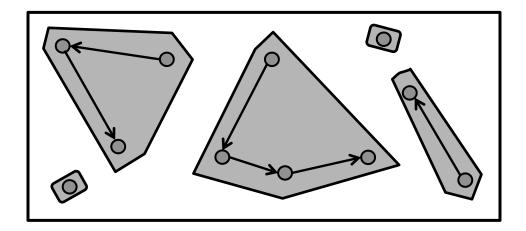


[Soon et al. 2001, Ng and Cardie 2002; Bengtson and Roth, 2008; Stoyanov et al., 2010]

Mention-pair Model

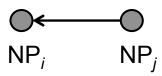
For each mention m, $\hat{a}_m = \underset{a_i \in A(m)}{\operatorname{argmax}} \operatorname{coref}(a_i, m)$





[Soon et al. 2001, Ng and Cardie 2002; Bengtson and Roth, 2008; Stoyanov et al., 2010]

Standard features



| Туре | Feature | Description | | |
|-------------|---------------|--|--|--|
| LEXICAL | SOON_STR | Do the strings match after removing determiners ? | | |
| GRAMMATICAL | NUMBER | Do NP _i and NP _j agree in number ? | | |
| | GENDER | Do NP _i and NP _j agree in gender ? | | |
| | APPOSITIVE | Are the NPs in an appositive relationship ? | | |
| SEMANTIC | WORDNET_CLASS | Do NP _i and NP _j have the same WordNet class ? | | |
| | ALIAS | Is one NP an alias of the other ? | | |
| POSITIONAL | SENTNUM | Distance between the NPs in terms of # of sentences | | |

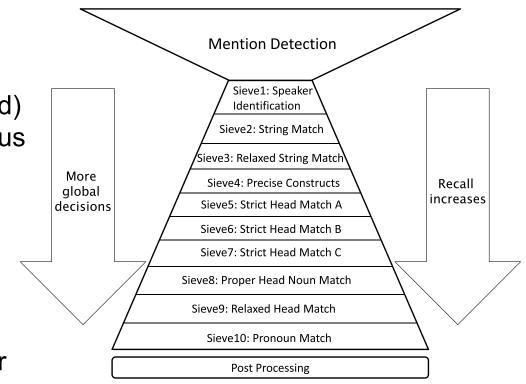
Weaknesses: All pairs, Transitivity/Independence errors (*He – Obama – She*), Insufficient information

[Soon et al. 2001, Ng and Cardie 2002; Bengtson and Roth, 2008; Stoyanov et al., 2010]

Entity-centric Models

Each coreference decision is globally informed by previously clustered mentions and their shared attributes

- Lee et al., 2013's deterministic (rule-based) system: multiple, cautious sieves from high to low precision
- Durrett et al., 2013's entity-level model is discriminative, probabilistic using factor graphs and BP

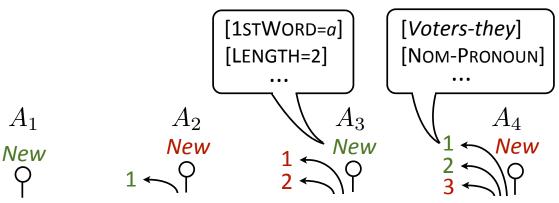


[Haghighi and Klein, 2009; Lee et al., 2013; Durrett et al., 2013]

Mention-Ranking Models (Learned)

Log-linear model to select at most 1 antecedent for each mention or determine that it begins a new cluster

$$Pr(A_i = a | x) \propto \exp(w^{\top} f(i, a, x))$$



 $[Voters]_1$ agree when $[they]_1$ are given $[a chance]_2$ to decide if $[they]_1$...

[Denis and Baldridge, 2008; Durrett and Klein, 2013]

Recent work (Wiseman et al., 2016, Clark & Manning, 2016) has used NNs for non-linear and vector-space coreference features to achieve SoA!

Adding Knowledge to Coref

- External corpora: Web, Wikipedia, YAGO, FrameNet, Gender/ Number/Person lists/classifiers, 3D Images, Videos
- Methods:
 - Self-training, Bootstrapping
 - Co-occurrence, Distributional, and Pattern-based Features
 - Entity Linking
 - Visual Cues from 3D Images and Videos
- Daumé III and Marcu, 2005; Markert and Nissim, 2005; Bergsma and Lin, 2006; Ponzetto and Strube, 2006; Haghighi and Klein, 2009; Kobdani et al., 2011; Rahman and Ng, 2011; Bansal and Klein, 2012; Durrett and Klein, 2014; Kong et al., 2014; Ramanathan et al., 2014

Web Features for Coreference

count(*Obama* * *president*) vs count(*Jobs* * *president*)





When Obama met Jobs, the president discussed the ...

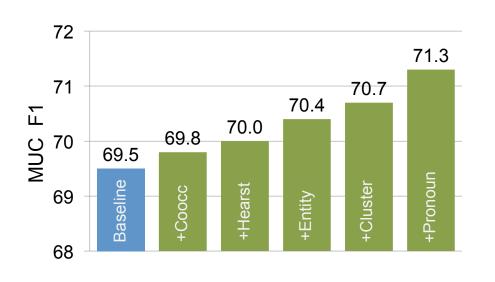
Web Features for Coreference

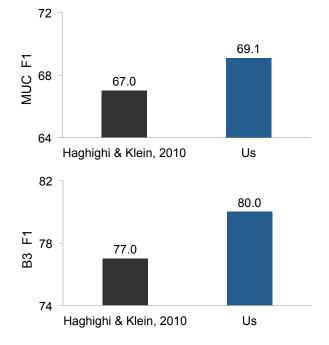
count(Obama signed bills) vs count(Jobs signed bills)





When Obama met Jobs, the ... He signed bills that ...

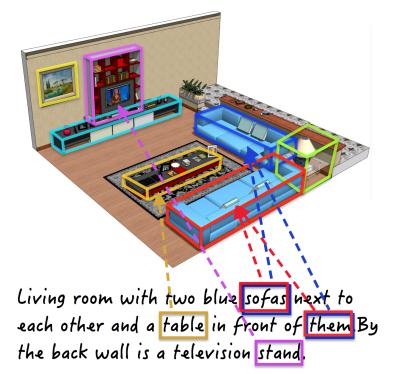




[Bansal and Klein, 2012]

Visual Cues for Coreference

Joint coreference and 3D image recognition

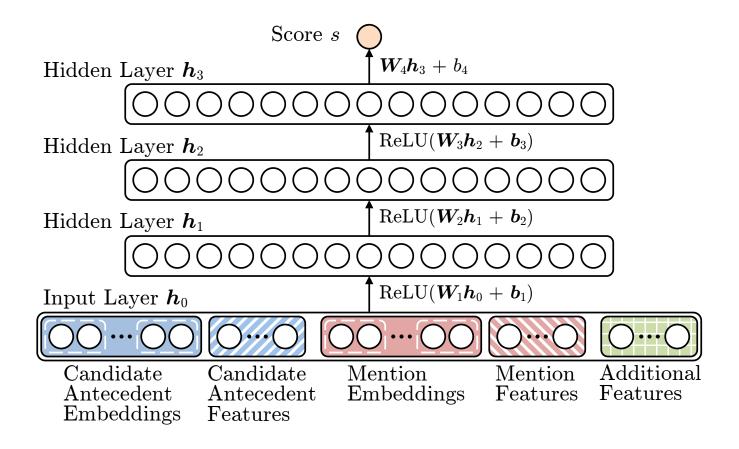


| | MUC | | | B^3 | | |
|----------|-----------|--------|-------|-----------|--------|-------|
| Method | precision | recall | F1 | precision | recall | F1 |
| Stanford | 61.56 | 62.59 | 62.07 | 75.05 | 76.15 | 75.59 |
| Ours | 83.69 | 51.08 | 63.44 | 88.42 | 70.02 | 78.15 |

[Kong, Lin, Bansal, Urtasun, and Fidler, 2014]

Neural Models for Coreference

Mention-pair model as simple feed-forward network:



[Clark and Manning, 2016; Wiseman et al., 2015]